

Chuanhui Xie

TEST REPORT ANSI/CAN/UL 9540A:2019

Test Method for Evaluating Thermal Runaway Fire Propagation in Battery Energy Storage Systems

Report Reference No...... 230300277SHA-001

Tested by (name + signature).....: Chuanhui Xie

Approved by (name + signature): Robin Xu

Total number of pages: 33

Date of issue 2023-05-18

Testing Laboratory: Intertek Testing Services Shanghai

Address...... Building No.86, 1198 Qinzhou Road (North), Shanghai 200233, China

Testing location/ procedure: Witness testing

Testing location/ address.....: No. 158, Changbangcun Road, Fengxian District, Shanghai

Applicant's name Hocan Group Co., Ltd

Kong SAR

Test specification:

Standard : ANSI/CAN/UL 9540A:2019 (Fourth Edition) + UL CRD's

Test procedure.....: Module level test (clause 8.1-8.4)

Non-standard test method.....: N/A

Test Report Form No. : ANSI/CAN/UL 9540A Module

Test Report Form(s) Originator: Intertek

Master TRF Dated 2022-01

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Test item description.....: Lithium-ion battery

Trade Mark...... SOK (SK)

Manufacturer...... Zhuhai SEPICN Electronics And Technology Co., Ltd

Model/Type reference...... SK48V100

Ratings...... 51.2 V, 100 Ah

General disclaimer:

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List of attachments:

Attachment 1 - Photos

Attachment 2 – Module conditioning (charge/discharge) profiles

Attachment 3 - Module thermal runaway record

Attachment 4 – Temperature and voltage profile during thermal runaway

Attachment 5 - Chemical heat release rate measurement

Attachment 6 – Gas generation measurement

Attachment 7 - Smoke release rate measurement

Attachment 8 - Equipment list

Test video 230300277-1.mp4 is provided in addition to this test report.

Summary of testing:

runaway propagation.

Module weight loss 6.0 kg

Conclusion:

Thermal runaway is contained by module design, but cell vent gas is flammable as determined by the cell level test. According to the standard, a unit level testing in accordance with UL 9540A need to be conducted on a unit employing this module.

Possible test case verdicts:

- test case does not apply to the test object...... N/A

- test object was not evaluated for the requirement: N/E

- test object does not meet the requirement Fail (F)

Testing:

Date of receipt of test items 2023-03-03

General remarks:

"(see Attachment #)" refers to additional information appended to the report.

"(see appended table)" refers to a table appended to the report.

The tests results presented in this report relate only to the object tested.

This report shall not be reproduced except in full without the written approval of the testing laboratory.

List of test equipment must be kept on file and available for review.

Additional test data and/or information is provided in the attachments to this report.

Throughout this report a \square comma / \boxtimes **point** is used as the decimal separator.

Determination of the test results includes consideration of measurement uncertainty from the test equipment and methods.



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Product information: Cell inforamtion Manufacturer.....: JIANGXI GANFENG BATTERYTECHNOLOGY CO.,LTD Model name: 48173125-100Ah Chemistry....: LiFePO₄ Physical configuration...... Prismatic Dimension (W*L*H) (173.8±0.5) mm * (71.45±0.5) mm * (207.15±1.0) mm Weight....: ≤2.30 kg Nominal voltage: 3.2 V Rated capacity: 100 Ah If the cell compliance with UL 1973: UL MH63648 Standard charge method Charge current.....: 50 A End of charge voltage.....: 3.65 V Cut off current: 5 A Standard discharge method Discharge current: 50 A Test result from cell level 9540A test report Average cell venting temperature 202.0 °C Average cell thermal runaway onset temperature...: 272.4 °C LFL at ambient temperature 6.3% at 25±2°C and 101±2kPa LFL cell venting temperature 5.1% at 200±2°C and 101±2kPa

Burning velocity: 80.5 cm/s

P_{max}: 0.720 MPa



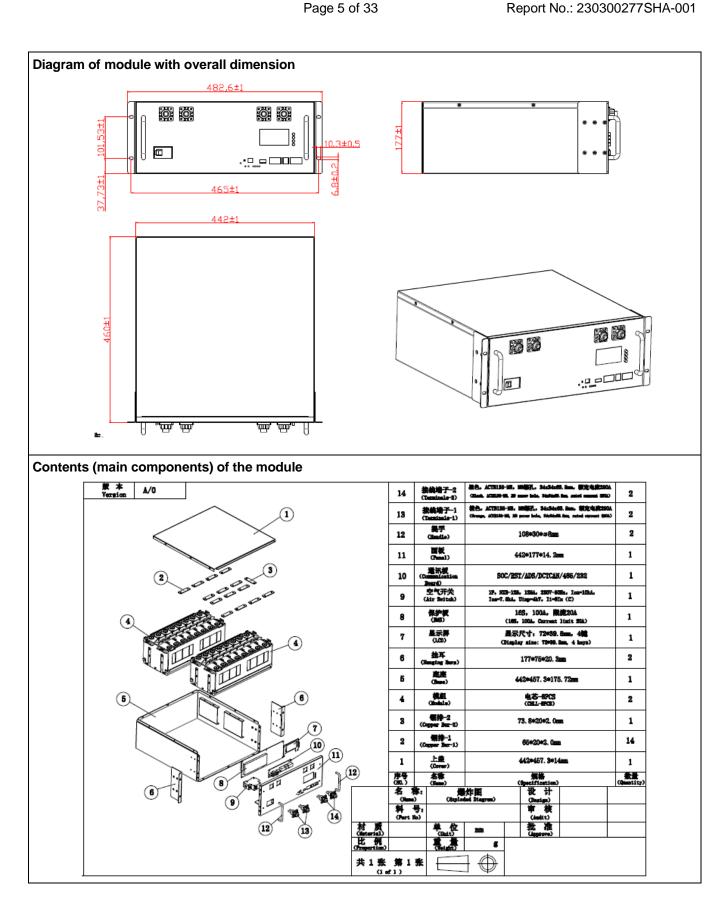
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Module information Manufacturer.....: Zhuhai SEPICN Electronics And Technology Co., Ltd No. 16, 1st, Jinyuan Rd, Jinding, ZHUHAI CITY Address.....: Guangdong 519085 SK48V100 Model name: Physical configuration Enclosure material..... Metal Dimension....: (460±1) mm*(465±1) mm*(177±1) mm Weight.....: 51 kg Cells in series/parallel:: 16S1P Total number of cells:: 16 cells Cooling method....: Nature cooling Separation between cells: PC plastic (Thickness: 1.5mm) **Electrical rating** Rated capacity: 100 Ah Rated energy: 5120 Wh Nominal voltage: 51.2 V Standard charge method Charge current.....: 20 A End of charge voltage....: 57.6 V Cut off curent: 2 A Standard discharge method 20 A Discharge current: 42.5 V (Cell end of discharge voltage 2.50V) End of discharge voltage: Certificate No. 220510031GZU-001 If the module compliance with UL 1973



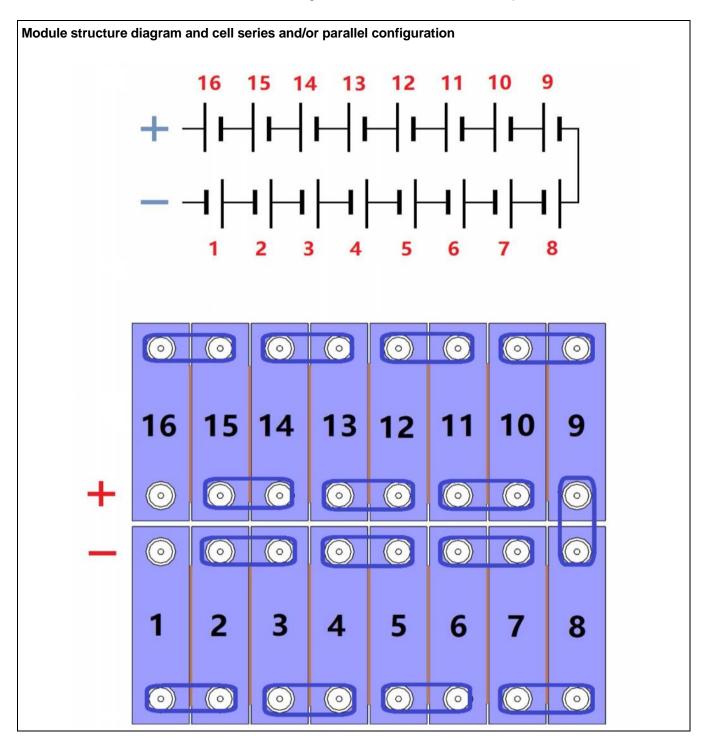
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ANSI/CAN/UL 9540A Requirement - Test Result - Remark Verdict Clause 5 Constrution - General 5.1 Cell 5.1.1 The cell info associated with the BESS includes: **Pass** LFP Pass cell chemistry (e.g. NMC, LFP); Pass Prismatic the physical format of the cell; the cell electrical rating in capacity and nominal 100Ah, 3.2V **Pass** voltage; See cell information **Pass** the overall dimensions of the cell, and weight. The cells associated with the BESS comply with UL MH63648 Pass 5.1.2 ANSI/CAN/UL 1973 or not. 5.1.3 Further details are included in the cell level test report. Pass 5.2 Module --5.2.1 The modules info associated with the BESS includes: **Pass** Metal Pass the generic enclosure material; the general layout of the module contents; **Pass** the electrical configuration of the cells in the 16S1P **Pass** modules and the modules in the BESS. The modules associated with the BESS comply with Report Number: 5.2.2 Pass UL 1973 or not. 220510031GZU-001 Further details are included in the module level test 5.2.3 Refer to 8.3 **Pass** report. 5.3 Battery energy storage system unit 5.3.1 The BESS unit info includes: N/A N/A the units comply with UL 9540 or not; N/A • the manufacturer and model number; electrical ratings; N/A N/A energy capacity of all BESS. For BESS units, which UL 9540 compliance cannot be determined, to include: 5.3.2 N/A the number of modules in the BESS; N/A N/A electrical configuration of the module; physical layout of the modules in the BESS; N/A N/A battery management system (BMS); and N/A other major components of the BESS; the BESS enclosure overall dimensions and N/A generic material; battery system(s) may be tested as representative N/A of the BESS; battery system complies with UL 1973 or not. N/A Any fire detection and suppression systems that are an 5.3.3 N/A integral part of the BESS.



Page 9 of 33 Report No.: 230300277SHA-001 ANSI/CAN/UL 9540A Requirement - Test Result - Remark Verdict Clause Further details included in the unit level and if 5.3.4 N/A applicable, installation level test reports. 5.4 Flow Batteries 5.4.1 For flow batteries, to include the following info: N/A N/A the chemistry; N/A a generic description of the electrolyte (s); the overall dimensions of the individual stack; N/A the electrical rating in capacity and nominal voltage N/A of the cell stack. And the Information of the complete flow battery system: N/A the manufacturer's name and model number of the N/A system: the electrical rating in volts and rated storage N/A capacity in Ah or Wh; the number of cells and stacks in the system; N/A the maximum volume of electrolyte(s) for the N/A system. 5.4.2 The flow battery system complies with UL 1973 or not. N/A Further details included in the flow battery thermal 5.4.3 N/A runaway determination level test report. 6 Performance - General The tests in this standard are extreme abuse conditions conducted on electrochemical energy 6.1 Pass storage devices, which may result in various kind of hazards. At the conclusion of testing, samples discharged in 6.2 Pass accordance with the manufacturer' specifications. All samples disposed of in accordance with local Pass regulations. 8 **Moudle Level** 8.1 Sample --Module samples shall be conditioned, prior to testing, through charge and discharge cycles for a min. of 2 8.1.1 See attachment 2 Pass cycles, to verify that the module is functional. The module shall be charged to 100% SOC and 8.1.2 allowed to rest a maximum of 8 h before the start of the Pass Electronics and software controls such as the battery BMS protections disabled during 8.1.3 management system (BMS) are not relied upon for this **Pass** the testing testing. 8.2 Test method Ambient indoor laboratory conditions 25±5°C and 8.2.1 See attachment 3 **Pass**

50±25% RH at the initiation of the test.



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ANSI/CAN/UL 9540A Result - Remark Verdict Clause Requirement – Test The test conducted under a smoke collection hood 8.2.2 **Pass** sized appropriately to collect the gasses generated. The weight of the module shall be recorded before and 8.2.3 See attachment 3 Pass after testing is completed. The number of cells within the module that are forced 8.2.4 1 Pass into thermal runaway. The methodology used for initiating thermal runaway 8.2.5 for cells are used to initiate thermal runaway within the See attachment 3 Pass module. Occurrence of thermal runaway shall be verified by 8.2.6 sustained temperature above the cell surface See attachment 4 **Pass** temperature at the onset of thermal runaway. The module shall be placed on top of a Module orientation as intended for 8.2.7 Pass noncombustible horizontal surface. final installation The chemical heat release rate of the module in 8.2.8 thermal runaway shall be measured with oxygen See attachment 5 Pass consumption calorimetry system. The chemical heat release rate shall be measured for 8.2.9 See attachment 5 Pass the duration of the test. The chemical heat release rate shall be measured by a measurement system consisting of a paramagnetic 8.2.10 oxygen analyzer, non-dispersive infrared carbon **Pass** dioxide and carbon monoxide analyzer, velocity probe, and a Type K thermocouple. Chemical heat release rate is calculate at each of the flows as follows: 8.2.11 See attachment 5 **Pass** HRR_t = $\left[E \times \varphi - (E_{CO} - E) \times \frac{1 - \varphi}{2} \times \frac{X_{CO}}{X_{O_2}}\right] \times \frac{\dot{m_e}}{1 + \varphi \times (\alpha - 1)} \times \frac{M_{O_2}}{M_s} \times (1 - X_{H_2O}^{\circ}) \times X_{O_2}^{\circ}$ (Corrected by UL CRD-20200520) The hydrocarbon content of the vent gas shall be measured using flame ionization detection. Hydrogen 8.2.12 **Pass** gas shall be measured with a palladium-nickel thin-film solid state sensor (Corrected by UL CRD-20200520) The hydrocarbon components of the vent gas composition may additionally be measured using a Fourier-Transform Infrared Spectrometer with a minimum resolution of 1 cm-1 and a path length of at 8.2.13 See attachment 6 **Pass** least 2 m (6.6 ft), or an equivalent gas analyzer, Velocity and temperature measurements respectively shall be obtained in the exhaust duct of the heat release rate calorimeter using equipment specified in 8.2.10. The light transmission in the exhaust duct of the heat release rate calorimeter shall be measured using a Light transmission is integerated 8.2.14 Pass white light source and photo detector for the duration of | into the testing system the test. Smoke release rate shall be calculated as follows: $SRR = 2.303 \left(\frac{V}{D}\right) Log_{10} \left(\frac{I_o}{I}\right)$ 8.2.15 See attachment 7 Pass



Pass

230300277-001.mp4 is provided

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Report No.: 230300277SHA-001 ANSI/CAN/UL 9540A Requirement - Test Result - Remark Verdict Clause 8.3 Module level test report 8.3.1 The report on module level testing shall include the following: **Pass** Module manufacturer name and model number **Pass** See module information. (and whether UL 1973 compliant); Number of cells in module; 16 **Pass** b) 16S1P **Pass** Module configuration with cells in series and parallel; See module information **Pass** d) Module construction features per 5.2; See module information **Pass** e) Module voltage corresponding to the tested SOC See Attachment 3 f) Thermal runaway initiation method was used **Pass** including number and locations of cells for initiating See Attachment 3 thermal runaway; Heat release rate versus time data; See Attachment 5 **Pass** g) **Pass** Flammable gas generation and composition data; See Attachment 6 h) i) Peak smoke release rate and total smoke release **Pass** See Attachment 7 data. Observation(s) of flying debris or explosive j) **Pass** See Attachment 4 discharge of gases; Observation(s) of sparks, electrical arcs, or other Pass See Attachment 4 electrical events; I) Identification/location of cells(s) that exhibited **Pass** See Attachment 4 thermal runaway within the module; m) Locations and visual estimations of flame Pass extension and duration from the module shall be See Attachment 4 documented: n) Module weight loss based on measurements per **Pass** 6.0 kg 8.2.3;

Video of the test.

0)



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Attachment 1 Photos



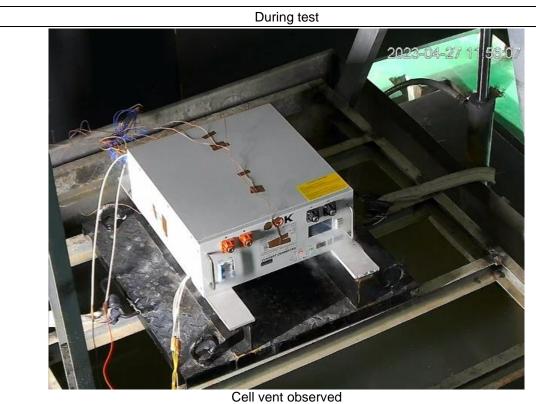




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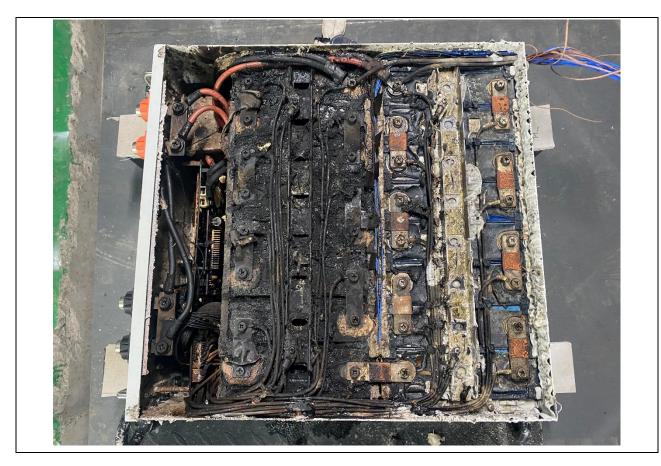




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Attachment 2 Module Conditioning (charge/discharge) profiles

The module was conditioned, prior to testing, through charge and discharge cycles for 2 cycles using a manufacturer specified methodology to verify that the module is functional.

As manufacturer specified, the module was charged with 20A current to module end charge voltage 57.6 V, then keep the module stabilized for 30 minutes. After being stabilized, the module was discharged with 20A current to module end discharge voltage 42.5 V, then keep the module stabilized for 30 minutes.

After repeat the cycle above twice and then module was fully charged with 20A current to module end charge voltage 57.6 V, and before testing, the module was stabilized for about 3 hours. During conditioning the ambient temperature was maintained in 25 ±5°C and 50 ±25% RH.



Module charge and discharge voltage/current profiles



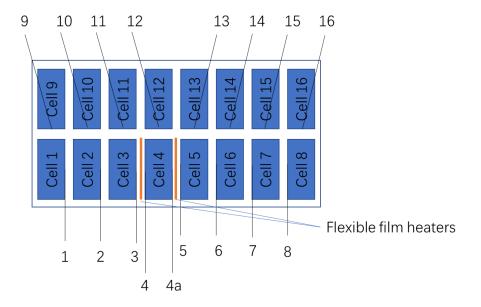
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Attachment 3 Module thermal runaway record

External heating method was used to initiate thermal runaway in the module. 2 flexible film heaters, rated 220VAC/297W, sized 165*120mm, were pasted on big sides of cell 4.

To monitor the cells temperature inside the module, 17 thermocouples, Type K, were used inside the module. See below figure and table for detail location of the film heaters and thermocouples.



Location of flexible film heaters and thermocouples inside the module

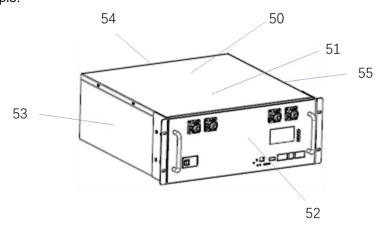
Thermocouple No.	Location
1-3	Center of right big side of cell 1-cell 3
4	Center of left big side of cell 4, under flexible film heater
4a	Center of right big side of cell 4, under flexible film heater
5-8	Center of right big side of cell 5-cell 8
9-16	Center of narrow side of cell 9 – cell 16, facing cell 1-cell 8



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Additional 6 thermocouples, Type K, were located on the surface of module. See below table for detailed location of thermocouple.



location of thermocouples on module enclosure

Thermocouple No.	Location
50	Enclosure top surface, correspond to center of cell 9- cell 16
51	Enclosure top surface, correspond to center of cell 1- cell 8
52	Center of enclosure front surface
53	Center of enclosure left surface
54	Center of enclosure rear surface
55	Center of enclosure right surface



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Cell 4 was heated as the target cell at a rate of $4^{\circ}\text{C-}7^{\circ}\text{C}$ per minute until thermal runaway was occurred. Below table summarizes the details:

Ambient conditions at the initiation of the test:	23.4°C 61%RH				
Module voltage before test:	53.6 V				
Module voltage after test:	26.6 V				
Module weight before test	54.0 kg (with test auxiliary material)				
Time when test was initiated:	2023.04.27 11:13				
	1st vented	11:56	1st thermal runaway	12:08	
	2 nd vented	12:08	2 nd thermal runaway	12:08	
	3 rd vented	12:09	3 rd thermal runaway	12:10	
	4 th vented	12:15	4 th thermal runaway	12:15	
	5 th vented	12:16	5 th thermal runaway	12:16	
Observations during test:	6 th vented	12:22	6 th thermal runaway	12:25	
3	7 th vented	12:31	7 th thermal runaway	12:34	
	8 th vented	12:41	8 th thermal runaway	12:56	
	9 th vented	Not observed	9 th thermal runaway	Not observed	
	No flying debris or explosive discharge of gases. No sparks, electrical arcs, or other electrical events. No external flaming was observed				
Post-test evaluation:	Cell 4 went to thermal runaway due to external heating. Cell 1-Cell 3, Cell 5-Cell 8 vented and went to thermal runaway due to thermal runaway propagation.				
Module weight after test	48.0 kg (with test auxiliary material)				
Module weight loss	6.0 kg				

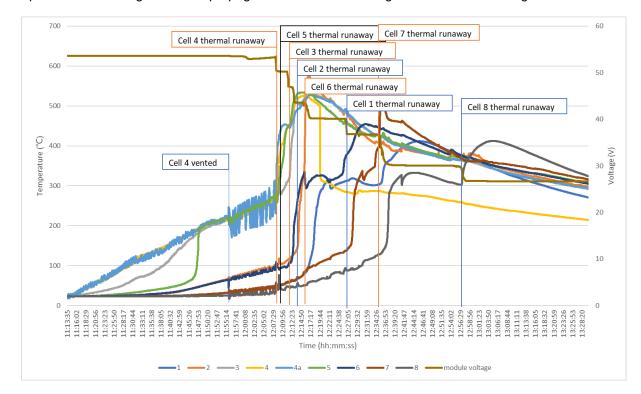


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Attachment 4 Temperature and voltage profile during test

Temperature describing cell to cell propagation and module voltage are show in below figure

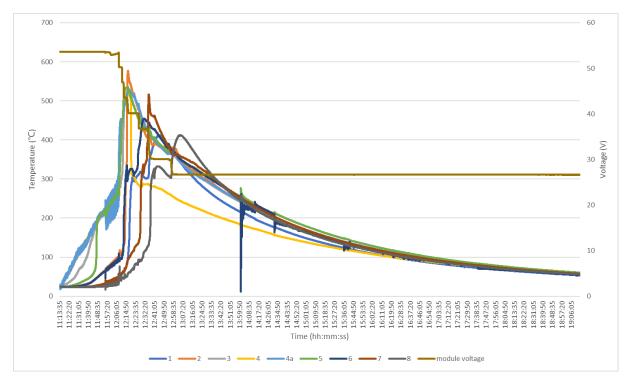




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Measured temperature (thermocouple No.1 - No.8) inside the module and module voltage during the test is shown in below figure.



Maximum measured temperature of each location is shown in below table

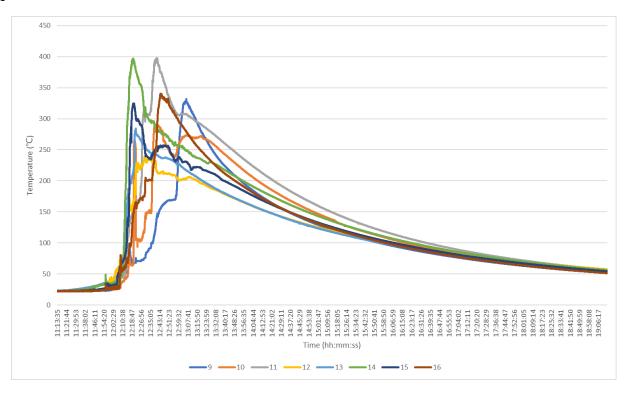
Thermocouple No.	Location	Maximum measured temperature (°C)
1	Center of right big side of cell 1	411.7
2	Center of right big side of cell 2	576.5
3	Center of right big side of cell 3	529.5
4	Center of left big side of cell 4, under flexible film heater	526.7
4a	Center of right big side of cell 4, under flexible film heater	527.6
5	Center of right big side of cell 5	536.5
6	Center of right big side of cell 6	454.4
7	Center of right big side of cell 7	516.3
8	Center of right big side of cell 8	412.5



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Measured temperature (Thermocouple No.9 - No.16) inside the module during the test are shown in below figure.



Maximum measured temperature of each location is shown in below table

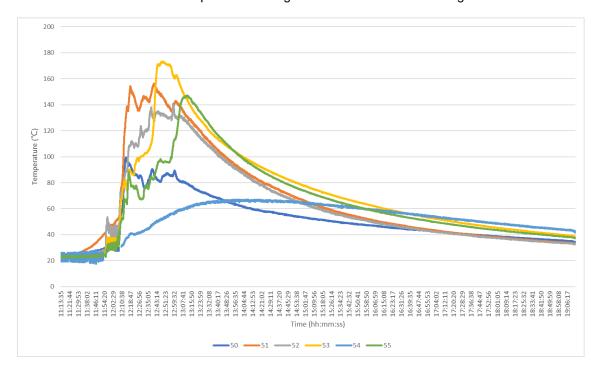
Thermocouple No.	Location	Maximum measured temperature (°C)
9	Center of narrow side of cell 9, facing cell 1	331.8
10	Center of narrow side of cell 10, facing cell 2	291.9
11	Center of narrow side of cell 11, facing cell 3	397.5
12	Center of narrow side of cell 12, facing cell 4	239.1
13	Center of narrow side of cell 13, facing cell 5	284.5
14	Center of narrow side of cell 14, facing cell 6	397.0
15	Center of narrow side of cell 15, facing cell 7	324.9
16	Center of narrow side of cell 16, facing cell 8	340.6



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The measured module enclosure temperature during the test is shown in below figure.



Maximum measured temperature of each location is shown in below table

Thermocouple No.	Location	Maximum measured temperature (°C)
50	Enclosure top surface, correspond to center of cell 9- cell 16	99.5
51	Enclosure top surface, correspond to center of cell 1- cell 8	156.3
52	Center of enclosure front surface	140.6
53	Center of enclosure left surface	173.3
54	Center of enclosure rear surface	67.1
55	Center of enclosure right surface	147.2



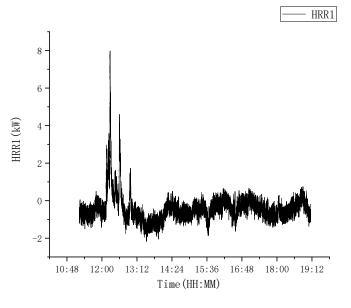
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Attachment 5 Chemical heat release rate measurement

The chemical heat release rate was measured by a measurement system consisting of a paramagnetic oxygen analyser, non-dispersive infrared carbon dioxide and carbon monoxide analyser, velocity probe, and a Type K thermocouple. The instrumentation was located in the exhaust duct of the heat release rate calorimeter at a location that minimizes the influence of bends or exhaust devices.

Measured peak chemical heat release rate HRR=7.9 kW



HRR Curve



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Attachment 6 Gas generation measurement

Vent gas compositions were measured using a Fourier-Transform Infrared Spectrometer with a resolution of 0.5 cm⁻¹ and a path length of 3 m within the calorimeter's exhaust duct. And the composition, velocity and temperature of the vent gases were measured within the calorimeter's exhaust duct.

The hydrocarbon content of the vent gas was measured using flame ionization detection.

The hydrogen content was measured with a palladium-nickel thin-film solid state sensor and a heat conduction sensor. The hydrogen was not detected by the palladium-nickel thin-film solid state sensor. The value in below table was measured by heat conduction sensor.

The volumetric flow rate of specific gas components can be calculated as:

Volumetric flow rate (L/s) = Concentration of specific gas composition (ppm) * Volumetric exhaust duct flow rate (m^3/s) *1000

And based on volumetric flow rate and time, the total volume of specific gas can be calculated as Total volume (L)=summation the product of volumetric flow rate (L/s) * time (s)

The gas composition and volume are shown in below table

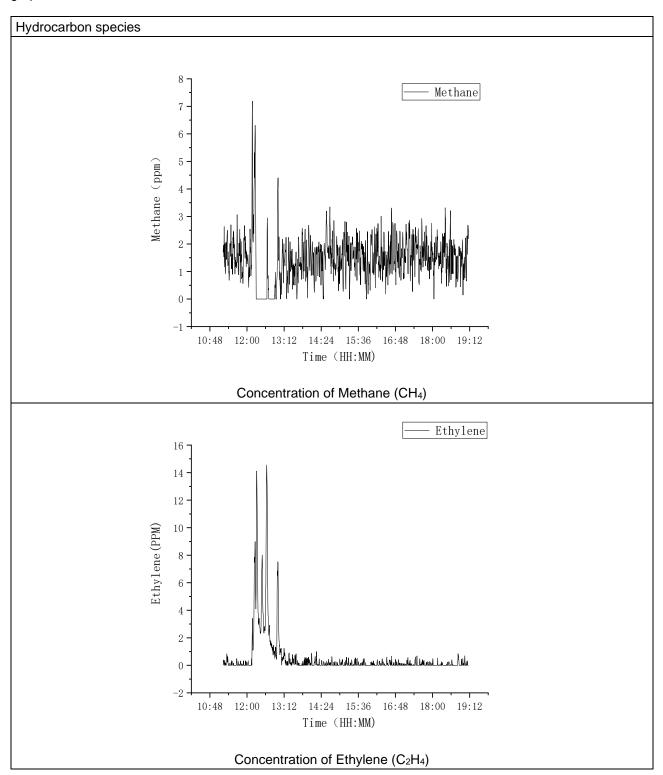
Gas type	Gas components	Volume (L)	
	Methane	CH ₄	43.8
	Ethylene	C ₂ H ₄	17.5
Hydrocarbon species	Ethane	C ₂ H ₆	16.1
	Propylene	C ₃ H ₆	36.4
	Propane	C₃H ₈	12.2
Hydrogen halide species	Hydrogen Fluoride	HF	46.2
Nitrogen containing species	Nitrogen Monoxide	NO	72.1
	Carbon Monoxide	CO	23.4
	Carbon Dioxide	CO ₂	95.5
Other species	Hydrogen (Palladium nickel thin film solid state sensor)	H ₂	0
	Hydrogen (TCD sensor)	H ₂	224
	Methanol	CH ₄ O	6.2
Total Hydrocarbons (equival	39.3		



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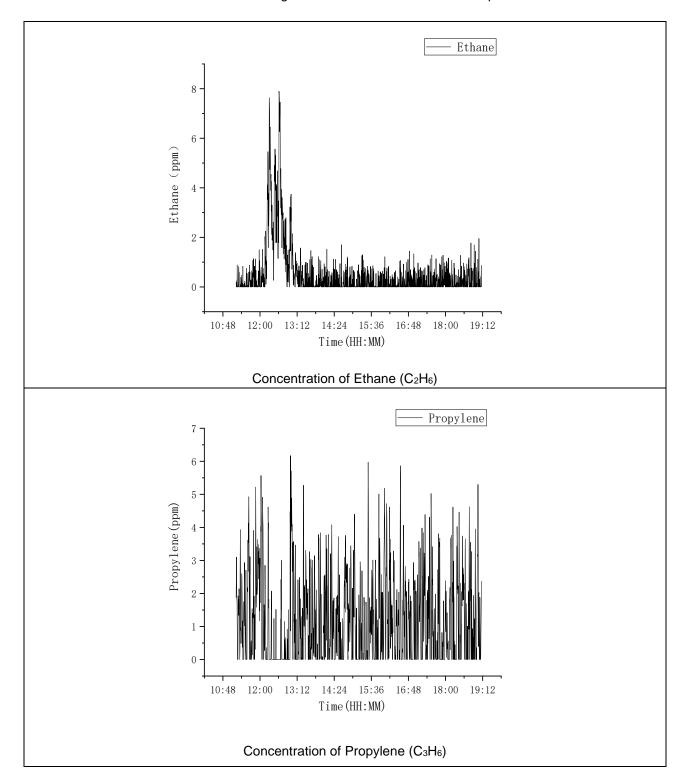
Concentration of different gas components according to gas species classification was displayed as following graphs





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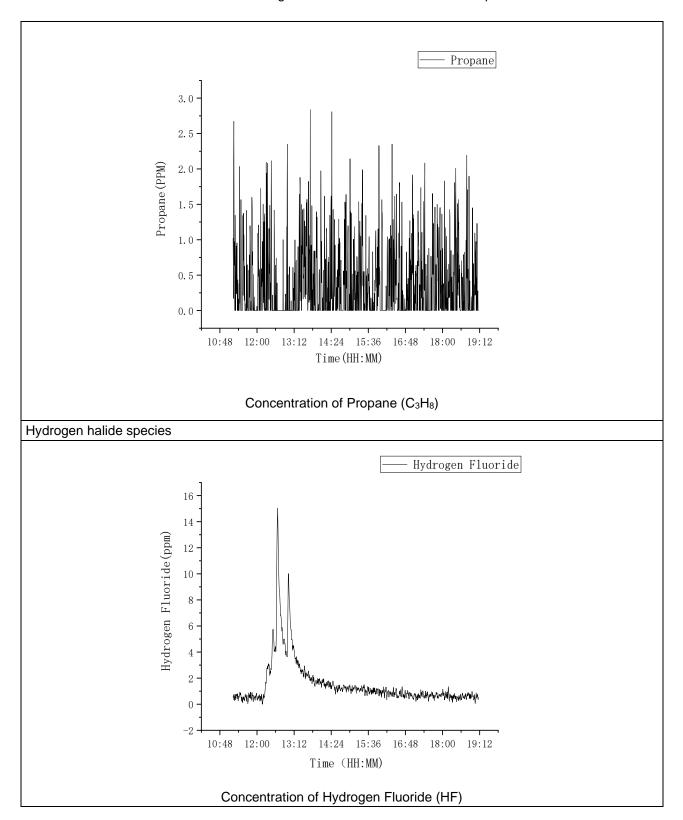
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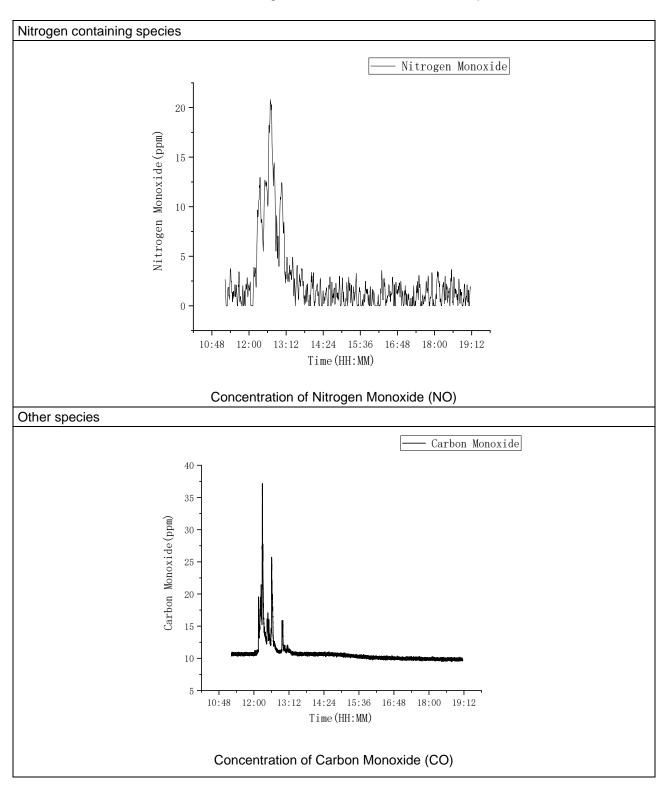
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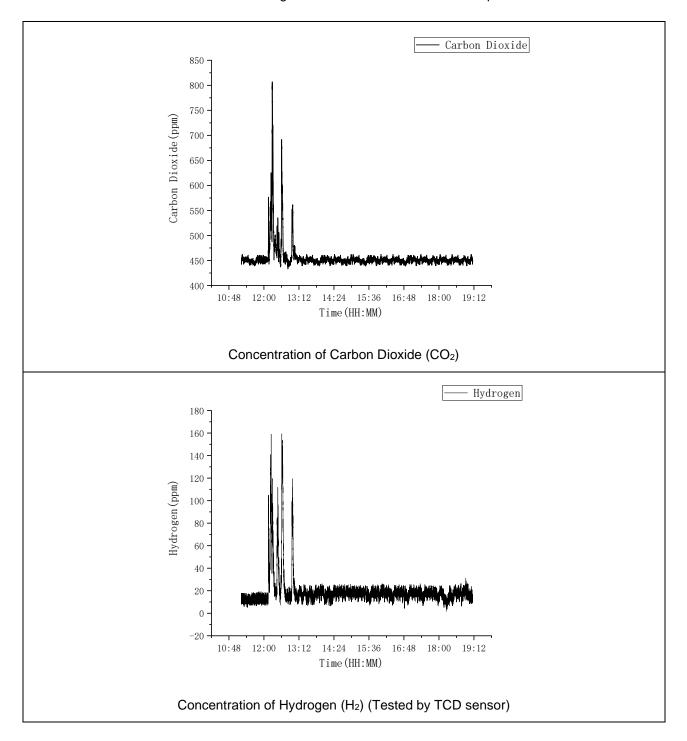
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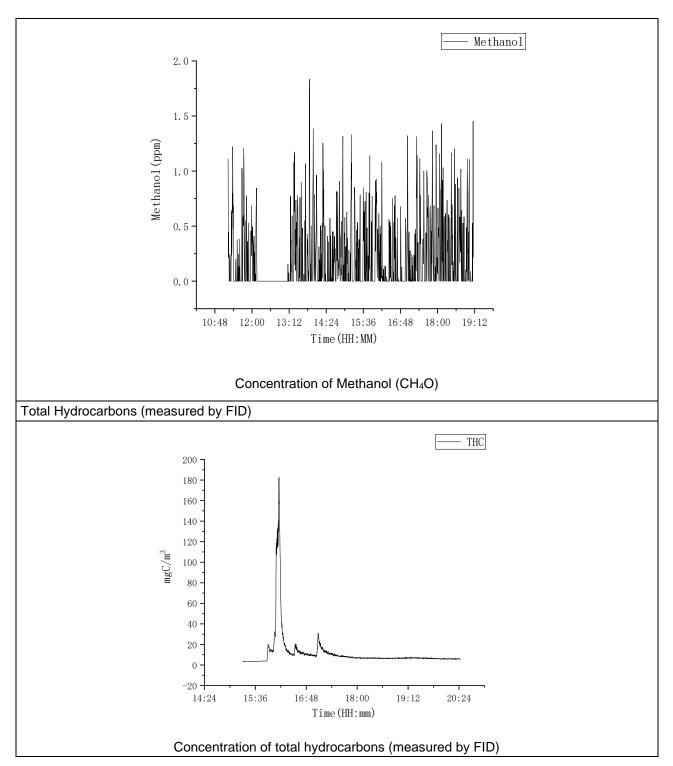
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Attachment 7 Smoke release rate measurement

Smoke release rate shall be calculated as follows:

$$SRR = 2.303 \left(\frac{V}{D}\right) Log_{10} \left(\frac{I_o}{I}\right)$$

Where:

SRR = Smoke release rate (m²/s)

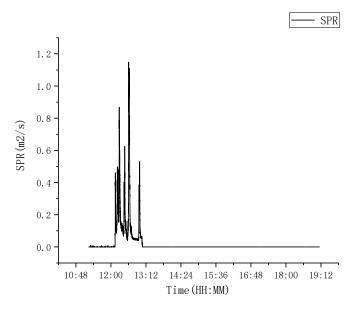
V = Volumetric exhaust duct flow rate (m³/s)

D = duct diameter (m)

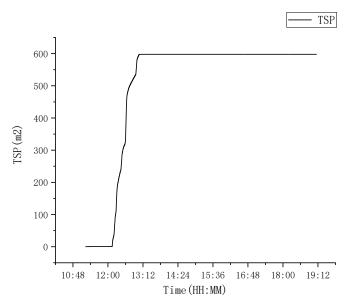
Io = Light transmission signal of clear (pre-test) beam (V)

I = Light transmission signal during test (V)

Measured peak smoke release rate SRR: 1.15 m²/s Measured total smoke release rate TSR: 597.88 m²



SRR curve



TSR curve



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Attachment 8 Equipment list

No.	Equipment		Model	Rating	Inventory No.	Last Cal. date
1	Ambient monitor		WSB-2-H1	0-40°C 10-90%RH	S-044	2023.01.03
2	Digital multi-meter		FLUKE101	0-600V	S-038	2023.02.23
3	Tape		1000mm 5000mm	0-1000mm 0-5000mm	S-040 S-042	2023.03.14 2023.03.14
4	Electronic scale	Э	TCS-500	0-500kg	S-039	2023.02.23
5	Charge /discha	irge equipment	MRTS-DC- 3869-250	800V, 600A	0221-055	2022.08.10
6	Heating control	l equipment	DTB4824	0-1000°C	S-046-2	2022.07.19
7	Data acquisition equipment		ADAM-4117 ADAM-4118 MT4W DTM	0-10V 0-1000°C 0-100V 0-1000°C	S-028-1 S-028-2 S-030-5~8 S-029	2022.08.09 2022.08.09 2022.08.09 2022.08.09
	Oxygen consumption calorimeter measurement system	Paramagnetic oxygen analyzer CO and CO ₂ sensor	ABB AO2020	O ₂ : 0-21% CO ₂ : 0-10% CO: 0-1%	S-062-5~7	2022.08.11
		Micro- differential pressure transmitter	DP101MD	-100~100Pa	S-024-4	2023.02.23
8		Thermopile	TT I 20-CAXL-I I 6U-10-SPW-M	0-1000°C	S-028-5~7	2023.02.26
		Light filter		25%, 50%, 75%	S-024-6 S-024-7 S-024-8	2023.03.07
		Gas mass flowmeter	Sevenstar D07-60G	0-8g/s	S-024-9	2023.03.29
9	Palladium-nickel thin-film solid state sensor		710B Model5000	1000ppm-100% 0-4%	S-023-5 S-023-2	2023.03.01
10	Hydrogen sensor (TCD)		ABB AO2020	0-4%	S-62~8	2023.03.01
11	Electrochemical hydrogen sensors		H₂40000 H₂1000	0-4% 0-0.1%	S-023-3~4	2023.03.01
12	Fourier-Transform Infrared Spectrometer		MG6000	0.01ppm-100%	S-019	2023.03.01
13	Flame Ionization Detector		ABB AO2020	0-30000ppm	S-062~10	2023.08.11

----- End of test report -----