

1 Type of the Paper (Research Article)

2 **A double blind, randomized, placebo-controlled trial**
3 **on effect of Ashwagandha (Withania somnifera**
4 **Dunal.) extract in improving cardiorespiratory**
5 **endurance and recovery in healthy athletic adults**

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15 Received: date; Accepted: date; Published: date

16 **Abstract:**

17 *Background and objectives:* Improved cardiorespiratory endurance can aid in attaining better health
18 through altering the physiological, biochemical, molecular, structural and functional abilities in
19 human. Athletes are seeking a better scientific way to improve their capacity through various
20 ergogenic aids. Herbal supplements have the potential to induce cardiorespiratory health.
21 According to Ayurveda, Ashwagandha is having such potential. The aim of this study was to
22 evaluate the efficacy and safety of Ashwagandha root extract in enhancing cardiorespiratory
23 endurance and improving quality of life (QOL) in healthy athletic adults. *Materials and Methods:*
24 Fifty healthy athletic adults were chosen randomly and equally divided into experimental and
25 placebo groups. The experimental group received 300 mg capsule containing Ashwagandha root
26 extract twice daily for 8 weeks. Cardiorespiratory endurance was assessed by measuring the VO₂
27 max. Estimation of stress management was done through Total Quality Recovery Scores (TQR),
28 Recovery-Stress (RESTQ) and Daily Analysis of Life Demands for Athletes (DALDA)
29 questionnaires. The antioxidant level was assessed to understand the management of the oxidative
30 stress in the subjects. *Results:* Significant improvement of VO₂ max was observed in the
31 experimental group (P value <0.0001) compared to the baseline parameters and the placebo group.
32 The mean change was 6.60 ml/kg/min with 95% CI in the experimental group and 2.02 ml/kg/min
33 in the placebo group. Improved TQR scores were observed in the experimental group members
34 compared to their placebo counterparts. DALDA questionnaire analysis in the experimental group
35 was found statistically significant (P value <0.0001). RESTQ assessment also hinted better outcome,
36 especially for fatigue recovery, lack of energy, and fitness analysis. The improved antioxidant level
37 in the subjects was noted for the experimental group. *Conclusions:* The findings suggest that
38 Ashwagandha root extract successfully enhanced the cardiorespiratory endurance and improved
39 the quality of life in healthy athletic adults. Further analysis with a larger population and different
40 ethnic group may provide more insight.

41
42 **Keywords:** Ashwagandha; Cardiorespiratory endurance; Vo₂ Max, TQR, RESTQ-sport, DALDA,
43 Antioxidant assay
44

45 1. Introduction

46 Cardiovascular health is of immense importance to lead a healthy life. The alarming growth of
47 cardiovascular patients globally warrants additional attention from the medical community and
48 public health management authorities [1-3]. Advanced research and analysis in cardioprotective and
49 cardiorespiratory endurance will aid tremendously to the younger and future generation.
50 Maintaining physical fitness and overall health is the need of the hours that have long been
51 prescribed in every healthcare system globally [4]. Cardiorespiratory endurance training is being
52 embraced by several national programs to ensure better health [5]. Such practice can lead to a better
53 state of the cardiovascular system in healthy person and athletes [6]. A plethora of scientific
54 investigations have been conducted on cardiorespiratory endurance and attempts were made to
55 enhance the outcome [7-10]. Yet, mature and output-oriented healthcare policies in many
56 developing countries still remain a challenge [11-12].

57 Nutrients and supplements are regularly used to increase the cardiorespiratory endurance in
58 healthy being and in athletes [13]. Effects of different nutrients and diet pattern on cardiorespiratory
59 endurance have been exercised [14]. In this context, herbal supplements are proven to be effective in
60 improving cardiorespiratory endurance and attaining better health condition. Popular Ayurveda
61 based supplements and herbal extracts have reflected a positive impact on human health. *Elwendia*
62 *persica* or *Bunium persicum* aqueous extract showed increased cardiorespiratory capacity through
63 improving the lipid profile in animals having hypercholesterolemia [15]. Panax ginseng (PG) was
64 also tested in endurance runner in humid environmental condition [16]. Quercetin was found
65 effective in improving exercise performance and muscle mitochondrial biogenesis [17]. Often,
66 supplement combination such as Rhodiola and Gingko also demonstrated appreciable outcome for
67 endurance related performances [18].

68 Ashwagandha (*Withania somnifera* [L.] Dunal), an adaptogen, is a valued herb in Ayurveda
69 having ample medicinal use in traditional Indian Ayurvedic medicine system and in modern
70 treatment regime [19-20]. Interestingly, Ashwagandha is used simultaneously for various
71 disease conditions and in healthy being. Acute and chronic ailments including
72 neurodegenerative disorders [21], cardiovascular diseases (CVD) [22], degenerative
73 musculoskeletal problems [23], gastrointestinal conditions [24] can be treated with
74 Ashwagandha. In healthy persons, this plant extract is used as immune modulator [25],
75 neuroprotector [26], cardiorespiratory endurance enhancer [27].

76 In the ancient medical system, Ashwagandha is referred as “Rasayana”, a rejuvenator and
77 described as having “balavardhan” (vital energy increment) and “mamsavardhan” (muscle mass
78 increment) properties [28]. A study conducted on healthy volunteers revealed that the
79 Ashwagandha extract is well accepted in healthy adults with quality of sleep induction. The extract
80 was effective even with varying dosage. It was potent in the reduction of total cholesterol and LDL,
81 and increment in muscle strength [28]. A combined clinical study of *Withania somnifera* and
82 *Terminalia arjuna* extract reported that Ashwagandha could be effective in neuromuscular
83 coordination improvement and lower limb muscle strength enhancement. On the other hand,
84 Arjuna extract also might have a role in cardiovascular endurance management and diminishing the
85 systolic blood pressure [29].

86 In an earlier study, the substantial increment of maximal aerobic capacity (VO₂ max) and
87 quality of life (QOL) have been reported without any adverse effect [27]. Improvement of VO₂ max
88 and the metabolic equivalent of task (MET) was also reported when the Indian cyclists were
89 considered as participants in a clinical study [30]. Clinical investigation on Ashwagandha in
90 recovering exercise-induced muscle damage provided an output with increased muscle mass and
91 supported the use of such supplement for resistance training program [31].

92 All these prior attempts on effectiveness, safety, and efficacy of *Withania somnifera* extract in
93 healthy human being and sports persons inspired us to conduct further clinical studies. A
94 double-blind, randomized, placebo-controlled trial experiment was designed to understand the
95 impact of Ashwagandha root extract (*Withania somnifera* Dunal.) on cardiorespiratory endurance in a

96 healthy population. We have also considered the recovery of fatigue, antioxidant level maintenance
97 in the bloodstream during this study.

98 The popular endurance and psychometric analyses such as maximum oxygen consumption
99 ($\text{VO}_2 \text{ max}$), Total Quality Recovery Scores (TQR), Recovery-Stress Questionnaire for Athletes
100 (RESTQ), and Daily Analysis of Life Demands for Athletes (DALDA) questionnaire were considered
101 to document the outcome of this study.
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103 2. Materials and Methods

104 2.1 Study design:

105 This study was a prospective, double-blind, randomized, placebo-controlled, single-centered
106 program where all the subjects were randomized in a 1:1 ratio. Declaration of Helsinki (1989) was
107 followed during this study program. The guidelines for clinical trials on pharmaceutical products in
108 India, issued by the Central Drugs Standard Control Organization (CDSCO), Ministry of Health,
109 Government of India, was followed during the study.

110 2.2 Ethical consideration:

111 Ethics committee approval was obtained from the Institutional Ethics Committee (IEC) of MV
112 Hospital and Research Center, Mirza Mandi, Chowk, Lucknow-226003, Uttar Pradesh, India
113 (Reference No. MV/02/17/16). The ethical committee followed the Good Clinical Practice (GCP)
114 guidelines issued by the Central Drugs Standard Control Organization (CDSCO) and ethical
115 guidelines for biomedical research on human subjects, issued by the Indian Council of Medical
116 Research (ICMR).

117 2.3 Participant enrollment:

118 The study was an exploratory study in nature. Enrollment of 50 healthy, athletic volunteers was
119 done for this purpose and all the 50 subjects were randomized in 1:1 ratio. Therefore, 25 subjects
120 were given KSM-66 Ashwagandha (*Withania somnifera*) and another 25 subjects received the placebo
121 capsules. Patients of both gender who were aged between 18 and 45 years, and who had a normal
122 body mass index (BMI) range of 18.5 to 24.9 kg/m^2 were included in the study.

123 2.4 Eligibility criteria for participants:

124 Following the study objectives, inclusion and exclusion of the subjects were done carefully.
125 Strict criteria were followed during the inclusion of the subjects. Subjects diagnosed with heart
126 disease, diabetes, stroke, depression, and other neurological disorders were excluded. Moreover,
127 subjects who were already consuming nutritional or energy supplements, using medications,
128 especially related to blood pressure, beta-blockers, beta agonists, hormonal contraceptives, were also
129 barred from participation. Similarly, those who were taking corticosteroid at least three months
130 prior to the study program, and those who were considering psychotropic medication at least two
131 months prior to the study, were excluded. Subjects those who were having any kind of substance
132 dependence, at least within the last one year, alcohol abuse, known hypersensitivity to
133 Ashwagandha (WS) or any other herbal products, were not considered for participation. Moreover,
134 breastfeeding women and patients unwilling to provide informed consent were excluded from the
135 study.

136 2.5 Study settings, location, and participant recruitment:

137 This specific study took place at MV Hospital and Research Centre, Lucknow, Uttar Pradesh,
138 India. Recruitment of the prospective subjects was conducted from the nearby community through
139 poster advertisement and telephonic calls. All the plausible subjects were supplied with information
140 on the consent form, background information on the purpose of the study, a description of the

141 intended care as per study protocol. An information sheet regarding study participation and contact
142 information for study enrolment was also supplied to each person.

143 2.6 Randomization, sample allotment, and data collection:

144 The randomization was performed using SAS version 9.2 software applying predetermined
145 randomization block design. The study investigational product (IP) was packed in such a manner
146 that the study and control medication packs seemed identical. The packs were coded to conceal the
147 nature of drugs inside and the label contained the subject serial number (study ID). After enrollment,
148 the subject was provided with the medication (IP) pack having the corresponding serial number. The
149 randomization codes were provided in separate sealed envelopes for each subject and were opened
150 by the investigator after the subject was enrolled and received a serial number. The unblinding was
151 allowed only after completion of the entire data collection or in case of any serious adverse event.
152 The data analysts and the persons in charge of reporting the study results were unaware of the
153 identity of the study groups. The data details were blinded to the statisticians as well. The enrolled
154 subjects were segregated into two groups, the experimental or test group, and the control or placebo
155 group. The members of the test group were given KSM-66 Ashwagandha capsule (300 mg) daily
156 twice, one in the morning and one in the evening, orally with water. The control group received a
157 placebo product as a capsule which was identical to KSM-66 Ashwagandha devoid of the active
158 ingredients. The dosage of the placebo was identical to the test group.

159 2.7 Test product description:

160 The test product KSM-66 was obtained from the manufacturer Ixoreal Biomed Inc., Los
161 Angeles, California, USA as a gift. The product contains root extract from Ashwagandha with the
162 optimum amount of withanolides precisely estimated by HPLC method.

163 2.8 Physiological parameters analysis:

164 Cardiorespiratory fitness was measured through the assessment of maximum oxygen
165 consumption (VO_2 max) through Cooper's 12 minutes run test which is used widely [32]. Total
166 Quality Recovery Scores (TQR), Recovery-Stress Questionnaire for Athletes (RESTQ) [33], and Daily
167 Analysis of Life Demands for Athletes (DALDA) questionnaire [34] were also implemented. All the
168 exercise physiology-based metrics were adopted to ensure the accurate measurement of the
169 outcome. The results of the experimental (KSM-66 supplemented) group was compared with the
170 placebo-supplemented group to understand the obtained results. Moreover, the differences between
171 the baseline readings collected during the program initiation, and the final recordings noted at the
172 end of the study program, were also compared. Estimation of the antioxidant level was done to
173 assess the oxidative stress reduction capability of the subjects. The standard venipuncture procedure
174 was followed to collect the blood samples and each sample was preserved using
175 *Ethylenediaminetetraacetic acid* (EDTA) for further biochemical analyses.

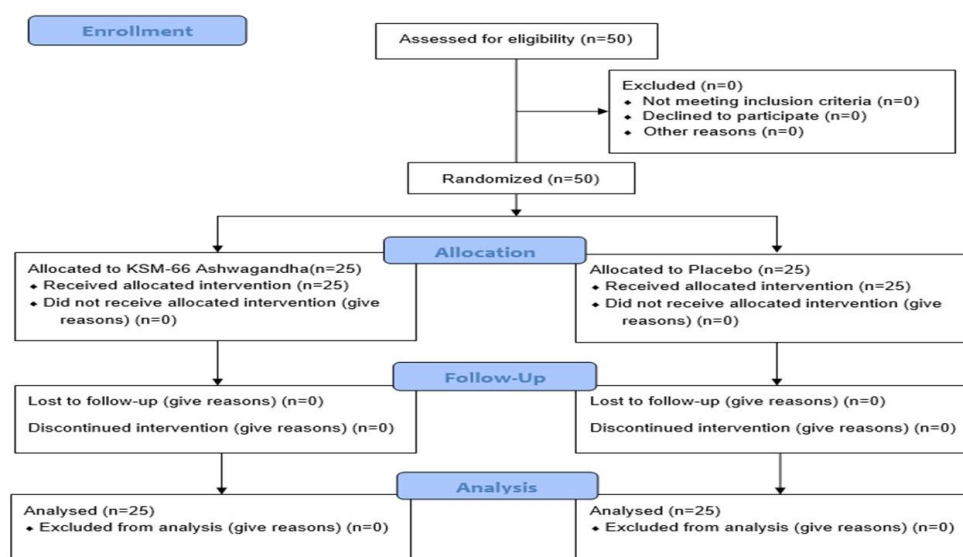
176 2.9 Statistical Analysis:

177 All relevant statistical calculations were done employing SAS version 9.2. The analysis was
178 conducted in both intent-to-treat (ITT) and per-protocol (PP) datasets. The obtained analysis
179 outcome of ranking data and scores are represented here as Mean \pm SD. All the categorical and
180 discrete data are presented as percentages. Based on the standard statistical requirement, 95%
181 confidence intervals (CI) was accepted for the study. Differences from the baseline are expressed as
182 mean difference and percent change from the baseline. To compare significance of the outcome,
183 t-test was used. Baseline scores of the two groups were compared with the post-treatment group
184 using one-way Analysis of Variance (ANOVA).

185 3. Results

186 Ashwagandha has been an excellent medicinal herb since ancient times. Numerous preclinical
 187 and clinical studies on the impact of Ashwagandha are being conducted on various diseases
 188 recently. Simultaneously, the herb is also considered as a rejuvenator and an outstanding
 189 supplement for maintaining general well-being.

190 This study was aimed to observe the safety and efficacy of Ashwagandha along with exploring
 191 its ability to improve cardiorespiratory endurance for athletics. CONSORT guideline (Figure 1) was
 192 followed for enrollment, allocation, follow-up, and analyses. A total of 50 subjects were enrolled and
 193 were randomized to receive either Ashwagandha extract or placebo in this trial. The obtained data
 194 were used for efficacy analysis. The mean age of the participants (50) was well balanced between the
 195 two groups (29.28 ± 8.82 years in Ashwagandha treated test group and 28.84 ± 7.47 years in the
 196 placebo group).
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200 Figure 1. CONSORT flow diagram of the clinical study on efficacy and safety measurement of Ashwagandha in
 201 improving cardiorespiratory endurance in athletic human.

202 3.1. Study conduct:

203 The overall study was divided into three phases. In phase I, the study initiated with the
 204 screening and conducting baseline measurements during the first visit at Day 0 (Supplementary
 205 Table 1). In phase II, data collection was done during the second visit for the enrolled study
 206 population in 4th week (28 ± 3 Days), and in phase III, the program terminated with final or third visit
 207 in the 8th week (56 ± 3 Days) along with final data collection, assembling information and
 208 documentation. The data collection system and schedule for each visit along with the respective
 209 parameters are represented in Supplementary Table 1.

210 As mentioned earlier, 50 subjects were equally distributed to the placebo group and the test
 211 group. The demographic parameter distribution of the study group is represented in Supplementary
 212 Table 2. The mean age for the test group was 29.28 years ± 8.82 and the placebo group was $28.84 \pm$
 213 7.47 years. Similarly, the mean height and weight in both groups were comparable. The number of
 214 male participants was 64% in the test group and 84% in the placebo group (Supplementary Table 2).
 215 The distribution of the subjects' demographic parameters was found fairly equivalent.

216 3.2 Outcome of physiological parameter estimation:

217 The major physiological indicators for cardiorespiratory endurance considered were estimation
 218 and assessment of VO_2 max and total recovery capability. Data were collected prior and post use of
 219 the supplement. Assessing the stress management capability of the volunteers was done through
 220 RESTQ questionnaire. Understanding the participants' improvement in handling stress and

221 well-being was conducted through biochemical analysis of the blood samples and antioxidant level
222 measurement.

223 3.3 Outcome of VO₂ max and Total Quality Recovery Scores (TQR):

224 Several earlier studies have suggested VO₂ max and Total Quality Recovery Scores (TQR) as a
225 suitable measure for estimating cardiorespiratory endurance in test subjects [32-34]. VO₂ max
226 estimation was done through the usual equation rendered by Cooper (Equation 1).

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$$\text{VO}_2 \text{ max} \approx (d12 - 504.9)/44.73, \text{ where } d12 \text{ is the distance covered in 12 mins.Equation 1}$$

228 The application of VO₂ max in measuring the cardiorespiratory endurance is well recognized in
229 sports physiology and in common healthy being. Different modern methods of this estimation are
230 being explored recently for the athletes [35-36]. A complementary measurement of the stress
231 recovery was done through the Total Quality Recovery Scores (TQR) [39]. The available
232 questionnaire was used for the estimation and ranking of fatigue recovery in athletes [38-40]. The
233 obtained outcome of VO₂ max estimation and Total Quality Recovery Scores (TQR) assessment is
234 represented in Table 1 and Table 2 respectively. The final outcome of recovery analysis was
235 categorized as a neutral, moderate and improved effect. For all the cases, the result is shown for the
236 baseline estimation, visit 2 and visit 3 and observed significant changes along with their respective P
237 values (Table 1 and Table 2). The mean VO₂ max of the test group was recorded as 40.22 ± 5.34 mL/
238 kg/min during enrollment or baseline calculation. The mean value of the test group increased to
239 46.82 ± 5.01 mL/ kg/min by the end of the study during the 3rd visit. The mean change of 6.60 mL/
240 kg/min in VO₂ max was found statistically significant within the test group subjects who received
241 Ashwagandha 300 mg capsule (p<0.0002). On the contrary, the observed mean change of 2.02 mL/
242 kg/min in VO₂ max was found not statistically significant within the placebo group subjects.
243 Improvements were observed in the VO₂ max values in healthy athletes of the test group in
244 comparison to the subjects consuming the placebo. This mean change value between the groups was
245 found statistically significant and the consumption of Ashwagandha 300 mg capsule was found
246 effective.
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Table 1. VO₂ MAX (ml/kg/min) at Baseline, Week 4 and Week 8.

Parameters	Ashwagandha (n=25)		Placebo (n=25)		Difference Between the groups		p-values [‡] (KSM66 vs placebo) (ANOVA)
	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean	95% CI	
Visit 1 (Baseline)	40.22 (5.34)	(38.12, 42.31)	40.63 (3.43)	(39.28, 41.97)	-0.41	(-2.71, 1.89)	0.7483
Visit 2 (4 week)	43.72 (5.52)	(41.56, 45.89)	42.45 (4.13)	(40.83, 44.07)	1.26	(-1.82, 4.36)	0.3625
Visit 3 (8 week)	46.82 (5.01)	(44.86, 48.79)	42.66 (5.51)	(40.49, 44.82)	4.16	(0.77, 7.55)	0.0074
Change at 4 week (absolute)	3.50 (4.09)	(1.89, 5.11)	1.82 (4.11)	(0.21, 3.43)	1.68	(-0.37, 3.73)	0.1543
Change at 8 week (absolute)	6.60 (3.27)	(5.31, 7.88)	2.02 (5.15)	(0.01, 4.04)	4.57	(1.98, 7.16)	0.0005
p-values within group* (visit 1 vs visit 2) (t-test)	<0.0002		0.362				
p-values within group* (visit 1 vs visit 3) (t-test)	<0.0001		0.787				

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Total Quality Recovery Scores (TQR) is a regularly used evaluation method implemented for estimating the exhaustion recovery rate in athletes [41]. The same measure was used to assess the fatigue recovery of the subjects belonging to both the test and placebo groups. Table 2 represents the outcome of TQR analysis. The statistical comparison of the records suggested a significant improvement in the group that used Ashwagandha capsules. Further, ANOVA results showed significant P values of <0.0001 and <0.0001 during second (4th week) and third visit (8th week) respectively.

260 **Table 2.** Total Quality Recovery (TQR) Score values observed during baseline, visit 1 and final visit along with
 261 their respective significances.

Parameters	Ashwagandha (n=25)		Placebo (n=25)		Difference Between the groups		p-values#(KSM66 vs placebo) (ANOVA)
	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean	95% CI	
Visit 1 (Baseline)	16.04 (0.69)	(15.77, 16.31)	15.35 (1.05)	(14.94, 15.76)	0.69	(0.20, 1.18)	0.0089
Visit 2 (4 week)	16.61 (0.81)	(16.30, 16.93)	15.3 (1.04)	(14.89, 15.70)	1.31	(0.80, 1.83)	<0.0001
Visit 3 (8 week)	17.11 (1.02)	(16.72, 17.52)	15.15 (1.14)	(14.71, 15.59)	1.96	(1.36, 2.56)	<0.0001
Change at 4 week (absolute)	0.57 (0.77)	(0.27, 0.87)	-0.05 (0.90)	(-0.40, 0.30)	0.62	(0.16, 1.09)	0.0118
Change at 8 week (absolute)	1.07 (1.23)	(0.59, 1.55)	-0.2 (1.05)	(-0.61, 0.21)	1.27	(0.64, 1.91)	0.0003
p-values within group* (visit 1 vs visit 2) (t-test)	0.0011		0.7746				
p-values within group* (visit 1 vs visit 3) (t-test)	0.0002		0.3490				

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 263 The pace of exhaustion recovery was found rapid in the subjects who consumed the test
 264 product in comparison to those who took the placebo. Analysis of the TQR scores during the third
 265 visit revealed the experimental group represented better outcome. It was found that 14 (56%)
 266 subjects showed good improved effect and 9 (36%) subjects displayed moderate improvement
 267 according to the TQR scores in the Ashwagandha treated group. In contrast, 7 (28%) and 16 (64%)
 268 subjects showed improved effect and moderate improvement respectively in the placebo group.

269 *3.4 Analysis of Daily Analysis of Life Demands for Athletes (DALDA):*

270 Responses of the participants against the DALDA questionnaire were recorded to assess the
 271 symptoms defining stress for the life demands of the athletes. Based on the received answers of the
 272 participants, responses were recorded following “worse than normal” labeling for both the groups.
 273 The obtained statistical results are presented in Table 4. The recorded DALDA score displayed
 274 declined score values among subjects who received Ashwagandha capsules in the test group
 275 compared to their placebo counterparts. The mean change of the DALDA score observed was -3.6 in
 276 healthy subjects receiving the test product. This value was noted as significantly lower compared to
 277 the observed mean change of -0.4 recorded for the subjects of the placebo group (Table 3). The
 278 obtained P values were significant for the experimental group.
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Table 3. Obtained DALDA scores at Baseline, Week 4 and Week 8.

Parameters	Ashwagandha (n=25)		Placebo (n=25)		Difference Between the groups		p-values [#] (KSM66 vs placebo) (ANOVA)
	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean	95% CI	
Visit 1 (Baseline)	8.44 (2.04)	(7.64, 23.41)	8.12 (2.17)	(7.27, 22.37)	0.32	(-1.48, 1.48)	0.5935
Visit 2	6.68 (1.41)	(6.13, 18.69)	7.92 (1.61)	(7.29, 22.21)	1.28	(0.45, 2.10)	0.0056
Visit 3	4.84 (1.14)	(4.39, 13.45)	7.72 (1.37)	(7.18, 21.80)	2.88	(2.18, 3.58)	<0.0001
Change at 4 th week	-1.76 (1.76)	(-2.45, -6.56)	-0.2 (1.85)	(-0.92, -2.01)	1.6	(0.61, 2.59)	0.0037
Change at 8 th week	-3.6 (2.55)	(-4.59, -12.61)	-0.4 (2.10)	(-1.22, -2.80)	3.2	(1.9, 4.50)	<0.0001
p-values within group* (visit 1 vs visit 2) (t-test)	<0.0001		0.5935				
p-values within group* (visit 1 vs visit 3) (t-test)	<0.0001		0.3508				

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3.5 The Recovery-Stress Questionnaire (RESTQ- Sport 76) analysis:

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Stress symptoms development frequency and recovery of stress associated parameters can be evaluated through the RESTQ questionnaire. In the present study, all important parameters such as general stress, fatigue, lack of energy, fitness, and injury related issues and general well-being were considered for the assessment. Individual statistical analysis outcome of these RESTQ parameters are represented from Table 4 to Table 8. The RESTQ general stress, fatigue, lack of energy, and fitness/ injury scores showed a considerable amount of declination. In contrast, the RESTQ general well-being scores displayed acceptable improvements in the test group compared to the placebo group (Table 4 to Table 8).

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Statistically, the mean change of the RESTQ general stress scores was -4.2 in the test group subjects, having significantly lower values compared to the mean change of -0.96 of the placebo group (Table 4).

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Table 4. Observed RESTQ (General Stress) scores at Baseline, Week 4 and Week 8.

Parameters	Ashwagandha (n=25)		Placebo (n=25)		Difference Between the groups		p-values [†] (KSM66 vs placebo) (ANOVA)
	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean	95% CI	
Visit 1 (Baseline)	11.24 (2.40)	(10.29, 12.18)	10.76 (2.03)	(9.97, 11.55)	0.48	(-0.75, 1.71)	0.4490
Visit 2 (4 week)	8.68 (1.68)	(8.02, 9.34)	10.12 (2.05)	(9.32, 10.92)	-1.44	(-2.48, -0.40)	0.0091
Visit 3 (8 week)	7.04 (1.99)	(6.26, 7.82)	9.8 (2.48)	(8.83, 10.77)	-2.76	(-4.01, 1.51)	<0.0001
Change at 4 week (absolute)	-2.56 (2.38)	(3.37, 11.24)	-0.64 (2.20)	(-1.05, 0.22)	-1.92	(-3.19, -0.64)	0.0047
Change at 8 week (absolute)	-4.2 (3.5)	(3.37, 11.24)	-0.96 (2.96)	(-2.12, 0.20)	-3.24	(-5.03, -1.44)	0.0009
p-values within group* (visit 1 vs visit 2) (t-test)	<0.0001		0.1581				
p-values within group* (visit 1 vs visit 3) (t-test)	0.0044		0.4569				

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Similarly, the estimated mean change of the RESTQ fatigue score was -8.84 in test group subjects and -0.28 in the placebo group (Table 5), clearly representing the significant difference between the experimental and control group.

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Table 5. Observed RESTQ (Fatigue) scores at Baseline, Week 4 and Week 8.

Parameters	Ashwagandha (n=25)		Placebo (n=25)		Difference Between the groups		p-values*(KSM66 vs placebo) (ANOVA)
	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean	95% CI	
Visit 1 (Baseline)	14.56 (3.03)	(13.37, 15.74)	13.36 (2.74)	(12.29, 14.43)	1.2	(-0.40, 2.80)	0.1482
Visit 2 (4 week)	9.72 (2.61)	(8.70, 10.74)	13.32 (3.28)	(12.04, 14.60)	-3.6	(-5.24, -1.96)	<0.0001
Visit 3 (8 week)	5.72 (1.59)	(5.09, 6.34)	13.08 (3.01)	(11.90, 14.26)	-7.36	(-8.70, -6.02)	<0.0001
Change at 4 week (absolute)	-4.84 (2.52)	(-5.83, -3.85)	-0.04 (2.28)	(-0.93, 0.85)	-4.8	(-6.13, -3.47)	<0.0001
Change at 8 week (absolute)	-8.84 (2.97)	(-10.00, -7.68)	-0.28 (3.81)	(-1.77, 0.49)	-8.56	(-10.45, -6.67)	<0.0001
P-values within group* (visit 1 vs visit 2) (t-test)	<0.0001		0.9309				
P-values within group* (visit 1 vs visit 3) (t-test)	<0.0001		0.7258				

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The energy depletion (lack of energy) and fitness/injury related RESTQ parameters suggested that the test group was having mean change values of -6.08 and -9.16 respectively compared to the mean change value of -0.04 and -0.04 (Table 6 and Table 7) in the placebo counterparts.

310 **Table 6.** Analyzed RESTQ (Lack of Energy) scores at Baseline, Week 4 and Week 8.

Parameters	Ashwagandha (n=25)		Placebo (n=25)		Difference Between the groups		p-values*(KSM66 vs placebo) (ANOVA)
	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean	95% CI	
Visit 1 (Baseline)	13.32 (2.56)	(12.32, 14.32)	12.64 (3.73)	(11.17, 14.10)	0.68	(-1.09, 2.45)	0.4564
Visit 2 (4 week)	10.12 (2.15)	(9.28, 10.96)	12 (2.20)	(11.14, 14.10)	-1.88	(-3.08, -0.67)	0.0036
Visit 3 (8 week)	7.24 (1.51)	(6.65, 7.83)	12.6 (2.68)	(11.55, 13.65)	-5.36	(-6.56, -4.16)	<0.0001
Change at 4 week (absolute)	-3.2 (3.90)	(-4.33, -2.06)	-0.64 (2.89)	(-1.77, 0.49)	-2.56	(-4.16, -0.96)	0.0030
Change at 8 week (absolute)	-6.08 (3.20)	(-7.33, -4.83)	-0.04 (2.98)	(-1.21, 1.13)	-6.04	(-7.75, -4.33)	<0.0001
p-values within group* (visit 1 vs visit 2) (t-test)	<0.0001		0.2783				
p-values within group* (visit 1 vs visit 3) (t-test)	<0.0001		0.0786				

311 **Table 7.** Obtained RESTQ (Fitness/ Injury) scores at Baseline, Week 4 and Week 8.

Parameters	Ashwagandha (n=25)		Placebo (n=25)		Difference Between the groups		p-values*(KSM66 vs placebo) (ANOVA)
	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean	95% CI	
Visit 1 (Baseline)	14.84 (2.75)	(13.76, 15.91)	13.32 (2.98)	(12.15, 14.49)	1.52	(-0.06, 3.11)	0.0671
Visit 2 (4 week)	9 (2.58)	(7.99, 10.01)	13.84 (3.00)	(12.67, 15.01)	-4.84	(-6.39, -3.29)	<0.0001
Visit 3 (8 week)	5.68 (2.34)	(4.76, 6.60)	13.28 (3.74)	(11.82, 14.74)	-7.6	(-9.33, -5.87)	<0.0001
Change at 4 week (absolute)	-5.84 (2.97)	(-7.00, -4.67)	0.52 (2.72)	(-0.54, 1.59)	-6.36	(-7.94, -4.78)	<0.0001
Change at 8 week (absolute)	-9.16 (3.47)	(-10.52, -7.79)	-0.04 (4.33)	(-1.73, 1.66)	-9.12	(-11.30, -6.94)	<0.0001
p-values within group* (visit 1 vs visit 2) (t-test)	<0.0001		0.3495				
p-values within group* (visit 1 vs visit 3) (t-test)	<0.0001		0.3034				

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On the other hand, the RESTQ general well-being assessment score exhibited improved values. The test group had a mean change score of 5.24 in comparison to 0.76 of the subjects in the placebo group (Table 8).

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Table 8. Recorded RESTQ - General well-being scores at Baseline, Week 4 and Week 8.

Parameters	Ashwagandha (n=25)		Placebo (n=25)		Difference Between the groups		p-values [#] (KSM66 vs placebo) (ANOVA)
	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean	95% CI	
Visit 1 (Baseline)	8.84 (3.13)	(7.61, 10.06)	8.88 (3.20)	(7.54, 10.06)	0.04	(-1.72, 1.80)	0.9645
Visit 2 (4 week)	11.96 (1.99)	(11.18, 12.74)	9.6 (2.90)	(8.46, 10.74)	2.36	(0.98, 3.74)	0.0017
Visit 3 (8 week)	14.08 (2.78)	(12.99, 15.17)	9.56 (3.32)	(8.26, 10.86)	4.52	(2.82, 6.21)	<0.0001
Change at 4 week (absolute)	3.12 (3.81)	(1.63, 4.61)	0.8 (3.35)	(-0.51, 2.11)	2.32	(0.33, 4.31)	0.0269
Change at 8 week (absolute)	5.24 (5.17)	(3.21, 7.27)	0.76 (2.91)	(-0.38, 1.90)	4.48	(2.15, 6.80)	0.0005
p-values within group* (visit 1 vs visit 2) (t-test)	0.0004		0.2447				
p-values within group* (visit 1 vs visit 3) (t-test)	0.0005		0.9537				

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3.6 Comparative assessment of the antioxidant level in the subjects:

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Free radicals and reactive oxygen species (ROS) are known to be highly reactive and are cause for multiple adverse conditions at the cellular, tissue and organ level. Moreover, excess free radicals and ROS serve as disease-promoting and accelerating factors. Natural or supplemented antioxidants or antioxidant boosters allow to limit the level of free radicals and promote better health. Therefore, estimation of elevated antioxidant level in the serum aids in understanding the positive impact of a supplement.

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Estimation of antioxidants was carried out in all the subjects during baseline estimation at the initial phase of the study (Phase I) and at the end of the trial program by the 8th week (Phase III) of the study. The antioxidant assay was conducted using the Zen-Bio ABTS (2,2'-azinobis (3-ethylbenzthiazoline-6-sulphonic acid)) Antioxidant Assay Kit [42] for all the subjects belonging to the test and placebo group. The results suggested that the test group has exhibited statistically significant changes (P <0.0001) at the end of the experiment compared to the placebo (P 0.0037) as depicted in Table 9.

333 **Table 9.** Estimation of antioxidant ($\mu\text{m TE}$) at Baseline and Week 8.

Parameters	Ashwagandha (n=25)		Placebo (n=25)		Difference Between the groups		p-values [#] (KSM66 vs placebo) (ANOVA)
	Mean (SD)	95% CI	Mean (SD)	95% CI	Mean	95% CI	
Visit 1 (Baseline)	43.8 (6.91)	(41.09, 124.34)	39.08 (15.01)	(33.20, 104.15)	4.72	(-1.75, 11.20)	0.1624
Visit 3 (8 week)	48.44 (5.95)	(46.11, 138.81)	42.24 (13.92)	(36.78, 114.34)	6.2	(0.27, 12.13)	0.0487
Change at 8 week (absolute)	4.64 (3.25)	(3.37, 11.24)	3.16 (4.93)	(1.23, 5.66)	1.48	(-0.80, 3.79)	0.2172
p-values within group* (visit 1 vs visit 3) (t-test)	<0.0001		0.0037				

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335 *3.7 Analysis of plausible adverse effect:*

336 During the course of the study and at the end of the trial, no serious adverse events were
 337 reported by any of the participants. Vital signs of each subject were within the normal limits and no
 338 clinical abnormality was detected. There were no discontinuation or withdrawal due to the
 339 treatment associated adverse events. Altogether, four subjects reported non-serious adverse events
 340 in this study. One subject of the test group (Ashwagandha capsules) reported mild ear pain. In the
 341 placebo group, two subjects reported mild diarrhea and one reported very low-grade fever.

342 **4.0 Discussion**

343 Cardiorespiratory endurance is considered as the major cardiovascular parameter to ensure
 344 better health condition in healthy being and in sports personality [7-8]. This study was aimed to
 345 evaluate the efficacy of Ashwagandha (supplied in the form of KSM-66 capsules) on
 346 cardiorespiratory endurance of athletic healthy humans. Therefore, assessment of essential
 347 physiological parameters associated with cardiorespiratory endurance such as VO_2 max, stress
 348 recovery analysis, was conducted to have comprehensive information. Moreover, the assessment of
 349 oxidative stress reduction was done to estimate and ensure the physiological and hematological
 350 changes due to stress and anxiety. The study has provided appreciable evidence in improving the
 351 quality of life (QOL) through the safe use of the test compound within the specified dosage.

352 Our analysis and interpretation supported the outcomes of the earlier relevant studies. A
 353 similar study using the same test product suggested that Ashwagandha root extract enhances the
 354 stress resistance ability and improves the general well-being for the individuals who are under stress
 355 [43]. An earlier report also suggested that WS extract serves as an excellent supplement in healthy
 356 human being, it increases the muscle mass along with a reduction in LDL. Interestingly, the
 357 supplement was well accepted even with the higher dosage in volunteers [28].

358 Other clinical studies on the impact of Ashwagandha in various disease conditions such as
 359 endocrinological issues [44], neurological disorders such as obsessive-compulsive disorder (OCD)
 360 [45] also provided the pragmatic and optimistic outcome. Another study conducted on athletes by
 361 Choudhury et al. suggested the improvement of cardiorespiratory endurance as well [27]. Reports
 362 on the enhancement of muscle strength and rapid recovery were established through a randomized
 363 clinical trial using Ashwagandha supplement [46].

364 Apart from cardiorespiratory context, Ashwagandha has been found effective in several other
365 health conditions. The efficiency of Ashwagandha root extract in managing spermatogenic activity
366 in oligospermic males [47] and women sexual functionality [48] have been explored through pilot
367 studies. A recent pilot study recommended that Ashwagandha powder along with Sidh
368 Makardhwaj proved to be effective in relieving chronic arthritis [49]. Ashwagandha displayed
369 efficiency in better management of body weight under extensive mental stress [50].

370 Molecular investigations suggested that exercise induces the cardiorespiratory endurance
371 through alteration of multiple molecular and physiological components and parameters. This
372 includes elevation of the heat shock protein expression, reducing the oxidative stress through
373 improved antioxidant quantity, alteration in the arteries, mitochondrial changes according to the
374 cardiorespiratory status, modification of the functioning of the ion channels etc. [51]. Apart from the
375 general anatomical, biochemical and physiological factors mentioned, genetic factors may also play
376 a pivotal role in promoting cardiovascular and cardiorespiratory endurance. The
377 CK/Phosphocreatine (PCr) System and the Creatine Kinase (CK) [52] serve as a major gene with
378 reference to cardiorespiratory endurance maintenance and enhancement. Practically, improvement
379 of these biochemical and physiological conditions can promote cardiorespiratory endurance
380 whereas genetic factors may not be affected directly.

381 Recovery of fatigue is another vital part of the assessment of such endurance. Multiple factors
382 such as neural connections, proper blood flow, oxygen flow, the balance of different ions, especially
383 calcium, energy production and expenditure, and metabolic factors are of prime importance in
384 fatigue management [53].

385 Supplement of Ashwagandha was reported to have a role in accelerating the fatigue recovery in
386 healthy human being and sports person. Different research in animal models reported the efficiency
387 of Ashwagandha in maintaining cardiovascular health and fatigue recovery. The results of the
388 present clinical trial are also in accordance with prior reports along with adequate statistical
389 supports.

390 5.0 Limitations

391 Even though the considered sample size was adequate to establish the statistical significance
392 and draw a conclusion, a larger study population may yield additional resolution in the outcome.
393 The present study was conducted in a single center. Multi-centered, large subject groups, having
394 diverse background and subsets, may provide minute information about the biochemical,
395 physiological and psychological aspects. This study was restricted to 8 weeks only, a longer duration
396 study and analysis will provide the impact of consuming Ashwagandha on a long-term basis.
397 Analysis of different population and the ethnic groups will also aid in understanding the effect of
398 the product for the individual population group.

399 6.0 Conclusions

400 Enhancement of the health condition for better performance in athletes and maintenance of the
401 better health in normal being is our well-cherished expectation, provided we do not encounter any
402 short-term or long-term adverse effect. The ever-growing risk of cardiovascular disease also
403 demands better medication and cardiorespiratory protective medication for a large population.

404 This extensive requirement can be satisfied through a reliable supplement or formulation that
405 can assure us better health. Ayurveda has its roots in antiquity and Ashwagandha served as a
406 panacea for multiple diseases and as a recommended health supplement. Our quest for natural
407 compounds and for great health can explore Ashwagandha as a major resource to satisfy the global
408 cardiorespiratory health requirement. Extensive preclinical and clinical studies are required to
409 establish the safety and efficacy of the Ashwagandha formulations that may provide better health to
410 the future generation.

411 The present single site, double-blind, randomized, placebo-controlled clinical study suggested
412 that the considered study population responded well for the test product, a root extract of
413 Ashwagandha. Statistically, significant improvement was observed for the biochemical,

414 physiological and psychological parameters of the test group. Moreover, the stress management
415 capability of the participants was found improved when the test product was used by the
416 participants. Hence, this study has supported the outcome of the previous clinical studies conducted
417 in this relevance along with establishing the significant improvement in the antioxidant level ($P <$
418 0.0487) in the study subjects.
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420 7.0 Trial Registration and funding sources

421 This trial was registered with the registration number CTRI/2016/04/006791 under Clinical
422 Trials Registry - India (CTRI). No research funding was used for this study.

423 Supplementary Materials:

424 The associated supplementary Tables are Supplementary Table 1 and Supplementary Table 2. Supplementary
425 Table 1. Representation of the study conduct with the performed activities. Supplementary Table 2.
426 Demography parameters with their respective statistical distribution.

427 Acknowledgments:

428 Authors are grateful to Ixoreal Biomed Inc., Los Angeles, USA for supplying the KSM-66 Ashwagandha root
429 extract capsules as a gift. The authors are also thankful to the supporting staffs of M V Hospital and Research
430 Centre, Lucknow, Uttar Pradesh, India, who poured their efforts in completing this project successfully.

431 Author Contributions:

432 All the authors contributed to this project equally. ST took part in planning, designing and executing the
433 project. SKG coordinated the whole study and overseen the data collection and analysis. AKP took part in data
434 analysis and interpretation. All the authors have carefully evaluated the drafted manuscript and approved the
435 final version of the article.

436 Conflict of Interest:

437 The authors declare no conflict of interest in association with this study.
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578 **Supplementary Materials:**

579 **Supplementary Table 1.** Representation of the study conduct with the performed activities.

Parameter	Visit 1 (Screening & Baseline (Day 0))	Visit 2 (4 Weeks ±3 Days)	Visit 3 (8 Weeks ± 3 Days)
Informed Consent	✓	X	X
Demographics and Medical history	✓	X	X
Inclusion/Exclusion Criteria Review	✓	X	X
Physical examination & vital parameters	✓	✓	✓
Blood sampling for Hematology	✓	X	X
VO ₂ Max	✓	✓	✓
Free radical estimation	✓	X	✓
RESTQ- Sport 76	✓	✓	✓
DALDA	✓	✓	✓
Total Recovery Scale	✓	✓	✓
Randomization	✓	X	X
Study Medication Dispensing (Bottle 1)	✓	X	X
Study Medication Acceptability	✓	X	X
Study Medication Dispensing (Bottle 2)	X	✓	X
Study Medication Acceptability	X	✓	✓
Medication Compliance	X	✓	✓
Adverse Events	✓	✓	✓
Concomitant medication	✓	✓	✓
Study Completion/Termination	X	X	✓

580 The first column presents the parameters, X=procedure not performed, ✓= procedure performed.

581

582

Supplementary Table 2. Demography parameters with their respective statistical distribution.

	KSM-66 Ashwagandha capsule (n=25)	Placebo (n=25)
Age (years)		
n	25	25
Mean	29.28	28.84
SD	8.82	7.47
Median	27	30
Height (cm)		
n	25	25
Mean	158.32	161.48
SD	8.26	9.19
Median	160	161
Weight (kg)		
n	25	25
Mean	56.04	61.08
SD	5.30	9.95
Median	58	59
Gender, n (%)		
Male	16 (64)	21(84)
Female	9 (36)	4 (16)

583

n = number of subjects, SD = standard deviation

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