

4TH ANNUAL INTERNATIONAL CONFERENCE

Standards and Guidelines in Anti-corruption Compliance

Monday | 9th September 2019

— **OECD – Conference Center** —

Chateau de la Muette
2, rue André Pascal - 75016 Paris - France

10:30

Emerging issues and the challenges ahead

- **Philippe Montigny**, *Chair, ETHIC Intelligence Certification Committee, Paris*

10:40

Recent Development in anti-corruption compliance

MODERATOR:

- **Philippe Montigny**, *Chair, ETHIC Intelligence Certification Committee, Paris*

SPEAKERS:

- **Nicola Bonucci**, *Head of Legal affairs*

For almost 20 years the OECD Working Group has been monitoring the implementation of the OECD Anti-Bribery Convention. Nicola Bonucci will share his views on recent developments in multijurisdictional enforcement, and provide updates on the revision of the OECD Recommendations for Further Combating Bribery of Foreign Public Officials in International Business Transactions following the public consultation that took place in April 2019.

11:10

Panel 1: Recent trends in Corporate Compliance Monitoring

For years, Corporate Compliance Monitoring has been viewed as a necessary complement to sanctions associated with settlements. The new French Anti-Corruption Agency is now able to impose compliance monitoring on companies. However, given that the new FCPA Pilot Program no longer imposes monitors on companies which are disclosing misconduct and cooperating with the Department of Justice, is monitoring the only remedial process? How will certification be regarded by enforcement authorities following the decision by Danish prosecutors that a recent corporate settlement include the requirement that the company have its anti-bribery management system certified ISO 37001?

MODERATOR:

- **Laurent Cohen-Tanugi**, *Managing Partner, Cohen-Tanugi Avocats, and former FCPA and World Bank independent monitor*, Paris, France

SPEAKERS:

- **Pascale Dubois – or Lisa Miller**, *Vice Presidency, Integrity Department, World Bank (*)*
- **Sandrine Hannedouche-Leric**, *Senior Policy Analyst, Anti-Corruption Division, OECD*
- **Charles Duchaine**, *General Manager, French Anti-Corruption Agency, Paris, France*
- **Robertson Park**, *Shareholder, Murphy McGonigle and former US DoJ prosecutor, Washington*

12:30

Buffet Lunch

13:45

Panel 2: Third parties: Due diligence versus Certification?

Corruption risk associated with third parties is on the radar of all companies committed to doing business with integrity. Most companies dedicate an important budget to third party due diligence. How do companies take into account the certification of their third parties? Are there some third parties for which an anti-corruption certification would have more value?

MODERATOR:

- **Rauno Haufman**, *Head of Integrity & Compliance Policy, Novartis, Basel*

SPEAKERS:

- **Xavier Hubert**, *Compliance and Data Privacy Officer, Engie, Paris, France*
- **Paul Clifford**, *TWITTER, Associate Director, Compliance EMEA, Dublin, France(*)*
- **Cedric Dubar**, *Chief Compliance & Ethics Officer, Volvo Car Group, Gothenburg, Sweden (*)*
- **Judd Hesselroth**, *Anti-Corruption Program Director, Office of Legal Compliance, Microsoft, Redmond, USA (*)*
- **Dato' Rohaizad Yaakod**, *Chief Integrity Officer or Nuridzuana Ismail, Head Integrity Risks Management, Petronas, Kuala Lumpur(*)*

15:10 **Coffee Break**

15:40 **Conversation with the guest organisation: Basel Institute on Governance**

MODERATOR:

- **Philippe Montigny**, *Chair, ETHIC Intelligence Certification Committee, Paris*

SPEAKERS:

- **Mark Pieth**, *President, Basel Institute on Governance. Former Chairman of the OECD Anti-Corruption Working Group (1997-2013), Basel, Switzerland (*)*

The Basel Institute on Governance is an independent not-for-profit thinktank which works around the world with the public and private sectors to counter corruption and other financial crimes and to improve the quality of governance through innovative tools: collective actions, asset recovery, tainted asset initiative....

16:00 **Panel 3: Blockchain: A promising anti-corruption tool?**

Blockchain is a system where digital information is maintained across several computers that are linked via a peer-to-peer network. This time-stamped series of immutable records results in secure traceability of information and related decisions. Blockchain has already found many applications in the financial sector. Could it play a role in anti-corruption processes i.e. by tracking due diligence procedures or monitoring business partners?

MODERATOR:

- **Carmelo Bisognano**, *Managing Partner, Dybaw consulting (Geneva)*

SPEAKERS:

- **Jérôme Lefebvre**, *COO, Stratumn, Paris, France*
- **Olivier Depierre**, *Attorney-at-law, DLT law, Geneva, Switzerland*
- **Lavinia Miciecki**, *Compliance Officer, Swiss Re, Zurich, Switzerland (*)*

17:15 **Conversation with the Guest Speaker**

MODERATOR:

- **Philippe Montigny**, *Chair, ETHIC Intelligence Certification Committee, Paris*

GUEST SPEAKER:

- **Jean-Daniel Lainé**, *Consultant and founder jdl.consult, former Alstom CCO, Paris*

Jean-Daniel Lainé was Chief Compliance Officer at Alstom from 2006 to 2013 during a time when Alstom was under investigation by several jurisdictions. He revised and improved the Alstom anti-corruption program to meet international standards which included an ETHIC Intelligence anti-corruption compliance certification in 2009. The certification was considered in the Swiss court's order to dismiss. Following his retirement, Jean-Daniel was prosecuted by the UK Serious Fraud Office for conspiracy to corrupt in connection with an allegation of corruption related to the Budapest Metro Project awarded to Alstom in 2006, the year Jean-Daniel was appointed Chief Compliance Officer. After 7 years of investigation and two trials, Jean-Daniel and Alstom were acquitted in November 2018. Jean-Daniel will share his experience as Chief Compliance Officer and through his personal indictment raise the issue of compliance officer liability.

17:45 **Cocktail**

PRACTICAL DETAILS

» PLACE

OECD Conference Centre
Château de la Muette
2, rue André Pascal,
75116 Paris - France

» WORKING LANGUAGE

English
(With French interpretation for Panel 1)

» SCHEDULE

Registration:	09:30 – 10:30
Conference:	10:30 – 12:30
Buffet – Lunch:	12:30 – 13:45
Conference:	13:45 – 15:10
Coffee Break:	15:10 – 15:40
Conference:	15:40 – 17:40
Cocktail:	17:45 – 19:00

» SECURITY

For security reasons, the list of participants will close on Thursday September 5th and be communicated to the OECD.

Participants will be required to show identification

Participants are encouraged to arrive early enough so as not to be delayed by security.