

Table of Contents

Preface	7
1 Fraud, Abuse, and Waste.....	9
The Office of Inspector General.....	10
OIG Compliance Guidance	13
Social Security Act (SSA).....	14
The False Claims Act.....	15
Civil Monetary Penalties Law	16
Other Federal Laws	17
State False Claims Acts.....	19
Federal Anti-Fraud Initiatives	20
Recovery Audit Program	21
Medicaid RACs	28
Unified Program Integrity Contractors	29
CERT Program	30
Return of Overpayments	32
Medicare Part A and B	32
Red Flags Rule	33
2 Coding Compliance Program	35
Key Compliance Program Elements.....	36
Risk Assessment	36
Standards of Conduct.....	39
Policies and Procedures	41
Communication.....	44
Disciplinary Guidelines.....	45

Training and Education	45
Auditing and Monitoring.....	48
3 Resources.....	57
CMS Internet Only Manuals (IOMs).....	57
Other CMS Resources	58
Federal Register	60
National Coverage Determinations	61
Local Coverage Determinations (LCDs).....	61
Medicare Administrative Contractors (MACs).....	62
Other Payer Policies	64
State Regulations	65
CPT® Procedure Codes.....	65
HCPCS Codes	66
Diagnosis Codes	67
National Correct Coding Initiative	68
4 Documentation Requirements	75
Documentation of Radiation Oncology Services	75
Electronic Health Records.....	78
Cloned Notes.....	82
Authentication	84
5 Radiation Oncology Coding and Billing Compliance	89
Third-Party Billing Services	89
Prior Authorizations.....	90
Modifiers.....	93
Place of Service	95
Physician Supervision of Therapeutic Services	97

Substitute Physicians	104
Teaching Physicians	106
Medical Necessity	107
Evaluation and Management Services.....	108
Shared Visits.....	109
Documentation of E/M Services	111
Bundling Versus Packaging	113
Advance Beneficiary Notice (ABN).....	114
Multiple Procedure Payment Reduction	119
Clinical Trials	119
Professional Courtesy	121
Medicare Secondary Payer	121
Coordination of Benefits.....	123
Refunds and Credit Balances	125
Benefit Management Companies	126
Denials and Appeals.....	127
Appendix A AHIMA Standards of Ethical Coding.....	131
Appendix B Compliance Program Evaluation.....	133
Appendix C Appropriate Use Criteria.....	139