

Piperlongumine, a novel TrxR1 inhibitor, induces apoptosis in hepatocellular carcinoma cells by ROS-mediated ER stress

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Provisional

Piperlongumine, a novel TrxR1 inhibitor, induces apoptosis in hepatocellular carcinoma cells by ROS-mediated ER stress

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19 **endoplasmic reticulum stress, piperlongumine**

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23 **1 Abstract**

24 Hepatocellular carcinoma (HCC) is the sixth most common cancer and the third leading cause of
25 cancer-related deaths globally. Despite advances in diagnosis and treatment, the incidence and
26 mortality of HCC continue to rise. Piperlongumine (PL), an alkaloid isolated from the fruit of the long
27 pepper, is known to selectively kill tumor tissues while sparing their normal counterparts. However,
28 the killing effects of PL on HCC and the underlying mechanism of PL are not clear. We report that PL
29 may interact with thioredoxin reductase 1 (TrxR1), an important selenocysteine (Sec)-containing
30 antioxidant enzyme, and induce reactive oxygen species (ROS)-mediated apoptosis in HCC cells. Our
31 results suggest that PL induces a lethal endoplasmic reticulum (ER) stress response in HCC cells by
32 targeting TrxR1 and increasing intracellular ROS levels. Notably, PL treatment reduces TrxR1 activity
33 and tumor cell burden in vivo. Additionally, TrxR1 is significantly upregulated in existing HCC
34 databases and available HCC clinical specimens. Taken together, these results suggest PL as a novel
35 anticancer candidate for the treatment of HCC. More importantly, this study reveals that TrxR1 might
36 be an effective target in treating HCC.

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48 2 Introduction

49 Liver cancer, the sixth most common human malignancy and the third leading cause of cancer
50 mortality, is a major public health problem, and hepatocellular carcinoma (HCC) represents more than
51 90% of primary liver cancers (Zhou et al., 2017). Typically, HCC is usually diagnosed at an advanced
52 stage, and many patients with advanced stage HCC are not eligible for curative therapies (Liu et al.,
53 2015a). Moreover, the effects of traditional systemic chemotherapy on HCC and the survival rate are
54 poor (Del Pozo and Lopez, 2007; Cidon, 2017). Thus, the identification of a novel therapeutic approach
55 for treating HCC is urgently needed.

56 Piperlongumine (PL) is a naturally occurring small molecule derived from the fruits and roots of the
57 long pepper plant (Karki et al., 2017). The chemical structure of PL has been well-characterized (Figure
58 1A). PL has been used in traditional Ayurvedic medicine to treat gastrointestinal and respiratory
59 diseases for a thousand years (Xiong et al., 2015). Recent studies demonstrated that PL is highly and
60 selectively toxic towards cancer cells, strongly suggesting that PL is a promising bioactive agent for
61 liver cancer therapy (Gong et al., 2014). PL has been proposed to induce cancer-selective cell death by
62 elevating reactive oxygen species (ROS) levels (Jin et al., 2014). However, the mechanism by which
63 PL induces ROS remains poorly defined, and the primary cellular target and mode of action of PL in
64 HCC are still unclear.

65 Continuous oxidative stress resulting from the generation of ROS by environmental factors or
66 cellular mitochondrial dysfunction has recently been associated with the progression of HCC (Sosa et
67 al., 2013). Simply put, ROS play a key role in the development of cancer. A moderate increase in ROS
68 can promote cell proliferation and differentiation, whereas excessive amounts of ROS can cause
69 oxidative damage to crucial cellular macromolecules and lead to cell death (Cairns et al.,
70 2011; Taniguchi et al., 2012; Glasauer and Chandel, 2014). Therefore, modulating ROS homeostasis or
71 oxidative stress-responses has been proposed as an effective therapeutic strategy for cancer.

72 The thioredoxin (Trx) system, which consists of NADPH, thioredoxin reductase (TrxR), and
73 thioredoxin, is a vital antioxidant system that plays a critical role in regulating cellular redox processes
74 (Lu and Holmgren, 2014; Koharyova and Kollarova, 2015). There are three mammals TrxR1 (H-TrxR)
75 isoforms: TrxR1, which is found in the cytoplasm; TrxR2, which is found in mitochondria; and TrxR3
76 (also called thioredoxin glutathione reductase, TGR), which is expressed **only in specialized** tissues
77 (e.g., the testis) (Arner, 2009; Rigobello and Bindoli, 2010). TrxR1 is overexpressed in many human

78 tumors and has emerged as a valuable target for anticancer drug development (Mahmood et al.,
79 2013;Fan et al., 2014). Generally, one possible mechanism is that cancer cells atypically drive their
80 reductive pathways to maintain cell viability and escape from the cytotoxic effects of increased ROS.
81 The thioredoxin (Trx) system using NADPH channeled through thioredoxin reductase 1 (TrxR1) is
82 one of the major redox systems. Mounting evidence suggests that many redox regulators are involved
83 in resistance to anti-cancer drugs (Arner, 2017). Targeting TrxR1 has been shown to occur with many
84 different electrophiles with anticancer potential (Cebula et al., 2015).

85 In the present study, we noticed that TrxR1 is overexpressed in clinical liver HCC and that PL could
86 inhibit TrxR1 activity to induce oxidative stress in HCC. Additionally, PL could induce apoptotic cell
87 death in HCC cells via activating the ROS-dependent endoplasmic reticulum (ER) stress pathway.
88 Taken together, our findings provided a molecular mechanism by which PL kills liver cancer cells and
89 shed light on how PL works in vivo.

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102 3 Materials and Methods

103 3.1 Reagents

104 Piperlongumine (S7551) was purchased from Selleck Chemical (Shanghai, China), the purity of PL is
105 99.33 %. N-Acetylcysteine (NAC) was purchased from Sigma-Aldrich (St. Louis, MO, USA). PI was
106 purchased from BD Pharmingen (Franklin Lakes, NJ). Hoechst stain and DCFH-DA were purchased
107 from Beyotime Biotechnology (Nantong, China). Anti-Cdc2, anti-Bcl-2, anti-Bax, anti-CyclinB1, anti-
108 TrxR1, and anti-Ki67 antibodies were purchased from Santa Cruz Biotechnology (Santa Cruz, CA),
109 and anti-ATF-4, anti-EIF2 α , anti-CHOP, and anti-cleaved caspase-3 antibodies were purchased from
110 Cell Signaling Technology (Danvers, MA). HRP-conjugated secondary antibodies were also obtained
111 from Cell Signaling Technology.

112 3.2 Cell Culture

113 Human HCC cell lines (HUH-7 and HepG2) were purchased from the Institute of Biochemistry and
114 Cell Biology, Chinese Academy of Sciences (Shanghai, China). HUH-7 cells were cultured in DMEM
115 medium (Gibco, Eggenstein, Germany), whereas HepG2 was grown in MEM(Gibco). All medium
116 formulations were supplemented with 10% heat-inactivated foetal bovine serum (Gibco, Eggenstein,
117 Germany), and cells were grown in a humidified cell incubator with an atmosphere of 5% CO₂ at 37°C.

118 3.3 Cell Viability Assay

119 HUH-7 and HepG2 cells were seeded into 96-well plates at a density of 8×10³ cells per well in DMEM
120 and MEM, respectively, containing 10% heat-inactivated FBS for 24 h and **allowed to attach overnight**.
121 PL was dissolved in DMSO and diluted with DMEM or MEM to final concentrations of 0.625, 2.5, 5,
122 10, 15, 20, 30, 40 and 50 μ M. The cells were incubated with PL for 24 h before the MTT assay.

123 3.4 Determination of Cellular Reactive Oxygen Species

124 Cellular ROS generation was measured by flow cytometry. Briefly, 5 × 10⁵ cells were plated in 6-well
125 culture dishes and allowed to attach overnight. The cells were then treated with PL at different
126 concentrations and for different indicated times. Then, the cells were stained with 10 μ M DCFH-DA
127 (Beyotime Biotechnology, Nantong, China) at 37°C for 30 min. The cells were harvested and then
128 washed three times with ice-cold PBS, and fluorescence was measured by flow cytometry
129 (FACSCalibur, BD Biosciences, CA). In some experiments, the cells were pre-treated with 5 mM NAC
130 for 2 h. In all experiments, 8000 viable cells were analysed.

131 3.5 Colony Formation Assay

132 Cells were seeded in 6-well plates at 500 cells per well for 24 h and then pre-incubated with or without
133 NAC for 1 h before PL treatment for 5 h. One week later, the cells were stained with a crystal violet
134 solution (0.5 crystal violet in 25% methanol) to assess colony growth.

135 3.6 Determination of Morphological Features of Apoptosis

136 A total of 5×10^5 cells were plated on 60-mm dishes, allowed to attach overnight, and then treated with
137 PL (15 μ M) in the presence or absence of NAC (5 mM) for 2 h. Twenty-four hours later, the cells were
138 fixed, washed twice with PBS, and stained with Hoechst or PI or acridine orange and ethidium bromide
139 staining solution according to the manufacturer's instructions. The cells were observed and imaged
140 using a fluorescent microscope (Nikon, Tokyo, Japan) with $20\times$ amplification.

141 3.7 Cell transfection for gene silencing

142 ATF4 and TrxR1 siRNA oligonucleotides were synthesized by GenePharma (Shanghai, China). HUH-
143 7 cells were seeded at a density of 1×10^5 in 6-well plates for 24 h. siRNA against human ATF4,
144 TrxR1, or non-targeting control siRNA (GenePharma) were transfected at a final concentration of 50
145 pmol (ATF4: sense 5'-GCCUAGGUCUCUUAGAUGATT-3'; antisense 5'-
146 UCAUCUAAGAGACCUAGGCTT-3') or 100 nmol (TrxR1: sense 5'-
147 GCAAGACUCUCGAAAUUAUTT-3'; antisense 5'-AUAAUUUCGAGAGUCUUGCAG-3) using
148 lipofectamine 3000 reagent (Invitrogen, CA) in serum-free medium for 6 h. Complete growth medium
149 was then added and the cells were cultured for an additional 24 h. Levels of silenced genes were
150 determined by western blotting and apoptotic cell death was assessed by acridine orange and ethidium
151 bromide dual staining.

152 3.8 Cell Cycle Analysis

153 Cells were placed on 60-mm plates for 24 h and then treated with PL (5, 10 or 15 μ M) for 16 h in the
154 presence or absence of NAC (5 mM). The cells were then collected and centrifuged at 1,000 rpm for 5
155 min. The supernatant was discarded, and the isolated cells were washed with ice-cold PBS. After being
156 re-suspended in 100 μ L PBS, the cells were fixed with ice-cold 75% ethanol and stored at -20°C for
157 12 h. After centrifugation, the cells were washed twice with ice-cold PBS and then stained with PI at
158 4°C for 20 min in the dark. Cell cycle analysis was performed with a FACSCalibur flow cytometer.
159 The fractions of cells in G2/M phase were used for statistical analysis using FlowJo 7.6 software
160 (TreeStar, San Carlos, CA, USA).

161 3.9 Western Blot Analysis

162 Cells or tumor tissues were homogenized in protein lysis buffer, and debris was removed by
163 centrifugation at 12,000 rpm for 10 min at 4°C. Protein concentrations in all samples were quantified
164 by using a Bradford protein assay (Bio-Rad, Hercules, CA). Protein samples were separated using 6–
165 12% sodium dodecyl sulfate-polyacrylamide gels and transferred to PVDF membranes. The blots were
166 blocked for 2 h at room temperature with freshly prepared 5% non-fat milk in TBST. Blots were then
167 probed with specific primary antibodies overnight at 4°C. Horseradish peroxidase-conjugated
168 secondary antibodies and an ECL kit (Bio-Rad) were used for protein detection.

169 3.10 Endpoint Insulin Reduction Analysis

170 Untreated HUH-7 cells or xenografted tissues were collected and lysed with RIPA buffer in the
171 presence of protease inhibitors. The concentrations of protein in the cell lysate and tumor tissue lysate
172 were determined using the Bradford method. Cell extracts containing 50 µg of total proteins were
173 incubated in final reaction volumes of 50 µl containing 4 µM E. coli Trx, 0.4 mM NADPH, and 0.32
174 mM insulin for 30 min at 37°C. Then, the reaction mixtures were incubated at room temperature for 2
175 h. The reactions were terminated by the addition of 100 µl of 1 mM DTNB in 6 M guanidine
176 hydrochloride (pH 8.0), and the absorbance at 412 nm was measured using a microplate reader. The
177 blank value was subtracted from the corresponding absorbance value of the sample. The activity was
178 expressed as the percentage of the control.

179 3.11 Hepatoma Xenograft Model

180 All animal experiments complied with Wenzhou Medical University's Policy on the Care and Use of
181 Laboratory Animals. Protocols for animal studies were approved by the Wenzhou Medical College
182 Animal Policy and Welfare Committee (approved documents: 2016/APWC/0046). Five-week-old,
183 athymic BALB/c nu/nu female mice (17-20 g) were purchased from Vital River Laboratories (Beijing,
184 China). The mice were housed at a constant room temperature with a 12/12 h light/dark cycle, fed a
185 standard rodent diet and given water ad libitum. The mice were blindly and randomly divided into two
186 experimental groups. A total of 5×10^6 HUH-7 cells in 100 µl of PBS were subcutaneously injected
187 into the right flank of the mice. When tumors reached a volume of 50–100 mm³, the experimental
188 group was treated with intraperitoneal injections of PL (10 mg/kg) every three days for 18 days. The
189 tumor volumes were determined at the indicated time points by measuring tumor length (l) and width
190 (w) and calculating tumor volume ($V = 0.5 \times l \times w^2$). At the end of the experiment, the mice were
191 killed after being anaesthetized by intraperitoneal injection of pentobarbital sodium (50 mg·kg⁻¹), and

192 the tumors were isolated by surgery in a room separated from the other animals. Then, the tumors were
193 removed and weighed for in vitro experiments. Samples were prepared for histology and protein assays.

194 **3.12 Malondialdehyde (MDA) Assay**

195 Tumor samples from nude mice were homogenized. The tissue lysates were then centrifuged at
196 12,000×g for 10 min at 4°C to collect the supernatants. Total protein content was determined by the
197 Bradford assay. MDA levels were detected using a Lipid Peroxidation MDA assay kit (Beyotime
198 Institute of Biotechnology).

199 **3.13 Patient Samples**

200 This study was approved by the Institutional Research Human Ethical Committee of Wenzhou Medical
201 University for the use of clinical biopsy specimens, and informed consent was obtained from the
202 patients. A total of 16 liver cancer biopsy samples from patients who were clinically diagnosed at the
203 Fifth Affiliated Hospital of Wenzhou Medical University from 2015 to 2017 were analysed.
204 Hepatocellular carcinoma tissues and matched tumor-adjacent morphologically normal liver tissues
205 were frozen and stored in liquid nitrogen until further use.

206 **3.14 Immunohistochemistry and Haematoxylin and Eosin (H&E) Staining**

207 Collected tumor tissues were fixed in 10% formalin at room temperature, processed and embedded in
208 paraffin. Paraffin-embedded tissues were sectioned at 5 µm. After being hydrated, the tissue sections
209 were incubated with primary antibodies overnight. Conjugated secondary antibodies and
210 diaminobenzidine (DAB) were used for detection. Routine H&E staining was performed on mouse
211 liver, kidney and heart tissues. Sectional images were obtained with Image-Pro Plus 6.0 (Media
212 Cybernetics, Inc., Bethesda, MD).

213 **3.15 Statistical Analysis**

214 All experiments were carried out as three independent replicates (n=3). The data are expressed as the
215 means ± S.E.M.s. All statistical analyses were conducted using GraphPad Prism version 5.0 (GraphPad,
216 San Diego, CA, USA). Student's t-test was employed to analyse the differences between sets of data.
217 A p value < 0.05 indicated statistical significance.

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223 **4 Results**

224 **4.1 PL increases ROS levels and significantly inhibits the proliferation of HCC cells.**

225 To detect the effect of PL on HCC cells, we selected two HCC cells lines (HUH-7 and HepG2), treated
226 them with increasing concentrations of PL for 24 h and evaluated cell viability using the MTT assay.
227 PL treatment significantly decreased the viability of the two cell lines in a dose-dependent manner
228 (Figure 1B). Next, we evaluated whether the killing effect of PL on HCC cells was related to ROS
229 accumulation. ROS levels in HUH-7 cells were examined by flow cytometry using the redox-sensitive
230 fluorescent probe 2',7'-dichlorofluorescein diacetate (DCFH-DA). PL treatment caused a time-
231 dependent and dose-dependent increase in ROS levels in HUH-7 cell, which suggested that PL could
232 disturb the levels of intracellular ROS. Interestingly, pre-treatment with NAC, a specific ROS inhibitor,
233 for 2 h apparently suppressed PL-induced increases in ROS levels (Figure 1C and 1D). Similarly, we
234 detected the fluorescence intensity by a fluorescence microscope also discovered that PL may increase
235 the levels of intracellular ROS and that this effect was almost completely reversed by pretreatment of
236 the cells with NAC (Figure 1E). In addition, colony formation by HCC cells was significantly reduced
237 when the cells were treated with PL. However, NAC fully abolished this reduction in colony formation
238 induced by PL (Figure 1F). These results suggest that PL can induce ROS accumulation and cell death
239 in HCC cells.

240 **4.2 PL induces ROS-dependent apoptosis in HCC cells.**

241 To investigate the pro-apoptotic effects of PL in HCC cells, the two HCC cell lines were treated with
242 PL in the presence or absence of NAC using Hoechst and propidium iodide (PI) staining assays. HCC
243 cells exhibited the apoptotic characteristics nuclear condensation and fragmentation after treatment
244 with PL for 24 h. NAC pretreatment almost completely reversed PL-induced apoptosis in HCC cells
245 (Figure 2A, B). HCC cell apoptosis was also observed in PL-treated cells through morphological
246 changes. The morphology of HCC cells changed markedly in comparison with the morphology of
247 regular cancer cells. As observed under a microscope, the cancer cells became round and clearly
248 shriveled following PL treatment. Pretreatment with NAC reversed the morphological changes in the
249 cells induced by PL (Figure 2C). The pro-apoptotic effect of PL on HCC cells was further examined

250 using a western blot assay. PL treatment decreased the levels of the anti-apoptotic proteins Bcl-2 and
251 pro-caspase3 and increased the levels of the pro-apoptotic proteins Bax and cleaved caspase-3 in a
252 dose-dependent manner. Pre-incubation with NAC almost completely reversed these changes (Figure
253 2C-D). To conclude, these results confirmed that ROS induction mediates PL activated apoptotic
254 pathways and is a vital upstream regulator of apoptosis.

255 **4.3 PL induces ROS-dependent G2/M cell cycle arrest in HCC cells.**

256 To confirm whether the growth inhibition in HCC cells by PL treatment was caused by cell cycle arrest,
257 HCC cells were pre-incubated with NAC for 2 h before their exposure to various concentrations of PL
258 for 16 h, and the cell cycle was then determined by flow cytometry. PL induced the accumulation of
259 cells in G2/M phase in a dose-dependent manner, while the blocking of ROS generation by NAC
260 completely attenuated PL-induced cell cycle arrest in HCC cells (Figure 3A-C). These flow cytometry
261 data were mirrored by Western blot analysis of cell cycle related proteins such as Cyclin B1 and Cdc2
262 in HCC cells (Figure 3D). These results revealed that the potent growth-inhibitory properties of PL are
263 partly related to the induction of G2/M phase arrest and that ROS induction also mediates PL-induced
264 G2/M phase cell cycle arrest.

265 **4.4 PL activates ROS-dependent ER stress signaling in HCC cells.**

266 As reported, ROS accumulation and redox status perturbation disrupt protein folding in the ER, causing
267 ER stress (Plackova et al., 2016). Therefore, we attempted to understand whether PL induced ROS-
268 dependent apoptosis was associated with ER stress. We examined the ER stress-related proteins,
269 phosphorylated protein kinase RNA-like eukaryotic initiation factor 2 α (p-eIF2 α) and activating
270 transcription factor-4 (ATF4), in PL-treated HCC cells. We recorded a time-dependent increase in
271 ATF4 and phosphorylated eIF2 α in HCC cells. Peak ATF4 and phosphorylated eIF2 α levels were
272 observed 3-6 h after the treatment of HCC cells with PL (Figure 4A-B). Furthermore, PL increased the
273 expression of p-PERK and ATF4 in a dose-dependent manner. Importantly, pretreatment with the
274 antioxidant NAC completely blocked the expression of these proteins in HCC cells (Figure 4C-D).
275 **Finally, to confirm if ER stress plays an essential role in response to PL-induced cell death, ATF4 was**
276 **silenced in HUH-7 cells. ATF4-silencing led to significantly reduced number of apoptotic cells upon**
277 **15 μ M PL treatment (Figure 4E).** These findings indicated that the ER stress pathway may potentially
278 be involved in PL-induced HCC cell apoptosis and that ROS induction also mediates PL-induced ER
279 stress.

280 **4.5 TrxR1 is upregulated in HCC.**

281 Elevated levels of TrxR1 have been found in several malignancies and may be connected with
282 aggressive tumor growth and poor survival. We speculated that TrxR1 is also overexpressed in HCC.
283 Subsequent analyses using 369 liver hepatocellular carcinoma (LIHC) cases and 50 normal adjacent
284 tissues (NATs) from the GSE59590 dataset suggested that TrxR1 is significantly upregulated in LIHC
285 tissues compared with its level in NATs (Figure 5A). Kaplan-Meier survival analysis showed that high
286 TrxR1 expression is significantly correlated with poor patient survival (Figure 5B). Histopathological
287 analyses were conducted to further examine TrxR1 expression in clinical LIHC. Analyzing of 16
288 evaluable paired clinical LIHC tissues and NATs, in which TrxR1 was measured in cancer tissues and
289 compared with TrxR1 in corresponding NATs, revealed that TrxR1 was overexpressed in clinical
290 LIHC cases (Figure 5C-D). These results supported the idea that TrxR1 is significantly upregulated in
291 clinical LIHC tissues. **In addition, to examine whether inhibition of TrxR1 was involved in PL-induced
292 liver cancer apoptosis, we silenced TrxR1 in cells and exposed the cells to 15 μ M PL. TrxR1 silencing
293 significantly enhanced PL-induced HUH-7 cell apoptosis when compared to PL alone treated cells
294 (Figure 5E). Thus, our findings indicates that PL directly targeted TrxR1 and induced apoptotic cell
295 death by reducing TrxR1 activity.**

296 **4.6 PL inhibits HUH-7 xenograft tumor growth accompanied by increased ROS levels and** 297 **decreased TrxR1 activity.**

298 To assess the effect of PL treatment in vivo, we used a subcutaneous xenograft model of HUH-7 cells
299 in immunodeficient mice. Next, We treated mouse HUH-7 tumors with PL. Treatment with 10mg/kg
300 PL for 18 days resulted in both a visual reduction in tumor volume and a reduction in tumor weight
301 (Figure 6A-6C). Importantly, no significant changes in body weight were observed in PL-treated mice
302 compared to untreated mice (Figure 6D). We next examined vital organs (heart, liver and kidney) to
303 ascertain the any potential toxicity of PL. and found that PL treatment is nontoxic (Figure 6E).

304 To determine whether the mechanisms we identified in our in vitro studies are also relevant to a
305 xenograft model as vitro studies, we assessed the levels of key proteins identified from our culture
306 studies. Western blotting analyses of the tumor tissues suggested that PL treatment increased the levels
307 of ATF4, CHOP and cleaved caspase-3 (Figure 6F), suggesting that PL-induced apoptosis in HUH-7
308 cells is connected to ER-stress in vivo. Apoptosis, as assessed by the cleaved caspase-3 level, was
309 increased in tumors following treatment with PL (Figure 6G). Correspondingly, PL treatment
310 decreased the level of Bcl-2 and Ki-67 immunoreactivity. These findings indicated increased apoptosis
311 and reduced cell proliferation in tumor tissues (Figure 6G). Moreover, PL treatment increased the levels
312 of the product of lipid peroxidation (MDA) in tumor tissues (Figure 6H), suggesting increased ROS

313 levels. In addition, TrxR1 activity in HUH-7 cells and tumor xenografts was measured by an endpoint
314 insulin reduction assay, which showed that treatment with PL significantly reduced the activity of
315 TrxR1(Figure 6I-J). In conclusion, these results support the targeting of TrxR1 by PL, which elevates
316 oxidative stress and subsequently induces apoptosis in HCC.

317 **5 Discussion**

318 Natural products have played an important role as effective sources of anti-tumor agents (Khoogar
319 et al., 2016;Zhou et al., 2016). PL, a natural product isolated from the fruit of the long pepper, is a
320 promising bioactive agent with proven antineoplastic effects on some tumor models (Wang et al., 2015).
321 Relative to healthy cells, cancer cells harbour higher levels of ROS and exhibit an increased antioxidant
322 defence system in an uncontrolled status (Trachootham et al., 2009;DeNicola et al., 2011). As a result,
323 cancer cells fail to deal with excrescent oxidative stress and become vulnerable to superfluous ROS
324 (Raj et al., 2011;Glasauer and Chandel, 2014). This fact makes pro-oxidant cancer therapy an
325 interesting area of study. Our results showed that PL could interfere with intracellular ROS levels in
326 HCC cells, but a specific ROS inhibitor, NAC, significantly inhibited this PL-induced increase in ROS
327 levels. Apoptosis usually manifests as cell contraction and separation, and nuclear condensation and
328 fragmentation (Taatjes et al., 2008). By Hoechst, and PI staining using an inverted microscope, we
329 observed that PL could induce ROS-dependent apoptosis. In addition, mitochondria are central to the
330 regulation of apoptosis (Briehl et al., 2014). Several Bcl-2-family proteins, both antiapoptotic (Bcl-2)
331 and pro-apoptotic (Bax), have C-terminal transmembrane domains that inserted in the outer membranes
332 of mitochondria (Yip and Reed, 2008;Bhat et al., 2017). In this study, consistent with the observed
333 morphological changes, treatment with PL significantly decreased the Bcl-2/Bax protein ratio in HCC
334 cells. Importantly, NAC almost completely reversed these PL-induced changes in HCC cells.
335 Imbalance of Bcl-2 family expression eventually lead to the apoptosis of HUH-7 and HepG2 cells.
336 Thus, our findings revealed that ROS are pivotal upstream regulators of the anticancer activity of PL.

337 The ER is a crucial organelle in protein folding, modification, and secretion (Liu et al., 2015b).
338 ER malfunction induced by various factors can lead to the unfolded protein response (UPR), resulting
339 in ER stress. The UPR induces PERK-mediated phosphorylation of eIF2 α , which attenuates normal
340 mRNA translation but allows the preferential translation of ATF4 (Lafleur et al., 2013;Bhat et al.,
341 2017;Marciniak, 2017;Oakes, 2017). ATF4 is a pivotal transcription factor in the ER stress pathway
342 that mediates the induction of death-promoting transcriptional regulatory genes (Iurlaro and Munoz-
343 Pinedo, 2016). As expected, PL was capable of inducing ROS-dependent ER stress in HCC cells, which

344 led to cell death. In addition, the extent of ER stress following PL treatment was impaired after ROS
345 were blocked by NAC, indicating that down-stream signaling was mediated by upstream signaling
346 from ROS.

347 Redox homeostasis, the balance of which is maintained by two major cellular antioxidant systems,
348 including glutathione system and thioredoxin system, the glutathione system and the thioredoxin
349 system, is crucial for cellular viability and normal cellular functions (Benhar et al., 2016;Dagnell et al.,
350 2018). Inside cells, the Trx system also acts as a redox regulator, that protects cells from damage caused
351 by oxidative stress, scavenges ROS and controls cellular redox balance (Koharyova and Kollarova,
352 2015). However, acting as a double-edged sword, TrxR1 both prevents and promotes cancer (Hatfield
353 et al., 2009;Mahmood et al., 2013;Arner, 2017). In normal cells, TrxR1 can protect against oxidant
354 stress and regulate cell apoptosis, whereas in tumor cells with high TrxR1 expression (Du et al., 2012),
355 the antiapoptotic function of TrxR1 promotes their growth and progression (Tonissen and Di Trapani,
356 2009). **Moreover, Trx/TrxR system confers an aggressive tumor phenotype, poorer prognosis,
357 decreased patient survival and resistance to programmed cell death (Mollbrink et al., 2014;Fu et al.,
358 2017;Cho at al., 2019).** Consistent with this, our results demonstrate that TrxR1 is overexpressed in
359 LIHC and that high TrxR1 expression is associated with poor patient survival. This renders TrxR1 an
360 interesting candidate for liver cancer chemotherapy. In this work, PL inhibited the enzyme function of
361 TrxR1, and further shifted TrxR1 to an NADPH oxidase to generate superoxide anions, leading to ROS
362 accumulation and ultimately eliciting oxidative stress.

363 We have summarized the possible mechanisms involved in PL-induced cell death in HCC based
364 on analysis of the experimental results (Figure7). In summary, we suggest TrxR1 as a novel target for
365 liver cancer treatment, and have demonstrated that PL induces ROS-dependent apoptosis in HCC cells
366 by targeting TrxR1. Elucidating the PL-TrxR1 interaction may shed light on how this alkaloid acts in
367 vivo, and understanding this novel targeting mechanism could lead to the development of small
368 molecule inhibitors of TrxR1 as potential HCC chemotherapeutic agents.

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371 6 **Ethics Statement**

372 The study was approved by the institutional animal ethics committee of Wenzhou Medical
373 University.

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376 **7 Conflict of Interest**

377 The authors disclose no potential conflicts of interest.

378

379

380 **8 Author Contributions**

381 QQ Z: Collection, analysis and interpretation of data, manuscript writing: QQ Z, WQ C, XL L, QY W,
382 MJ C, R C: collection and interpretation of data, G L, JS J: conception and design, interpretation of
383 data, manuscript revision. All authors approved final version of the manuscript.

384

385

386 **9 Founding**

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394

395

396 **10 Abbreviations**

397 ATF4: Activating transcription factor 4; Bax: associated protein x; Bcl-2: Bcell lymphoma 2; Cdc2,
398 cyclin-dependent kinase 1 (cell division cycle protein 2); DCFH-DA: 2',7'-
399 dichlorodihydrofluorescein diacetate; eIF2: Eukaryotic initiation factor 2; ER: Endoplasmic reticulum;
400 Ki-67: Nuclear protein associated with cell proliferation; MDA: Malondialdehyde; MTT: 3-(4,5-
401 dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide; NAC: N-acetyl cysteine; PI: Propidium Iodide;
402 ROS: Reactive oxygen species; HRP, horseradish peroxidase.

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- 513 For **Original Research Articles**, **Clinical Trial Articles**, and **Technology Reports** the introduction
514 should be succinct, with no subheadings. For **Case Reports** the Introduction should include symptoms
515 at presentation, physical exams and lab results.

516

517 **Figure Legends**518 **Figure 1. PL inhibits cell growth and induces ROS accumulation in HCC cells.**

519 A. Chemical structure of PL. B. The effect of PL on the proliferation of HCC cells. Cells were
520 incubated with increasing doses of PL for 24 h respectively. Cell viability was determined by MTT
521 assay. C. Intracellular ROS generation in HUH-7 cells were determined in a time- and dose-dependent
522 manner using the redox-sensitive dye DCFH-DA (10 μ M). HUH-7 cells were treated with PL (15 μ M)
523 for the indicated times. HUH-7 cells were pre-incubated with or without 5 mM NAC for 2 h before
524 exposure to PL at the indicated concentrations for 30 min. Intracellular ROS generation was measured
525 by flow cytometry. D. Quantification of 2',7'-dichlorofluorescein (DCF) fluorescence data from (C).
526 E. Intracellular ROS generation induced by PL was measured by fluorescence microscopy.
527 Magnification, 200 \times . Bar, 100 μ m. HUH-7 cells were pre-incubated with or without 5 mM NAC for 2
528 2h before exposure to PL (15 μ M) for 30 min. Then, intracellular ROS generation was measured by
529 fluorescence microscopy. F. Effect of PL treatment on colony formation. Cells were pre-incubated with
530 or without 5 μ M NAC for 1h before exposure to PL at the indicated concentration for 5 h and then
531 stained with crystal violet on day 8. Data represent similar results from three independent experiments.
532 Error bars represent the S.E.M. of triplicate experiments (*P < 0.05, **p < 0.01).

533 **Figure 2. PL-induced apoptosis is dependent on intracellular ROS generation in HCC cells.**

534 A-C. PL treatment induces apoptotic characteristics in HCC cells. Magnification, 200 \times . Bar, 100 μ m.
535 HCC cells were pre-incubated with or without 5 mM NAC for 2 h before exposure to PL (15 μ M) for
536 24 h. Cell morphology was observed using an inverted microscope after Hoechst and PI staining. D.
537 Two HCC cell lines were pre-incubated with or without 5 mM NAC for 2 h before exposure to PL at
538 the indicated concentration for 24 h, and apoptosis-related protein expression was determined by
539 Western blotting. Data represent similar results from three independent experiments. Western blot
540 results were calculated and represent the percentage of the control (* p < 0.05, **p < 0.01). All images
541 shown here are representative of three independent experiments with similar results.

542 **Figure 3. PL induces -induced cell cycle arrest is dependent on intracellular ROS generation in**
543 **HCC cells.**

544 A. HUH-7 and HepG2 cells were pre-incubated with or without 5 mM NAC for 2 h before exposure
545 to PL at the indicated concentrations for 16 h. The cell cycle distribution was analysed by flow

546 cytometry. B. and C. Representative histogram from the cell cycle analysis shown in panel (A). D.
547 Expression of G2/M phase-related proteins CyclinB1 and CDC2 in HCC cells exposed to the indicated
548 concentration of PL with or without NAC (5 mM) for 20 h. GAPDH was used as an internal control.
549 Data represent similar results from three independent experiments. Error bars represent the S.E.M. of
550 triplicate experiments (* $P < 0.05$, ** $p < 0.01$).

551 **Figure 4. The ER stress pathway is involved in PL-induced apoptosis by promoting the**
552 **accumulation of ROS.**

553 A. HUH-7 and HepG2 cells were treated with PL (15 μM) for the indicated times, and the protein
554 levels of p-eIF2 α and ATF4 were determined by Western blotting. GAPDH and eIF2 α were used as
555 internal controls. B. Western blot results from (A) were calculated and compared with the control. C.
556 HUH-7 and HepG2 cells were pre-treated with or without 5 mM NAC for 2 h before exposure to PL
557 at the indicated concentrations. Six hours later, ATF4 and p-EIF2 α expression was detected by Western
558 blot. GAPDH and eIF2 α were used as internal controls. D. Western blot results from (C) were
559 calculated and compared with the control. E. HUH-7 cells were transfected with siRNA against ATF4.
560 Cells were then exposed to 15 μM PL and apoptotic cells were determined by acridine orange and
561 ethidium bromide dual staining. Data represent similar results from three independent experiments.
562 Western blot results were calculated and represent the percentage of the control (* $p < 0.05$, ** $p <$
563 0.01).

564 **Figure 5. Upregulation of TrxR1 expression in LIHC.**

565 A. TrxR1 levels in LIHC liver hepatocellular carcinoma and NATs normal adjacent tissues. B. Higher
566 Increased TrxR1 protein expression predicts decreased survival. C. Representative
567 immunohistochemical staining for TrxR1 in LIHC and NATs. Bar, 100 μm . D. Summary of
568 immunohistochemical staining results. E. HUH-7 cells transfected with TrxR1 siRNA and treated with
569 15 μM PL. Apoptotic cells were determined by acridine orange and ethidium bromide dual staining.
570 Three independent experiments were performed.

571 **Figure 6. PL inhibits HUH-7 xenograft tumor growth accompanied by increasing ROS levels and**
572 **decreasing TrxR1 activity.**

573 PL treatment inhibited tumor volume A-B and tumor weight C. of HUH-7 HCC xenografts in nude
574 mice, but do did not affect the body weight D. of the mice. E. H&E staining images of kidney, liver,

575 and heart tissues from the two groups showing no significant alterations. Bar, 100 μ m. F. Western blot
576 analysis of ATF4, CHOP, and cleaved caspase-3 levels in resected tumor specimens. Bar, 100 μ m.
577 GAPDH and caspase-3 were used as loading control. G. Immunohistochemical staining of tumor
578 specimens for the cell proliferation marker Ki-67, the apoptosis marker cleaved caspase-3 and
579 Bcl-2. H. Levels of the oxidative stress marker MDA in the tumor tissues I. TrxR1 enzyme activity
580 was measured with/without PL treatment in vitro. J. TrxR1 activity of TrxR1 in tumor tissue lysates as
581 determined by an endpoint-point insulin reduction assay. Data represent similar results from three
582 independent experiments. Error bars represent the S.E.M. of triplicate experiments (*P < 0.05, **p <
583 0.01).

584 **Figure 7. Schematic illustration of the underlying mechanism of the anticancer activity of PL.**

Provisional

Figure 1

Figure 01.TIF

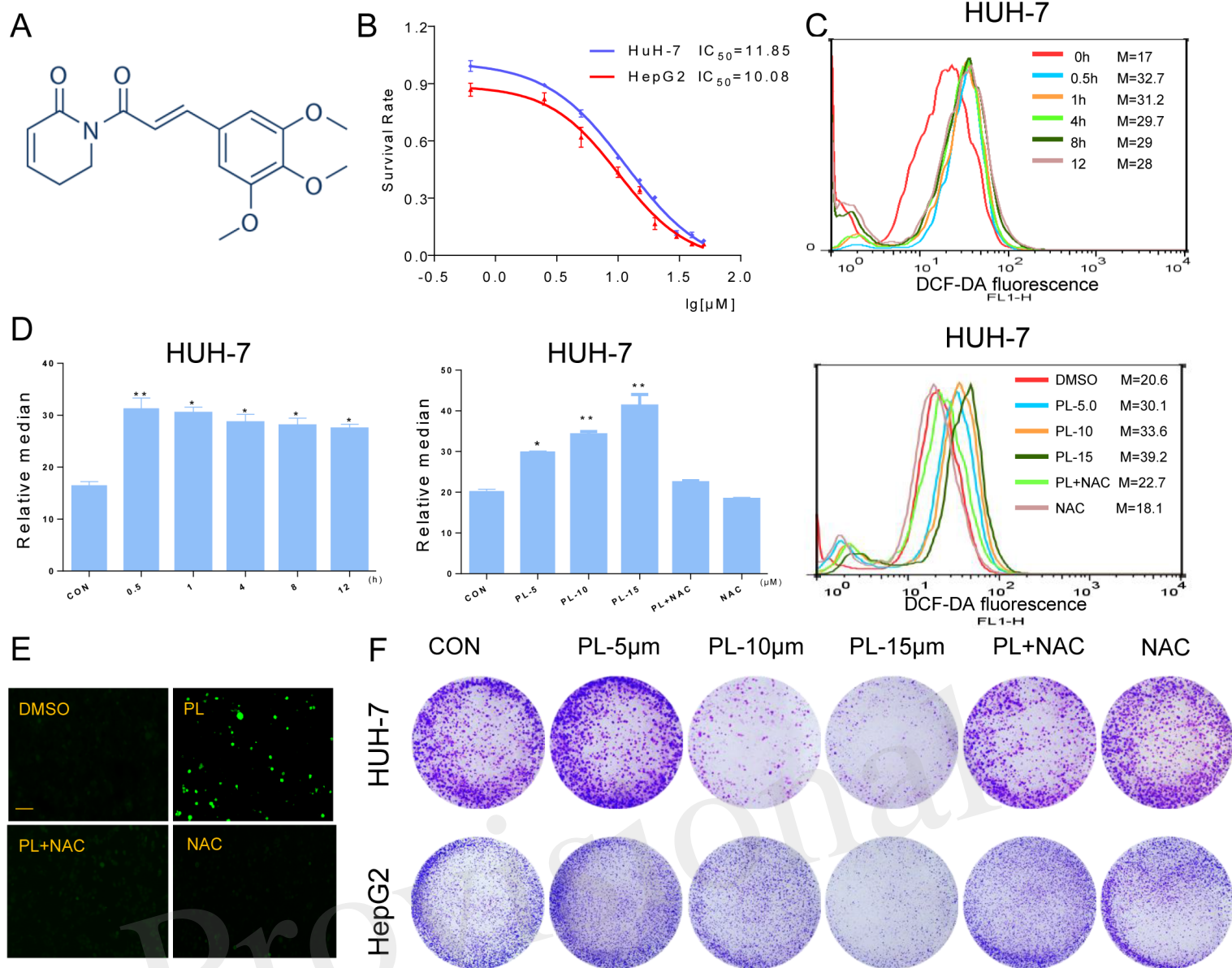


Figure 2

Figure 02.TIF

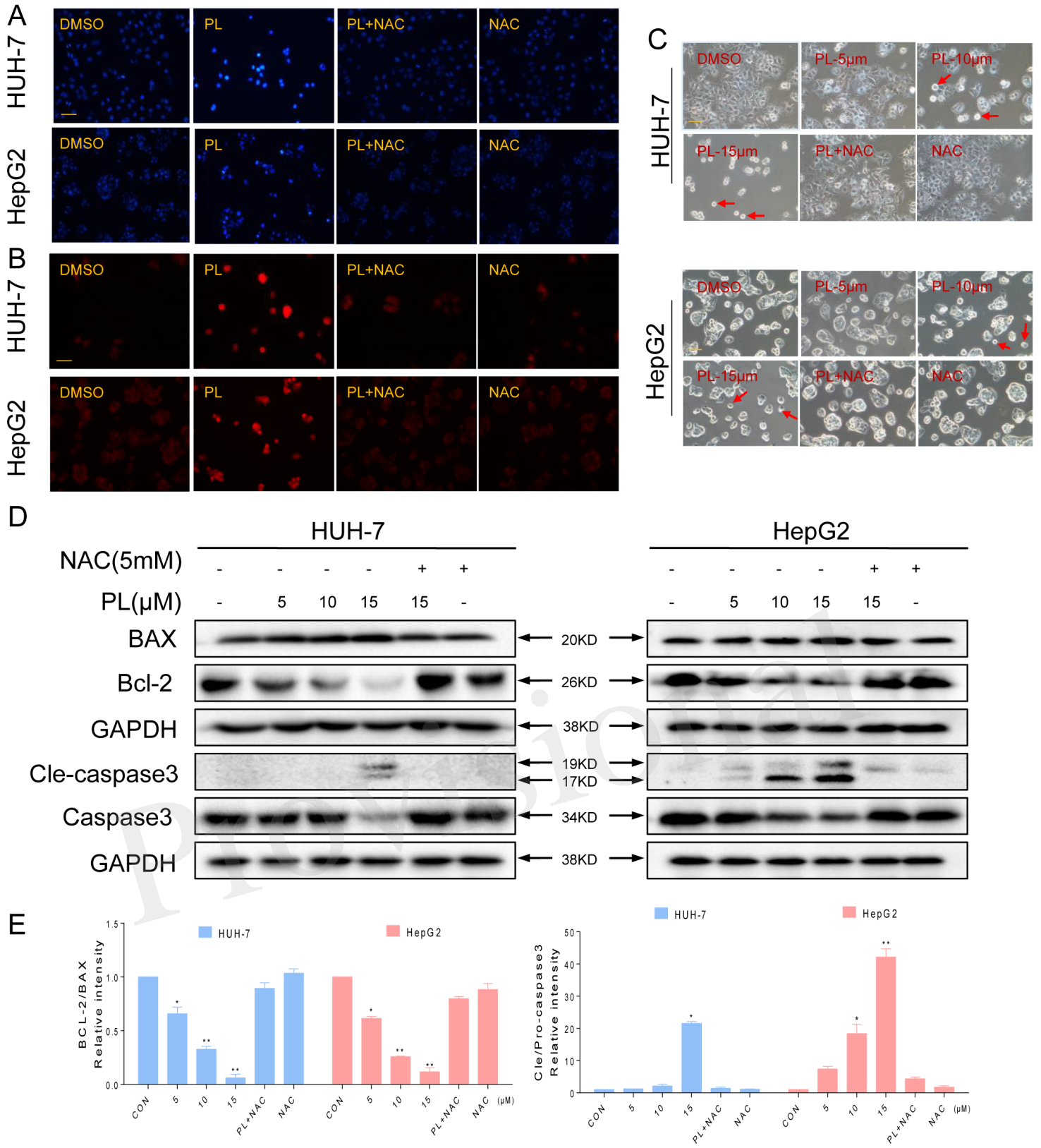


Figure 3

Figure 03.TIF

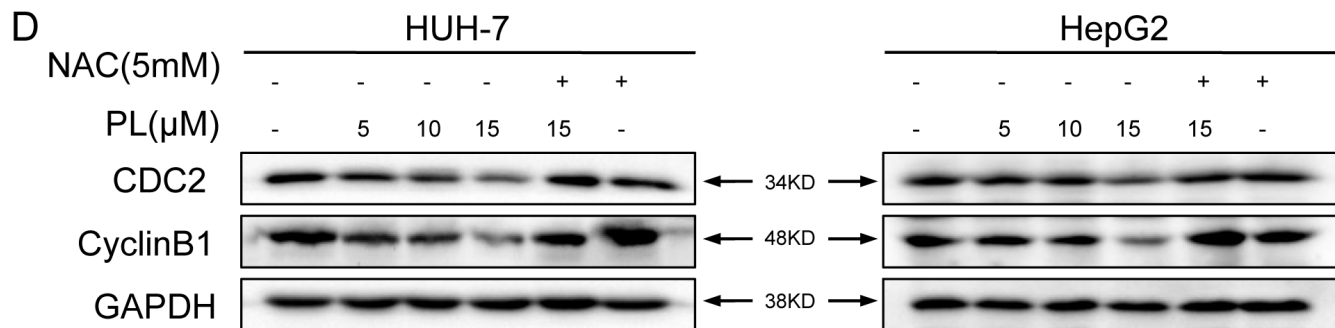
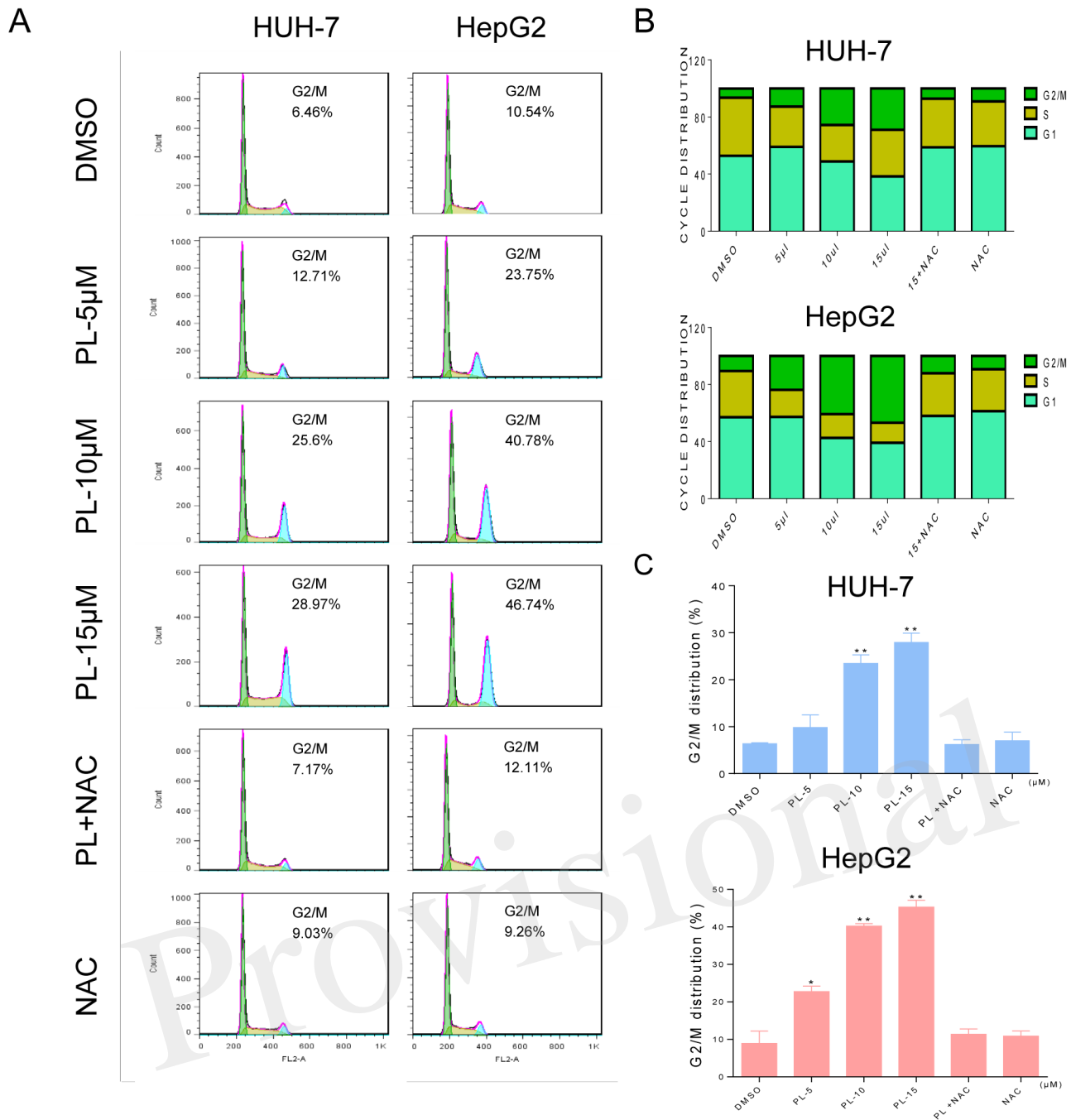


Figure 4

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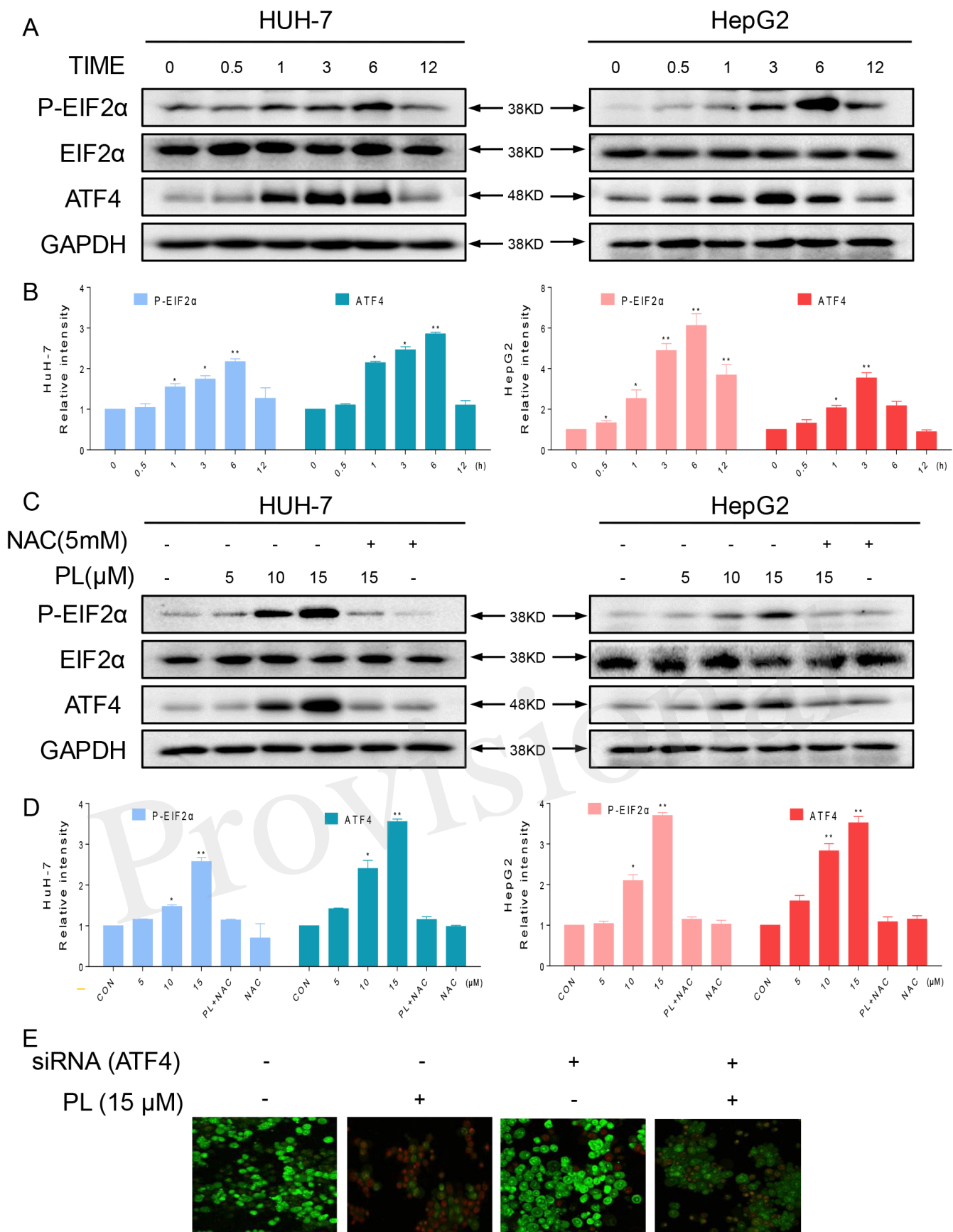


Figure 5

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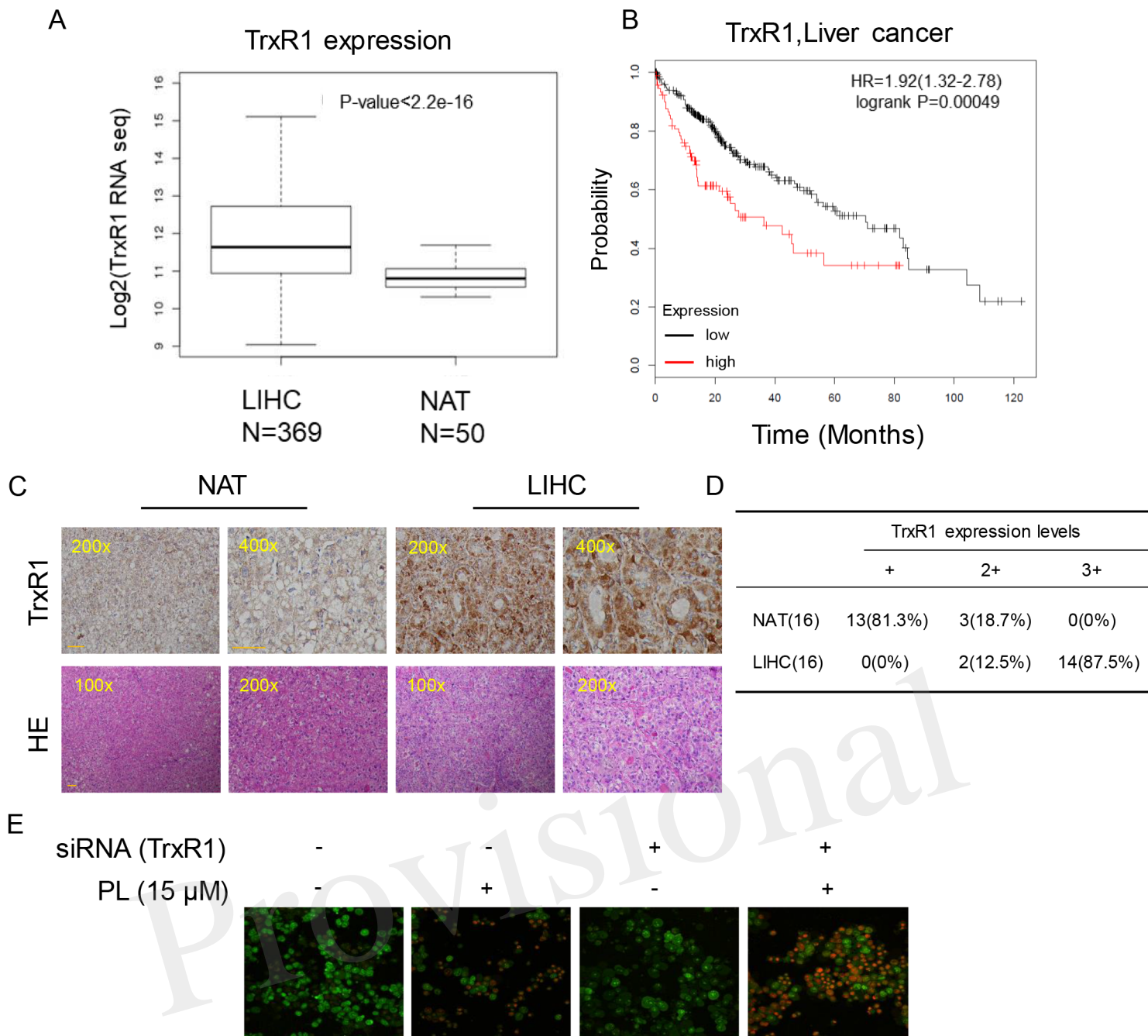


Figure 6

Figure 06.TIF

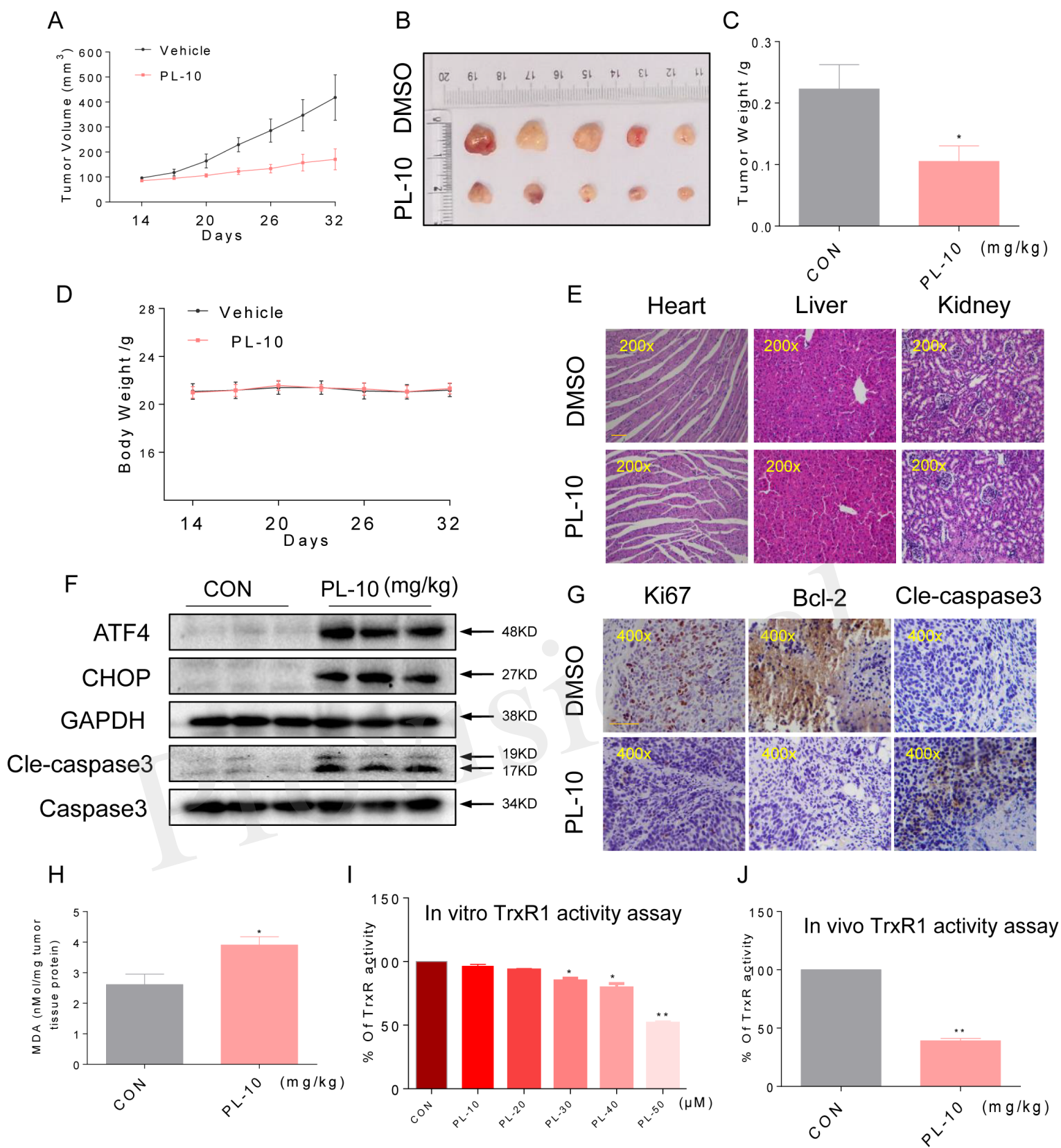


Figure 7

Figure 07.TIF

