

TECHNICAL DATA SHEET FOR EMPTY HARD CAPSULES OF VEGETABLE ORIGIN

- K-CAPS® are manufactured with Pharmaceutical grade HPMC.
- The Empty Hard Capsules of Vegetable Origin K-CAPS® produced on our premises are odourless and tasteless and suitable for human consumption.
- K-CAPS® are distributed on over all the world and are intended for use in pharmaceutical and food products.
- Colored K-CAPS® are formulated using approved and officially certified dyes and pigments for Food, Drugs and Cosmetics (FD & C), and / or for Drugs and Cosmetics (D & C).
- The components of the printing inks are manufactured in compliance with 21 CFR
- K-CAPS[®], raw materials meet all requirements of the USP.
- K-CAPS® are soluble in water.
- K-CAPS® disintegrate in less than 15 minutes.
- K-CAPS® moisture content is kept between 4% and 8%
- K-CAPS® visual quality is based on the ANSI/ASQ-Z1.4, single sampling plan (General Inspection).
- The empty hard capsules of vegetable origin K-CAPS® have an expiration date of five years from the manufacturing date, provided they are stored and handled in their original packaging, in places with temperatures between 59°F (15°C) and 86°F (30°C), and relative humidity levels between 35% and 70%.
- K-CAPS® are manufactured in Colombia and Canada.

TABLE Nº 1 EMPTY CAPSULES WEIGHT

SIZE	CAPSULE WEIGHT (mg)
000	160.0 ± 12
00E	145.0 ± 6
00	137.0 ± 7
0E	121.0 ± 6
0	107.0 ± 6
1	79.0 ± 5
2	63.0 ± 4
3	50.0 ± 3
4	40.0 ± 3

The specifications limits are for the average capsule weight. Depending on the size, the body represents approximately 60% of the total capsule weight.

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TABLE Nº 2 INDIVIDUAL LENGTHS

SIZE	CAP		BC	DDY
SIZE	(mm)	(inches)	(mm)	(inches)
000	12.90 ± 0.35	$0,508 \pm 0.014$	21.90 ± 0.35	0.862 ± 0.014
00E	13.00 ± 0.35	0.510 ± 0.014	22.35 ± 0.35	0.880 ± 0.014
00	11.80 ± 0.35	0.464 ± 0.014	20.10 ± 0.35	0.791 ± 0.014
0E	11.73 ± 0.35	0.461 ± 0.014	20.00 ± 0.35	0.787 ± 0.014
0	10.85 ± 0.35	0.427 ± 0.014	18.35 ± 0.35	0.722 ± 0.014
1	9.85 ± 0.35	0.388 ± 0.014	16.40 ± 0.35	0.645 ± 0.014
2	8.80 ± 0.35	0.346 ± 0.014	15.15 ± 0.35	0.596 ± 0.014
3	8.00 ± 0.35	0.315 ± 0.014	13.45 ± 0.35	0.529 ± 0.014
4	7.20 ± 0.35	0.283 ± 0.014	12.10 ± 0.35	0.476 ± 0.014

These parameters are only for in-process control purposes and are not acceptance/rejection criteria.

TABLE N° 3 JOINING LENGTHS OF THE LOCKED CAPSULES

SIZE	CLOSED CAPSULE		
SIZE	(mm)	(inches)	
000	27.80 ± 0.30	1.094 ± 0.012	
00E	25.30 ± 0.30	0.996 ± 0.012	
00	23.40 ± 0.30	0.921 ± 0.012	
0E	23.20 ± 0.30	0.913 ± 0.012	
0	21.60 ± 0.30	0.850 ± 0.012	
1	19.40 ± 0.30	0.764 ± 0.012	
2	17.60 ± 0.30	0.693 ± 0.012	
3	15.70 ± 0.30	0.618 ± 0.012	
4	14.30 ± 0.30	0.563 ± 0.012	

The joining lengths of the locked capsules can vary with fill material and filling machine adjustment. These parameters are only for in-process control purposes and are not acceptance/rejection criteria.



TABLE Nº 4 EXTERNAL DIAMETER

SIZE	CAP		BODY	
SIZE	SIZE (mm)		(mm)	(inches)
000	9.94	0.391	9.55	0.376
00E	8.58	0.338	8.25	0.325
00	8.56	0.337	8.23	0.324
0E	7.65	0.301	7.35	0.289
0	7.65	0.301	7.35	0.289
1	6.96	0.274	6.63	0.261
2	6.39	0.252	6.12	0.241
3	5.85	0.230	5.60	0.220
4	5.33	0.210	5.08	0.200

These parameters are only for in-process control purposes and are not acceptance/rejection criteria.

TABLE Nº 5 APPROXIMATE CONTENT OF THE FILLED CAPSULE (mg)

CIZE	DENSITY			
SIZE	0.6 g/ml	0.8 g/ml	1.0 g/ml	1.2 g/ml
000	822	1.096	1.370	1.644
00E	612	816	1.020	1.224
00	570	760	950	1.140
0E	462	616	770	924
0	408	544	680	816
1	288	384	480	576
2	216	288	360	432
3	162	216	270	324
4	120	160	200	240



TABLE N° 6 MICROBIOLOGICAL QUALITY OF THE CAPSULE

PARAMETER	SPECIFICATION
Total aerobic microbial count	NMT 1000 CFU/g
Salmonella Spp	Absence /10 g
Escherichia Coli	Absence /1 g
Pseudomonas Aeruginosa	Absence /1 g
Staphylococcus Aureus	Absence /1 g
Yeast and Mold	NMT 100 CFU /g

TABLE Nº 7 VISIBLE DEFECTS

CRITICAL	MAJOR	MINOR	
Foreign capsule	Grease spot	Bubbles	
Hole	Punched ends	Specks	
Locked capsule	Chipped cap or body	Chipped cap or body	
	(major)	(minor)	
Cracked	Thin spot	Wrinkle	
Sticking capsule	String (major)	Star end	
Cutting	Double cap	String (minor)	
Double dip	Loose piece	Line in end	
Short body	Bad dip	Undissolved particle inside	
Uncut	Rough cut (major)	Scraped	
Mashed capsule	Bad joining	Collect pinch	
Long cap or body	Lateral corrugation	Particle in the capsule	
	Short cap	Grease spot (minor)	
	Long joining	Uneven cut	
		Rough cut (minor)	



TABLE N° 8. VISIBLE DEFECTS ON PRINTED CAPSULES

CRITICAL	MAJOR	MINOR
Foreign material	Partial Imprint (missing or cut off)	Ink spot (minor)
Unprinted	Off-center (misplaced printing)	Off-center misplaced (minor)
	Smeared/Multiple Imprint (not legible)	Partial imprint (minor)
		Roller mark
		Poor ink cover-up
		Print line
		Multiple print (Legible)
		Light and Dark imprint
		Smeared/Multiple Imprint
		(legible)

TABLE N° 9 ACCEPTABLE QUALITY LEVELS (AQL)

TYPE OF DEFECT	UNPRINTED CAPSULES	PRINTED CAPSULES
THE OF BEFECT	(AQL)	(AQL)
CRITICAL	0.010	0.010
MAJOR	0.040	0.065
MINOR	0.250	1.500

TABLE Nº 10 ACCEPTANCE-REJECTION LEVELS (AQL)

TYPE OF DEFECT	SIZE OF THE CAPSULE SAMPLE	# Acceptance	#Rejection	# Acceptance	#Rejection
CRITICAL	1250	0	1	0	1
MAJOR	1250	1	2	2	3
MINOR	1250	7	8	21	22

K-CAPS® visual quality is based on ANSI/ASQ-Z1.4, Single Sampling Plan (General Inspection).



TABLE Nº 11: CLASIFICATION OF VISIBLE DEFECTS

Hole: Perforation on the capsule surface
Short body: Length of body is 1.5 mm shorter than the specified
Split: Pronounced fissure greater than 1.0 mm
Long body: Length of body is 1.0 mm greater than the specified length.
Rough cut: Irregular cut in a dented form or pronounced sinuosity (greater than 1 mm) on the edges of cap and body.
Short cap: Length of cap is 1.0 mm shorter than the specified length.
Punched end major: Flat dome. It compromises the shoulder of the cap or body Punched end minor: Flat dome without affectioning the cap or body shoulder.
Collect pinches: pronounced nips or folds greater than 3.0 mm of length in capsules of sizes "000"- "1" and greater than 2.0 mm of length in capsules of sizes "2"- "4".
Wrinkles: Wrinkles greater than 3 x 2 mm on the capsule ring or along the cap or body.

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	String: Small threads appearing as a result of an inadequate cutting, greater than 3.0 mm in length in capsules of sizes "000"- "1" and greater than 2.0 mm in length in capsules "2"-"4"
	Star end: Stellated wrinkles on the dome in a slight or pronounced way greater than 4.0 mm of diameter in capsules of sizes "000"-"1" and greater than 3.0 mm of diameter in capsules "2"-"4".
•	Bubble: Air spaces considered as a minor defect when having a diameter of 1 mm or more.
	Specks: Stains or dots of a different color on the surface of the capsule.



TABLE Nº 12 COLOR CODING OF CAPSULES

COLOR	CODE
Natural	1-0
Amethyst	2-XXX
Black	3-XXX
Blue	4-XXX
Brown	5-XXX
Buff	6-XXX
Caramel	7-XXX
Flesh	8-XXX
Grey	9-XXX
Green	10-XXX
Orange	14-XXX
Pink	15-XXX
Purple	16-XXX
Red	17-XXX
Scarlet	18-XXX
Yellow	19-XXX
White	20-XXX
Silver	21-XXX
Gold	22-XXX

Code: The first number corresponds to the color of the capsules and XXX is a consecutive number.

TABLE N° 13 PACKAGING STANDARDS FOR EMPTY HARD CAPSULES OF VEGETABLE ORIGIN

SIZE	QUANTITY (CAPSULES / BOX)
000	50.000
00E	65.000
00	75.000
0E	90.000
0	100.000
1	125.000
2	175.000
3	200.000
4	250.000

Note: As specifications are under continuous review, be sure to contact your sales representative for the most up-to-date technical information.

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The capsules are packaged in anti-static plastic bags which provide a protective barrier against moisture, and corrugated cartons for the secure storage and transportation of the capsules. This packaging material allows the protection of the physicochemical and microbiological characteristics of the product, provided they are stored under the specified conditions of temperature and relative humidity.

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Name/Position: Leonardo De la Hoz P. / Quality Assurance Manager

Signature:

Certificate code: V016-18