



CHRONICLE BOOKS

CHRONICLE BOOKS MODERN SLAVERY STATEMENT

AUGUST 6, 2021

INTRODUCTION:

Chronicle Books has a zero-tolerance policy towards modern slavery. We are committed to fully understanding the risks of modern slavery and work to ensure that forced labor does not occur within our supply chain. To do so, we continuously monitor our supply chain for modern slavery risks and work with our suppliers both directly and through our participation with industry groups such as the [Book Chain Project](#), to make certain our vendors have a clear understanding of what modern slavery entails, how to assess risks and how to do due diligence within their own supply chains.

WHO WE ARE:

Chronicle Books, LLC. is an independently owned, internationally distributed, award-winning illustrated book and gift publisher located in San Francisco, CA USA. Our business includes our SF headquarters, smaller offices in New York, Los Angeles, and Bath, England, and a joint venture sales office in London (Abrams & Chronicle Books UK). To find out more about our mission, please visit: <http://www.chroniclebooks.com/our-company/mission-statement>

OUR SUPPLY CHAIN:

Chronicle Books is committed to ensuring that all our products are produced in an ethical manner. We strive to create working partnerships with like-minded manufacturers based on the principles of trust, transparency, and a process of continual improvement over time.

The vast majority of our products – books, and both paper and non-paper based gifts and infant products – are manufactured overseas primarily in Asia, most prominently in China, with a handful of titles produced in India, Taiwan, South Korea, as well as Italy, Canada, and the US. We use the Book Chain Project's Country Assessment Tool to assess risk in the countries where we manufacture, and work to build long-term relationships with our suppliers. As such we have a relatively small pool of vendors that produce the majority of our work, many of which we have worked with for decades. However, when the occasion arises to produce a product that falls outside of our regular vendors, we may seek alternative manufacturers in industries and markets new to Chronicle Books.

OUR POLICIES AND DUE DILLIGENCE:

We expect all our vendors and any third-party subcontractors they may use to adhere to Chronicle Books' Supplier Code of Conduct, which incorporates the Book Chain Project's [Supplier Code of Conduct](#), itself based on the International Labor Organization's (ILO) Declaration on Fundamental Principles and Rights at Work. We require our vendors to provide a written acknowledgement of their receipt and understanding of our policies prior to any work being placed.

CHRONICLE BOOKS LLC

680 SECOND STREET, SAN FRANCISCO, CA 94107

CHRONICLEBOOKS.COM PHONE: 415.537.4200



CHRONICLE BOOKS

OUR POLICIES AND DUE DILLIGENCE *cont.*:

Chronicle Books regularly sends representatives to various manufacturing sites for quality control, factory inspection and relationship building purposes. We recognize that we cannot be in all places at all times, and as such require our vendors to complete social compliance audits and provide full reports for review annually, as well as Corrective Action Plans, and follow-up audits as needed, for any non-conformities. We require our vendors to be audited against the Book Chain Project's labor standards as well as accepting comparable audits such as ICTI, SMETA, and BSCI. We expect our vendors to apply to be re-audited prior to any audit expiration, and that any subvendors used by primary manufacturers must adhere to the same standards and audit requirements.

Documentation of vendor adherence to these policies is tracked both internally through Chronicle Books' vendor database showing current audit status, as well as through the Book Chain Project database for participating vendors. This database is continually checked and updated to monitor compliance on an ongoing basis.

Additionally, Chronicle Books participates in programs facilitated by the Book Chain Project with a focus on improving worker's lives and factory conditions. Examples of this work includes:

- Helping to educate workers on the value of China's social insurance policy and how it works by sharing the Book Chain Project's facilitator Carnstone's [Promising Future](#) video with our vendors.
- Championing our vendor's participation in the Book Chain Project's Health & Safety Initiative supporting factory managers working to improve health and safety issues. Deliverables included a webinar for managers, a guidebook of best practices, recorded case study interviews, and a WeChat support group for managers. Key achievements showed 11 participating suppliers, 15 managers joined the WeChat support group, and the guidebook was downloaded 115 times.
- Participation in The Way We Work, which was an internal discussion group at Chronicle Books including stakeholders from Production, Operations, Editorial, and the Executive Group focused on raising the awareness of how our purchasing practices and scheduling can impact working conditions at the factory level.

TRAINING:

A Chronicle Books Production Director participates in Book Chain Project quarterly meetings in order to keep abreast of overall Corporate Social Responsibility issues in publishing and with the Asian manufacturing sector at large. We feel our active participation is crucial to understanding both country of manufacture and industry risks, as well as building a coalition of international publishers committed to ethical, global labor standards, and the eradication of modern slavery and human trafficking.

Within the Chronicle Books production department, we provide annual training and/or updates as needed for staff so that all members of our team charged with developing new books and products are well versed in Chronicle's Code of Conduct, and the International Labor Standards and auditing schemes from which it is built. We believe educating our employees is one of the best ways to eliminate the risk of modern slavery

TRAINING *cont.*:

and human trafficking in our supply chain. Should an employee suspect a risk of slavery within our supply chain, they are required to immediately report it to production directors, at which point we will cease all operations with the vendor until an investigation of the issue, including an immediate third-party audit, can

CHRONICLE BOOKS LLC

680 SECOND STREET, SAN FRANCISCO, CA 94107

CHRONICLEBOOKS.COM PHONE: 415.537.4200



CHRONICLE BOOKS

be conducted. Should instances of modern slavery be evident, Chronicle Books will withdraw all work from the vendor in question effective immediately.

KEY PERFORMANCE INDICATORS:

We recognize that understanding and preventing modern slavery is a complex and ongoing effort that requires time, research, education, and both internal and external collaboration. In order to assess our effectiveness in this crucial endeavor, we will measure and report on our internal staff training opportunities, participation in Book Chain Project, and the number of vendors with current social compliance audits annually. Our current KPIs are as follows:

- Annual training of production staff has been completed annually 2017-2021.
- Employee educational sessions on corporate social responsibility have been provided so far in 2017, 2019, and 2020.
- As of August 2021, 97% of our active suppliers have supplied us with current social audit reports, with the remaining 3% in progress.

Tyrrell Mahoney
President, Chronicle Books LLC

CHRONICLE BOOKS LLC

680 SECOND STREET, SAN FRANCISCO, CA 94107

CHRONICLEBOOKS.COM PHONE: 415.537.4200