5HYLVLRQ 1R 'DWHG

# 0\$7(5,\$/6\$)(7<\$7\$6+((7

%\$/7,025( <(//2: (QKDQFHG 3LJPHQW

## 6(&7,21 ,GHQWLILFDWLRQ RI WKH VXEVWDQFH PL[WXUH DQG

3URGXFW , GHQWLILHU

&RGH 20 -3URGXFW 1DP% \$ / 7,025 ( < (//2: (QKDQFHG 3LJPHQW .& &RORU 3 < DGGLWLYHV

5HOHYDQW LGHQWLILHG XVHV RI WKH VXEVWDQFH RU PL[WXU

8VH RI WKH 6XEVWDQFH 3UHSDUDWLRQ &RORXULQJ 6XEVWI \$UWLVWV DQG 5HVWRUDWLRQ 0DWHULDO

'HWDLOV RI WKH 6XSSOLHU RI WKH 6DIHW\ 'DWD 6KHHW

&RPSDQ\ &RORU 5DUH /WG \$WPHF \*DWLQHDX 4& - 5 (7HO)D[
(PDLO LQIR#WHUUDFKURP FRP

(PHUJHQF\ 7HOHSKRQH 1R

&DQDGD &RQWDFW \RXU ORFDO 3URYLQFLDO 3RLVRQ &HQWUKWWS ZZZ FDSFF FD 2QWDULR

4XHEHF

<u>86</u>\$ \$PHULFDQ \$VVRFLDWLRQ RI 3RLVRQ &RQWURO &HQWHU\ <u>KWWS ZZZ</u>DDSFF RUJ

#### 6(&7,21 + D]DUGV,GHQWLILFDWLRQ

127 1(&(66\$5<

&ODVVLILFDWLRQ RI WKH VXEVWDQFH RU PL[WXUH &ODVVLILFDWLRQ DFFRUGLQJ WR (& 5HJXODWLRQ

0DWHULDO 6DIHW\ GDWD VKHHW 3URG %#\$W7,025( <(//2:

> 5HYLVLRQ 1R 'DWHG

7KLV SURGXFW GRHV QRW UHTXLUH FODVVLILFDWLRQ DQG ODEHO

&ODVVLILFDWLRQ DFFRUGLQJ WR (& 5HJXODWLRQ 1R RU 1R 7KH PDWHULDO LV QRW VXEMHFW WR FODVVLILFDWLRQ DFFRUGLO

## 6(&7,21 &RPSRVLWLRQ,QIRUPDWLRQRQ,QJUHGLHQWV

&KHPLFDO &KDUDFWHUL]DWLRQ PRQRD]R SLJPHQW )UDQFH & , & \$6

+D]DUGRXV,QJUHGLHQWV 121(

## 6(&7,21 )LUVW \$LG OHDVXUHV

'HVFULSWLRQ RI WKH )LUVW \$LG OHDVXUHV
\$IWHU LQKDODWLRQ 6XSSO\ IUHVK DLU ,I UHTXLUHG JLYH DUWL
,I EUHDWKLQJ LV GLIILFXOW FDOO D SK\VLFLDQ \*LYH DUWLILFLD
LI LW KDV VWRSSHG \$IWHU VNLQ FRQWDFW :DVK ZLWK VRDS DQ
FRQWLQXHV FRQVXOW D SK\VLFLDQ
\$IWHU H\H FRQWDFW 5LQVH RSHQ H\HV ZLWK SOHQW\ RI ZDWHU
\$IWHU LQJHVWLRQ 5LQVH PRXWK ZLWK ZDWHU DQG JLYH SOHQW'
1HYHU JLYH DQ\WKLQJ E\ PRXWK WR DQ XQFRQVFLRXV SHUVRQ '
\*HQHUDO LQIRUPDWLRQ 6HHN PHGLFDO DWWHQWLRQ LQ FDVH RI

ORVW ,PSRUWDQW 6\PSWRPV DQG (IIHFWV ERWK \$FXWH DQG 'HOIH\HV VNLQ DQG UHVSLUDWRU\ V\VWHP

#### 6(&7,21 )LUH )LJKWLQJ OHDVXUHV

([WLQJXLVKLQJ PHGLD 1RQ IODPPDEOH SURGXFW 8VH H[WLQJXLVKLQJ PHGLD IRU VXUUF

6SHFLDO KD]DUGV DULVLQJ IURP WKH VXEVWDQFH RU PL[WXU'R QRW LQKDOH IXPHV

\$GYLFH IRU ILUHILJKWHUV 3URWHFWLYH HTXLSPHQW :HDU VHOI FRQWDLQHG UHVSLUDWRU\

5HYLVLRQ 1R 'DWHG

### 6(&7,21 \$FFLGHQWDO 5HOHDVH 0HDVXUHV

3 HUVRQDO SUHFDXWLRQV SURWHFWLYH HTX\$LYSRPLHGQ YGYXDVQYG HPFIRUPDWLRQ

(QYLURQPHQWDO SUHFDXWLRQV 1R VSHFLDO PHDVXUHV UHTXLUHG 7KH SURGXFW LV QRW GDQJHI

0HWKRGV DQG PDWHULDO IRU FRQWDLQPHQW DQG FOHDQLQJ &OHDQ XS ZLWK DSSURSULDWH SHUVRQDO HTXLSPHQW

5HIHUHQFH WR RWKHU VHFWLRQV \$Q\ LQIRUPDWLRQ RQ SHUVRQDO SURWHFWLRQ DQG GLVSRVDO L\

#### 6(&7.21 +DQGOLQJ DQG 6WRUDJH

3UHFDXWLRQV IRU VDIH KDQGOLQJ ,QVWUXFWLRQV RQ VDIH KDQGOLQJ \$YRLG IRUPDWLRQ DQG GHSI +\JLHQLF PHDVXUHV :DVK KDQGV DW WKH HQG RI ZRUN 3UHYHQ

&RQGLWLRQV IRU VDIH VWRUDJH LQFOXGLQJ DQ\ LQFRPSDWL6WRUDJH FRQGLWLRQV 6WRUH LQ D FRRO DQG GU\ SODFH LQ ZH5HTXLUHPHQWV IRU VWRUDJH DUHDV DQG FRQWDLQHUV 1R VSHF

#### 6(&7,21 ([SRVXUH &RQWUROV 3HUVRQDO 3URWHFWLRQ

&RQWURO SDUDPHWHUV
3DUDPHWHUV WR EH FRQWUROOHG '( 7/9 7:\$ PJ P

([SRVXUH FRQWUROV

7HFKQLFDO SURWHFWLYH PHDVXUHV :KLOH ZRUNLQJ ZLWK WKH SEH FRQWUROOHG DQG WKH H[SRVHG SHUVRQ REVHUYHG )DFLOLVEH HTXLSSHG ZLWK DQ H\HZDVK IDFLOLW\ 3URYLGH DGHTXDWH YWR EH FRQWUROOHG '( &REDOW PHWDO GXVW DQG IXPHV \$GGLWLRQDO LQIRUPDWLRQ %LRORJLFDO OLPLWV &REDOW PHWDO GXVW DQG IXPHV QRQH /LWKLXP FRPSRXQGV QRQH

3HUVRQDO 3URWHFWLYH (TXLSPHQW \*HQHUDO SURWHFWLYH PHDVXUHV 'R QRW LQKDOH GXVW 'R QRW KDQGV EHIRUH EUHDNV DQG DW WKH HQG RI ZRUN 0 D W H U L D O 6 D I H W \ G D W D V K H H W 3 U R G 1/4 T, 0 2 5 ( < (//2:

5HYLVLRQ 1R 'DWHG

5HVSLUDWRU\ SURWHFWLRQ 'XVW PDVN UHFRPPHQGHG ZKHQ YHL SURWHFWLRQ 3URWHFWLYH JORYHV 7KH JORYH PDWHULDO KDV SURGXFW VXEVWDQFH SUHSDUDWLRQ 3URWHFWLYH JORYH PDWHULDO 5XEEHU RU SODVWLF JORYHV (\H SURWHFWLRQ 6DIHW\ JODVVHV ZLWK SURWHFWLYH VKLHOGV

#### 6(&7,21 3K\VLFDO DQG &KHPLFDO 3URSHUWLHV

,QIRUPDWLRQ RQ EDVLF SK\VLFDO DQG FKHPLFDO SURSHUWLF )RUP SRZGHU &RORU \HOORZ 2GRU RGRUOHVV S+ 9DOXH OHOWLQJ WHPSHUDWXUH 1\$ % RLOLQJ WHPSHUDWXUH 1\$ )ODVK SRLQW QRW FRPEXVWLEOH (YDSRUDWLRQ UDWH QRW DSSOLFDEOH )ODPPDELOLW\ VROLG JDV QRQ IODPPDEOH 9DSRU SUHVVXUH QRW DSSOLFDEOH 9DSRU GHQVLW\ 1R LQIRUPDWLRQ DYDLODEOH 6ROXELOLW\ LQ ZDWHU LQVROXEOH 6SHFLILF \*UDYLW\ + 2 QRW DYDLODEOH \$SDUHQW GHQVLW\ QRW DYDLODEOH J O \$XWR LJQLWLRQ WHPSHUDWXUH QRW DSSOLFDEOH 9LVFRVLW\ G\QDPLF QRW DSSOLFDEOH ([SORVLYH SURSHUWLHV 3URGXFW LV QRW H[SORVLYH 2[LGL]LQJ SURSHUWLHV QR LQIRUPDWLRQ DYDLODEOH

## 6(&7,21 6WDELOLW\ DQG 5HDFWLYLW\

5HDFWLYLW\ 7KHUH DUH QR UHDFWLRQ ULVNV ZLWK RWKHU VXEVWDQFHV LQ Q

&KHPLFDO VWDELOLW\
7KH SURGXFW LV VWDEOH LQ QRUPDO FRQGLWLRQV RI XVH DQG \

3RVVLELOLW\ RI KD]DUGRXV UHDFWLRQV 1R KD]DUGRXV UHDFWLRQV DUH IRUHVHHDEOH LQ QRUPDO FRQGL

&RQGLWLRQV WR DYRLG 1RQH KRZHYHU WKH XVXDO SUHFDXWLRQV XVHG IRU FKHPLFDO S 0DWHULDO 6DIHW\ GDWD VKHHW 3URG %#\$W7,025( <(//2:

> 5HYLVLRQ 1R 'DWHG

,QFRPSDWLEOH PDWHULDOV ,QIRUPDWLRQ QRW DYDLODEOH

+D]DUGRXV GHFRPSRVLWLRQ SURGXFWV,QIRUPDWLRQ QRW DYDLODEOH

## 6(&7,21 7R[LFRORJLFDO,QIRUPDWLRQ

,QIRUPDWLRQ RQ WR[LFRORJLFDO HIIHFWV

\$ 87(25\$/72;,&I,D(0)) > 5g/kg

7KLV SURGXFW LV QRQ WR[LF
7KLV SURGXFW PD\ FDXVH VHULRXV RFXODU OHVLRQV FRUQHD R
FRORUDWLRQ
\$FXWH HIIHFWV FRQWDFW ZLWK VNLQ PD\ FDXVH LUULWDWLRQ
VNLQ ,QJHVWLRQ PD\ FDXVH KHDOWK GLVRUGHUV LQFOXGLQJ V

#### 6(&7,21 (FRORJLFDO,QIRUPDWLRQ

1RW KD]DUGRXV WR WKH HQYLURQPHQW 8VH WKLV SURGXFW DFFRUGLQJ WR JRRG ZRUNLQJ SUDFWLFHV \$ DXWKRULWLHV VKRXOG WKH SURGXFW UHDFK ZDWHUZD\V RU VH2

#### 6(&7,21 'LVSRVDO &RQVLGHUDWLRQV

5HXVH ZKHQ SRVVLEOH (QVXUH WKDW GLVSRVDO LV LQ FRPSOLDQFH ZLWK QDWLRQDO DC &DQ EH GLVSRVHG LQ D FRQWUROOHG GXPS

#### 6(&7,21 7UDQVSRUW,QIRUPDWLRQ

12 5 (675, & 7, 216

81 QXPEHU
1RW DSSOLFDEOH
81 SURSHU VKLSSLQJ QDPH
1RW DSSOLFDEOH
7UDQVSRUW KD]DUG FODVV HV

0 D W H U L D O 6 D I H W \ G D W D V K H H W 3 U R G 1/4 T, 0 2 5 ( < (//2:

5HYLVLRQ 1R 'DWHG

1RW DSSOLFDEOH
3DFNLQJ JURXS

1RW DSSOLFDEOH
(QYLURQPHQWDO KD]DUGV

1RW DSSOLFDEOH
6SHFLDO SUHFDXWLRQV IRU XVHU

1RW DSSOLFDEOH
7UDQVSRUW LQ EXON DFFRUGLQJ WR \$QQH[,, RI 0\$532/,QIRUPDWLRQ QRW UHOHYDQW

## 6(&7,21 5HJXODWRU\,QIRUPDWLRQ

7KLV SURGXFW LV QRW D GDQJHURXV VXEVWDQFH DFFRUGLQJ WR

#### 6(&7,21 2WKHU,QIRUPDWLRQ

7KH LQIRUPDWLRQ FRQWDLQHG LQ WKLV GRFXPHQW LV EDVHG RQYHUVLRQ 8VHUV PXVW YHULI\ WKH VXLWDELOLW\ DQG WKRURXJKHDFK VSHFLILF XVH RI WKH SURGXFW
7KLV GRFXPHQW PXVW QRW EH UHJDUGHG DV D JXDUDQWHH RQ DRI WKLV SURGXFW LV WKH UHVSRQVLELOLW\ RI WKH XVHU WKHUKHDOWK DQG VDIHW\ ODZV DQG UHJXODWLRQV 7KH SURGXFHU L\SURGXFW