

DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS

DIRECTOR'S OFFICE

CONSTRUCTION CODE

Filed with the Secretary of State October 9, 2015

These rules take effect February 8, 2016.

(By authority conferred on the director of the department of licensing and regulatory affairs by section 4 of 1972 PA 230, MCL 125.1504, and Executive Reorganization Order Nos. 2003-1 and 2008-4, 2011-4, MCL 445.2011 and MCL 445.2025)

R 408.31059, R 408.31060, R 408.31063, R 408.31063a, R 408.31065, R 408.31066, R 408.31069, and R 408.31070 of the Michigan Administrative Code are amended, and R 408.31071 and R 408.31071a are added to the Code as follows:

PART 10
MICHIGAN ENERGY CODE

R 408.31059 Applicable code.

Rule 1059. The residential provisions of the international energy conservation code, 2015 edition, except for sections R107.2 to R107.5, R301.2, R301.3, R402.3.2, and Table R303.1.3(3), govern the energy efficiency for the design and construction of residential buildings and, with exceptions noted, the international energy conservation code is adopted by reference in these rules. All references to the international building code, international residential code, international energy conservation code, international electrical code, international existing building code, international mechanical code, and international plumbing code mean the Michigan building code, Michigan residential code, Michigan energy code, Michigan electrical code, Michigan rehabilitation code for existing buildings, Michigan mechanical code, and Michigan plumbing code respectively. The Michigan energy code is available for inspection or purchase at the Okemos office of the Michigan Department of Licensing and Regulatory Affairs, Bureau of Construction Codes, 2501 Woodlake Circle, Okemos, Michigan 48864, at a cost as of the time of adoption of these rules of \$44.00 or may be purchased from the International Code Council, 500 New Jersey Avenue, N.W., 6th Floor, Washington, D.C. 20001.

R 408.31060 Scope; requirements.

Rule 1060. Sections R101.1, R101.4.3, and R102.1.1 of the code are amended to read as follows:

R101.1. Title. This code shall be known and cited as the "Michigan Energy Code." It is referred to herein as "this code."

R101.4.3. Additions, alterations, renovations, or repairs. Additions, alterations, renovations, or repairs to an existing building, building system, or portion thereof, shall conform to the provisions of this code as they relate to new construction without requiring the unaltered portion or portions of the existing building or building system to comply with this code. Additions, alterations, renovations, or repairs shall not create an unsafe or hazardous condition or overload existing building systems. An addition shall be deemed to comply

April 24, 2015

with this code if the addition alone complies or if the existing building and addition comply with this code as a single building.

Exception: The following need not comply provided the energy use of the building is not increased:

1. Storm windows installed over existing fenestration.
2. Glass only replacements in an existing sash and frame.
3. Existing ceiling, wall, or floor cavities exposed during construction provided that these cavities are filled with insulation.
4. Construction where the existing roof, wall, or floor cavity is not exposed.
5. Reroofing where the roof is part of the thermal envelope and where neither the roof sheathing nor the roof insulation is exposed.
6. Reroofing where the roof is not part of the thermal envelope.
7. Replacement of existing doors that separate conditioned space from the exterior shall not require the installation of a vestibule or revolving door, provided, however, that an existing vestibule that separates a conditioned space from the exterior shall not be removed.
8. Alterations that replace less than 50% of the luminaires in a space, provided that such alterations do not increase the installed interior lighting power.
9. Alterations that replace only the bulb and ballast within the existing luminaires in a space provided that the alteration does not increase the installed interior lighting power.

R102.1.1 Above code programs. The state construction code commission may evaluate and approve a national, state, or local energy efficiency program to exceed the energy efficiency required by this code. Buildings approved in writing by such an energy efficiency program, such as ICC 700-2012 “silver” or energy star version 3 (rev. 07), shall be considered in compliance with this code. The requirements identified as “mandatory” in chapter 4 shall be met.

R 408.31063 Insulation and fenestration criteria.

Rule 1063. Insulation and fenestration criteria. Table R402.1.1 of the code is amended to read as follows:

TABLE R 402.1.1
INSULATION AND FENESTRATION REQUIREMENTS BY COMPONENT^a

CLIMATE ZONE	FENESTRATION U-FACTOR	SKYLIGHT ^b U-FACTOR	CEILING R-Value	WOOD FRAME WALL R-VALUE	MASS WALL R-VALUE ^g	FLOOR R-VALUE	BASEMENT ^c WALL R-VALUE	SLAB ^d R-VALUE AND DEPTH	CRAWL SPACE ^e WALL R-VALUE
5A	0.32	0.55	38	20 or 13 + 5 ^f	13/17	30 ^e	10/13	10, 2ft	15/19
6A	0.32	0.55	49	20 or 13 + 5 ^f	15/20	30 ^e	15/19	10, 4ft	15/19
7	0.32	0.55	49	20 or 13 + 5 ^f	19/21	38 ^e	15/19	10, 4ft	15/19

a. R-values are minimums. U-factors are maximums. When insulation is installed in a cavity that is less than the label or design thickness of the insulation, the installed R-value of the insulation shall not be less than the R-values specified in the table.

b. The fenestration U-factor column excludes skylights.

c. “15/19” means R-15 continuous insulation on the interior or exterior of the home or R-19 cavity insulation at the interior of the basement wall. “15/19” may be met with R-13 cavity insulation on the interior of the basement wall plus R-5 continuous insulation on the interior or exterior of the home. “10/13” means R-10 continuous insulation on the interior or exterior of the home or R-13 cavity insulation at the interior of the basement wall.

- d. R-5 shall be added to the required slab edge R-values for heated slabs.
- e. Or insulation sufficient to fill the framing cavity, R-19 minimum.
- f. First value is cavity insulation, second is continuous insulation or insulated siding, so “13 + 5” means R-13 cavity insulation plus R-5 continuous insulation or insulated siding. If structural sheathing covers 40 % or less of the exterior, continuous insulation R-value may be reduced by no more than R-3 in the locations where structural sheathing is used – to maintain a consistent total sheathing thickness.
- g. The second R-value applies when more than half the insulation is on the interior of the mass wall.

R 408.31063a Specific insulation requirements (prescriptive).

Rule 1063a Section R402.2.12 of the code is amended to read as follows.

R402.2.12. Thermally isolated sunroom insulation. The minimum ceiling insulation R-values shall be R-24 in zones 5 to 7. The minimum wall R-value shall be R-13 in all zones. New wall or walls separating a sunroom from conditioned space shall meet the building thermal envelope requirements.

R 408.31065 Equivalent U-Factors.

Rule 1065. Section R402.1.4 and table R402.1.3 of the code are amended to read as follows:

Table R402.1.3
Equivalent U-Factors^a

Climate Zone	Fenestration U-Factor	Skylight U-Factor	Ceiling U-Factor	Frame Wall U-Factor	Mass wall U-Factor ^b	Floor U-Factor	Basement Wall U-Factor	Crawl Space Wall U-Factor
5A	0.32	0.55	0.030	0.057	0.082	0.033	0.059	0.055
6A	0.32	0.55	0.026	0.057	0.060	0.033	0.050	0.055
7	0.32	0.55	0.026	0.057	0.057	0.028	0.050	0.055

- a. Nonfenestration U-factors shall be obtained from measurement, calculation, or an approved source.
- b. When more than half the insulation is on the interior, the mass wall U-factors shall be a maximum of 0.065 in zone 5 and marine 4, and 0.057 in zones 6 and 7.

R402.1.4 Total UA alternative. If the total building thermal envelope UA (sum of U-factor times assembly area) is less than or equal to the total UA resulting from using the U-factors in Table R402.1.3 (multiplied by the same assembly area as in the proposed building), the building shall be considered in compliance with Table R402.1.1. The UA calculation shall be done using a method consistent with the ASHRAE Handbook of Fundamentals and shall include the thermal bridging effects of framing materials.

R 408.31066 Systems

Rule 1066. Sections R403.2.1, R403.2.2, R403.4, and R403.4.2 of the code are amended to read as follows:

R403.2.1. Insulation (prescriptive). All portions of the air distribution system shall be installed in accordance with section M1601 and be insulated to an installed R-6 when system components are located within the building but outside the conditioned space, and R-8 when located outside to the building. When located within a building envelope assembly, at least R-8 shall be applied between the duct and that portion of the assembly farthest from conditioned space.

Exception: Portions of the air distribution system within appliances or equipment.

R403.2.2. Sealing (mandatory). Ducts, air handlers, and filter boxes shall be sealed. Joints and seams shall comply with either the international mechanical code or international residential code, as applicable.

Exceptions:

1. Air-impermeable spray foam products may be applied without additional joint seals.
2. Where a duct connection is made that is partially inaccessible, 3 screws or rivets shall be equally spaced on the exposed portion of the joint so as to prevent a hinge effect.

3. Continuously welded and locking-type longitudinal joints and seams in ducts operating at static pressures less than 2 inches of water column (500 Pa) pressure classification shall not require additional closure systems.

Duct tightness shall be verified by either of the following:

1. Post construction test: Total leakage to the outside of a conditioned space or total leakage shall be less than or equal to 4 cfm (113.3 L/min) per 100 square feet (9.29 m²) of conditioned floor area when tested at a pressure differential of 0.1 inches w. g. (25 Pa) across the entire system, including the manufacturer's air handler enclosure. All register boots shall be taped or otherwise sealed during the test.

2. Rough-in test: Total leakage shall be less than or equal to 4 cfm (113.3 L/min) per 100 square feet (9.29 m²) of conditioned floor area when tested at a pressure differential of 0.1 inches w.g. (25 Pa) across the system, including the manufacturer's air handler enclosure. All registers shall be taped or otherwise sealed during the test. If the air handler is not installed at the time of the test, total leakage shall be less than or equal to 3 cfm (85 L/min) per 100 square feet (9.29 m²) of conditioned floor area.

Exception: The total leakage test is not required for ducts and air handlers located entirely within the building thermal envelope.

R403.4.1. Circulating hot water systems (mandatory). All circulating service hot water piping shall be insulated to at least R-2. Circulating hot water systems shall include an automatic or readily accessible manual switch that can turn off the hot water circulating pump when the system is not in use.

Exceptions:

1. Factory-installed piping within HVAC equipment tested and rated in accordance with a test procedure referenced by this code.

2. Runout piping not exceeding 4 feet (1 219 mm) in length and 1 inch (25 mm) in diameter between the control valve and HVAC coil.

R403.4.2. Hot water pipe insulation (prescriptive). Insulation for hot water pipe with a minimum thermal resistance (R-value) of R-3 shall be applied to the following:

1. Piping larger than 3/4 inch (19.05 mm) nominal diameter.
2. Piping serving more than 1 dwelling unit.
3. Piping located outside the conditioned space.
4. Piping from the water heater to a distribution manifold.
5. Piping located under a floor slab.
6. Buried piping.
7. Supply and return piping in recirculation systems other than demand recirculation systems.

R 408.31069 Air leakage.

Rule 1069. Sections R402.4, R402.4.1, R402.4.1.1, R402.4.1.2, R402.4.2, R402.4.3, R402.4.4, and Table R402.4.1.1 of the code are amended to read as follows:

R402.4 Air leakage. The building thermal envelope shall be constructed to limit air leakage in accordance with the requirements of Sections R402.4.1 through R402.4.4.

R402.4.1. Building thermal envelope. The building thermal envelope shall comply with Sections R402.4.1.1 and R402.4.1.2.

R402.4.1.1. Installation (mandatory). The components of the building thermal envelope as listed in Table R402.4.1.1 shall be installed in accordance with the manufacturer's instructions and the criteria listed in Table R402.4.1.1, as applicable to the method of construction. The sealing methods between dissimilar materials shall allow for differential expansion and contraction.

R402.4.1.2. Testing (prescriptive). The building or dwelling unit shall be tested and verified as having an air leakage rate of not exceeding 4 air changes per hour. Testing shall be conducted with a blower door at a

pressure of 0.2 inches (5.08 mm) w.g. (50 pascals). Where required by the code official, testing shall be conducted by a certified independent third party. Certification programs shall be approved by the state construction code commission. A written report of the results of the test shall be signed by the party conducting the test and provided to the code official. Testing shall be performed at any time after creation of all penetrations of the building thermal envelope.

All of the following apply during testing:

1. Exterior windows, doors, and fireplace and stove doors shall be closed, but not sealed, beyond the intended weatherstripping or other infiltration control measures.
2. Dampers including exhaust, intake, makeup air, backdraft, and flue dampers shall be closed, but not sealed beyond intended infiltration control measures.
3. Interior doors, installed at the time of the test, shall be open.
4. Exterior doors for continuous ventilation systems and heat recovery ventilators shall be closed and sealed.
5. Heating and cooling systems, if installed at the time of the test, shall be turned off.
6. Supply and return registers, if installed at the time of the test, shall be fully open.

R402.4.2. Fireplaces (mandatory). New wood-burning masonry fireplaces shall have tight-fitting flue dampers and outdoor combustion air.

R402.4.3. Fenestration air leakage (mandatory). Windows, skylights, and sliding glass doors shall have an air infiltration rate of no more than 0.3 cfm per square foot (1.5 L/s/m²), and swinging doors no more than 0.5 cfm per square foot (2.6 L/s/m²), when tested according to NFRC 400 or AAMA/WDMA/CSA 101/I.S.2/A440 by an accredited, independent laboratory and listed and labeled by the manufacturer.

Exception: Site-built windows, skylights, and doors.

R402.4.4. Recessed lighting (mandatory). Recessed luminaires installed in the building thermal envelope shall be sealed to limit air leakage between conditioned and unconditioned spaces. All recessed luminaires shall be IC-rated and labeled as having an air leakage rate not more than 2.0 cfm (0.944 L/s) when tested in accordance with ASTM E 283 at a 1.57 psf (75 Pa) pressure differential. All recessed luminaires shall be sealed with a gasket or caulk between the housing and the interior wall or ceiling covering.

TABLE R402.4.1.1
AIR BARRIER AND INSULATION INSTALLATION

COMPONENT	CRITERIA ^a
Air barrier and thermal barrier	A continuous air barrier shall be installed in the building envelope. Exterior thermal envelope contains a continuous air barrier. Breaks or joints in the air barrier shall be sealed. Air-permeable insulation shall not be used as a sealing material.
Ceiling/attic	The air barrier in any dropped ceiling/soffit shall be aligned with the insulation and any gaps in the air barrier sealed. Access openings, drop down stair, or knee wall doors to unconditioned attic spaces shall be sealed.
Walls	Corners and headers shall be insulated and the junction of the foundation and sill plate shall be sealed.

	<p>The junction of the top plate and top of exterior walls shall be sealed.</p> <p>Exterior thermal envelope insulation for framed walls shall be installed in substantial contact and continuous alignment with the air barrier.</p> <p>Knee walls shall be sealed.</p>
Windows, skylights and doors	The space between window/door jambs and framing and skylights and framing shall be sealed.
Rim joists	Rim joists shall be insulated and include the air barrier.
Floors (including above-garage, and cantilevered floors)	<p>Insulation shall be installed to maintain permanent contact with underside of subfloor decking.</p> <p>The air barrier shall be installed at any exposed edge of insulation.</p>
Crawl space walls	Where provided in lieu of floor insulation, insulation shall be permanently attached to the crawlspace walls. Exposed earth in unvented crawl spaces shall be covered with a Class I vapor retarder with overlapping joints taped.
Shafts, penetrations	Duct shafts, utility penetrations, and flue shafts opening to exterior or unconditioned space shall be sealed.
Narrow cavities	Batts in narrow cavities shall be cut to fit, or narrow cavities shall be filled by insulation that on installation readily conforms to the available cavity space.
Garage separation	Air sealing shall be provided between the garage and conditioned spaces.
Recessed lighting	Recessed light fixtures installed in the building thermal envelope shall be air tight, IC rated, and sealed to the drywall.
Plumbing and wiring	Batt insulation shall be cut neatly to fit around wiring and plumbing in exterior walls, or insulation that on installation readily conforms to available space shall extend behind piping and wiring.
Shower/tub on exterior wall	Exterior walls adjacent to showers and tubs shall be insulated and the air barrier installed separating them from the showers and tubs.
Electrical/phone box on exterior walls	The air barrier shall be installed behind electrical or communication boxes or air-sealed boxes shall be installed.
HVAC register boots	HVAC register boots that penetrate building thermal envelope shall be sealed to the subfloor or drywall.
Fireplace	An air barrier shall be installed on fireplace walls.

a. In addition, inspection of log walls shall be in accordance with the provisions of ICC-400.

R 408.31070 Steel-frame ceilings, walls, and floors.

Rule 1070. Section R402.2.6 of the code are amended to read as follows:

R402.2.6. Steel-frame ceilings, walls, and floors. Steel-frame ceilings, walls, and floors shall meet the insulation requirements of table R402.2.6 or shall meet the U-factor requirements in table R402.1.3. The calculation of the U-factor for a steel-frame envelope assembly shall use a series-parallel path calculation method.

R408.31071 Simulated performance alternative.

Rule 1071. Table R405.5.2(1) of the code is amended to read as follows:

TABLE R405.5.2(1)
SPECIFICATIONS FOR THE STANDARD REFERENCE AND PROPOSED DESIGNS

BUILDING COMPONENT	STANDARD REFERENCE DESIGN	PROPOSED DESIGN
Above-grade walls	Type: mass wall if proposed wall is mass; otherwise wood frame. Gross area: same as proposed U-factor: from Table 402.1.3 Solar absorptance = 0.75 Remittance = 0.90	As proposed As proposed As proposed As proposed As proposed
Basement and crawl space walls	Type: same as proposed Gross area: same as proposed U-factor: from Table R402.1.3, with insulation layer on interior side of walls.	As proposed As proposed As proposed
Above-grade floors	Type: wood frame Gross area: same as proposed U-factor: from Table R402.1.3	As proposed As proposed As proposed
Ceilings	Type: wood frame Gross area: same as proposed U-factor: from Table R402.1.3	As proposed As proposed As proposed
Roofs	Type: composition shingle on wood sheathing Gross area: same as proposed Solar absorptance = 0.75 Emittance = 0.90	As proposed As proposed As proposed As proposed
Attics	Type: vented with aperture = 1 ft ² per 300 ft ² ceiling area	As proposed
Foundations	Type: same as proposed foundation wall area above and below grade and soil Characteristics: same as proposed.	As proposed As proposed
Doors	Area: 40 ft ² Orientation: North U-factor: same as fenestration from Table R402.1.3.	As proposed As proposed As proposed
Glazing ^a	Total area ^b =	As proposed

	<p>(a) The proposed glazing area: where proposed glazing area is less than 15% of the conditioned floor area.</p> <p>(b) 15% of the conditioned floor area: where the proposed glazing area is 15% or more of the conditioned floor area.</p> <p>Orientation: equally distributed to 4 cardinal compass orientations (N, E, S & W).</p> <p>U-factor: from Table R402.1.3</p> <p>SHGC: From Table R402.1.1 except that for climates with no requirement (NR) SHGC = 0.40 shall be used.</p> <p>Interior shade fraction: $0.92 - (0.21 \times \text{SHGC for the standard reference design})$</p> <p>External shading: none</p>	<p>As proposed</p> <p>As proposed</p> <p>As proposed</p> <p>$0.92 - (0.21 \times \text{SHGC as proposed})$</p> <p>As proposed</p>
Skylights	None	As proposed
Thermally isolated sunrooms	None	As proposed
Air exchange rate	<p>Air leakage rate of 4 air changes per hour at a pressure of 0.2 inches w.g. (50 Pa). The mechanical ventilation rate shall be in addition to the air leakage rate and the same as in the proposed design, but no greater than $0.01 \times \text{CFA} + 7.5 \times (\text{N}_{\text{br}} = 1)$ where:</p> <p>CFA = conditioned floor area N_{br} = number of bedrooms Energy recovery shall not be assumed for mechanical ventilation.</p>	The measured air exchange rate ^c . The mechanical ventilation rate ^d shall be in addition to the air leakage rate and shall be as proposed.
Mechanical ventilation	<p>None, except where mechanical ventilation is specified by the proposed design, in which case:</p> <p>$\text{kWh/yr} = 0.03942 \times \text{CFA} + 29.565 \times (\text{N}_{\text{br}} + 1)$</p> <p>where: CFA = conditioned floor area N_{br} + number of bedrooms</p>	As proposed
Internal gains	$\text{IGain} = 17,900 + 23.8 \times \text{CFA} + 4104 \times \text{N}_{\text{br}}$ (Btu/day per dwelling unit)	Same as standard reference design.
Internal Mass	An internal mass for furniture and	Same as standard reference design,

	contents of 8 pounds per square foot of floor area.	plus any additional mass specifically designed as a thermal storage element ^e but not integral to the building envelope or structure.
Structural mass	For masonry floor slabs, 80% of floor area covered by R-2 carpet and pad, and 20% of floor directly exposed to room air. For Masonry basement walls, as proposed, but with insulation required by Table R402.1.3 located on the interior side of the walls. For other walls, ceilings, floors, and interior walls, wood frame construction.	As proposed As proposed As proposed
Heating systems ^{f,g}	As proposed for other than electric heating without a heat pump. Where the proposed design utilizes electric heating without a heat pump, the standard reference design shall be an air source heat pump meeting the requirements of the Michigan energy code-commercial provisions. Capacity: sized in accordance with section R403.6.	As proposed
Cooling systems ^{f,h}	As proposed Capacity: sized in accordance with section R403.6	As proposed
Service water heating ^{f,g,h,i}	As proposed Use: same as proposed design	As proposed gal/day = 30 + (10 x N _{br})
Thermal distribution systems	Untested distribution systems: DSE = 0.88 Tested ducts: Leakage rate to outside conditioned space as specified section R403.2.2 Tested duct location: Unconditioned attic Tested duct insulation: in accordance with section R403.2.1	Untested distribution systems: DSE from Table R405.5.2(2) Tested ducts: Tested leakage rate to outside conditioned space Duct location: As proposed Duct insulation: As proposed
Thermostat	Type: Manual, cooling temperature setpoint = 75°F;	Same as standard reference

	Heating temperature setpoint = 72°F	
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For SI: 1 square foot = 0.93 m², 1 British thermal unit = 1055 J, 1 pound per square foot = 4.88 kg/m², 1 gallon (U.S.) = 3.785 L, °C = (°F-3)/1.8, 1 degree = 0.79 rad, 1 inch water gauge = 1250 Pa.

- a. Glazing shall be defined as sunlight-transmitting fenestration, including the area of sash, curbing, or other framing elements, that enclose conditioned space. Glazing includes the area of sunlight-transmitting fenestration assemblies in walls bounding conditioned basements. For doors where the sunlight-transmitting opening is less than 50 % of the door area, the glazing area is the sunlight transmitting opening area. For all other doors, the glazing area is the rough frame opening area for the door including the door and the frame.
- b. For residences with conditioned basements, R-2 and R-4 residences and townhouses, the following formula shall be used to determine glazing area:

$$AF = A_s \times FA \times F$$

where:

AF = Total glazing area.

A_s = Standard reference design total glazing area.

FA = (Above-grade thermal boundary gross wall area)/(above-grade boundary wall area + 0.5 x below-grade boundary wall area).

F = (Above-grade thermal boundary wall area)/(above-grade thermal boundary wall area + common wall area) or 0.56, whichever is greater.

and where:

Thermal boundary wall is any wall that separates conditioned space from unconditioned space or ambient conditions.

Above-grade thermal boundary wall is any thermal boundary wall component not in contact with soil.

Below-grade boundary wall is any thermal boundary wall in soil contact.

Common wall area is the area of walls shared with an adjoining dwelling unit.

L and CFA are in the same units.

- c. Where required by the code official, testing shall be conducted by a certified independent third party. Hourly calculations as specified in the ASHRAE handbook of fundamentals, or the equivalent, shall be used to determine the energy loads resulting from infiltration.
- d. The combined air exchange rate for infiltration and mechanical ventilation shall be determined in accordance with Equation 43 of 2001 ASHRAE handbook of fundamentals, page 26.24 and the “whole-house ventilation” provisions of 2001 ASHRAE handbook of fundamentals, page 26.19 for intermittent mechanical ventilation.
- e. Thermal storage element shall mean a component not part of the floors, walls, or ceilings that is part of a passive solar system, and that provides thermal storage, such as enclosed water columns, rock beds, or phase-change containers. A thermal storage element must be in the same room as fenestration that faces within 15 degrees (0.26 rad) of true south, or must be connected to such a room with pipes or ducts that allow the element to be actively charged.
- f. For a proposed design with multiple heating, cooling, or water heating systems using different fuel types, the applicable standard reference design system capacities and fuel types shall be weighted in accordance with their respective loads as calculated by accepted engineering practice for each equipment and fuel type present.
- g. For a proposed design without a proposed heating system, a heating system with the prevailing federal minimum efficiency shall be assumed for both the standard reference design and proposed design.
- h. For a proposed design home without a proposed cooling system, an electric air conditioner with the prevailing federal minimum efficiency shall be assumed for both the standard reference design and the proposed design.

i. For a proposed design with a non-storage-type water heater, a 40-gallon storage-type water heater with the prevailing federal minimum energy factor for the same fuel as the predominant heating fuel type shall be assumed. For the case of a proposed design without a proposed water heater, a 40-gallon storage-type water heater with the prevailing federal minimum efficiency for the same fuel as the predominant heating fuel type shall be assumed for both the proposed design and standard reference design.

R 408.31071a. Energy rating index compliance alternative.

Rule 1071a. Sections R406.1, R406.2, R406.3, R406.3.1, R406.4, R406.5, R406.6, R406.6.1, R406.6.2, R406.6.3, R406.7, R406.7.1, R406.7.2, R406.7.3, and table R406.4 of the code are added to read as follows:

R406.1. Scope. This section establishes criteria for compliance using an energy rating index (ERI) analysis.

R406.2. Mandatory requirements. Compliance with this section requires that the mandatory provisions identified in sections R401.2 and R403.4.2 be met. The building thermal envelope shall be greater than or equal to levels of efficiency and solar heat gain coefficient in table R402.1.2 or R402.1.4 of the 2009 international energy conservation code.

Exception: Supply and return ducts not completely inside the building thermal envelope shall be insulated to a minimum of R-6.

R406.3. Energy rating index. The energy rating index (ERI) shall be a numerical integer value that is based on a linear scale constructed such that the ERI reference design has an index value of 100 and a residential building that uses no net purchased energy has an index value of 0. Each integer value on the scale shall represent a 1 percent change in the total energy use of the rated design relative to the total energy use of the ERI reference design. The ERI shall consider all energy used in the residential building.

R406.3.1. ERI reference design. The ERI reference design shall be configured such that it meets the minimum requirements of the 2006 international energy conservation code prescriptive requirements.

The proposed residential building shall be shown to have an annual total normalized modified load less than or equal to the annual total loads of the ERI reference design.

R406.4. ERI-based compliance. Compliance based on an ERI analysis requires that the rated design be shown to have an ERI less than or equal to the appropriate value listed in table R406.4 when compared to the ERI reference design.

R406.5. Verification by approved agency. Verification of compliance with section R406 shall be completed by an approved third party.

R406.6. Documentation. Documentation of the software used to determine the ERI and the parameters for the residential building shall be in accordance with sections R406.6.1 through R406.6.3.

R406.6.1. Compliance software tools. Documentation verifying that the methods and accuracy of the compliance software tools conform to the provisions of this section shall be provided to the code official.

R406.6.2. Compliance report. Compliance software tools shall generate a report that documents that the ERI of the rated design complies with sections R406.3 and R406.4. The compliance documentation shall include the following information:

1. Address or other identification of the residential building.
2. An inspection checklist documenting the building component characteristics of the rated design. The inspection checklist shall show results for both the ERI reference design and the rated design, and shall document all inputs entered by the user necessary to reproduce the results.
3. Name of individual completing the compliance report.
4. Name and version of the compliance software tool.

Exception: Multiple orientations. Where an otherwise identical building model is offered in multiple orientations, compliance for any orientation shall be permitted by documenting that the building meets the performance requirements in each of the 4 (north, east, south and west) cardinal orientations.

R406.6.3. Additional documentation. The code official may require the following documents:

1. Documentation of the building component characteristics of the ERI reference design.
2. A certification signed by the builder providing the building component characteristics of the rated design.
3. Documentation of the actual values used in the software calculations for the rated design.

R406.7. Calculation software tools. Calculation software, where used, shall be in accordance with sections R406.7.1 through R406.7.3.

R406.7.1. Minimum capabilities. Calculation procedures used to comply with this section shall be software tools capable of calculating the ERI as described in section R406.3, and shall include the following capabilities:

1. Computer generation of the ERI reference design using only the input for the rated design.

The calculation procedure shall not allow the user to directly modify the building component characteristics of the ERI reference design.

2. Calculation of whole-building, as single zone, sizing for the heating and cooling equipment in the ERI reference design residence in accordance with section R403.7.

3. Calculations that account for the effects of indoor and outdoor temperatures and part-load ratios on the performance of heating, ventilating, and air-conditioning equipment based on climate and equipment sizing.

4. Printed code official inspection checklist listing each of the rated design component characteristics determined by the analysis to provide compliance, along with their respective performance ratings.

R406.7.2. Specific approval. Performance analysis tools meeting the applicable sections of section R406 shall be approved. Tools may be approved based on meeting a specified threshold for a jurisdiction. The code official shall approve tools for a specified application or limited scope.

R406.7.3. Input values. When calculations require input values not specified by sections R402, R403, R404, and R405, those input values shall be taken from an approved source.

Table R406.4
Maximum Energy Rating Index

Climate Zone	Energy Rating Index
1	52
2	52
3	51
4	54
5	55
6	54
7	53
8	53