

Author's Note

✦ This is a compilation of all ISCA MCQs (RTPs, MTPs Etc.) released by the ICAI till 23/08/2019. This compilation is relevant for Nov. 2019 and it is prepared by [Team ApnaMentor](#).

✦ We have removed all duplicate MCQs for making a good compilation.

✦ If you do not have time to study 1000s of MCQs then team [ApnaMentor](#) recommends you to do only these MCQs before the exam.

If you want to **score exemption in ISCA** then we recommend you to make optimum use of Fast track lectures listed on our portal www.apnamentor.com, and do not forget to write **High Quality Tests** organised by us.

✦ We have tried our best to make it error free. However if you find any error then mail us at apnamentor.com@gmail.com

✦ For getting regular updates and more pdfs you all can be part of our [ApnaMentor](#) family on telegram by clicking on below links of telegram channels.

✦ [Click Here to Join Sanidhya Sir's Telegram Channel](#)

✦ [Click Here to Join Apnamentor's Telegram Channel](#)

– [TEAM APNAMENTOR](#)

[\(You can also click above to join our channel\)](#)

For Getting [Best Pen Drive Classes](#), [Best Reliable Test Series](#) and [Free Resources](#) simply visit

www.apnamentor.com

“ICAI 94 MCQs”

May 19 RTP + Nov 19 RTP +
May 19 MTPs + Sample MCQs

CA FINAL “ISCA”

REGULAR &
FAST TRACK
BATCHES

“MAY 19” Test Series

70%
Pass %

40 & 47
Rank

500+
Exemption

Law 79 & Audit 77 Marks

1200+ Successful Aspirants



Apna
Mentor

AUDIT SAGA by
CA SANIDHYA
SARAF



**For TEST SERIES, PENDRIVE CLASSES &
FREE RESOURCES visit: -**

www.APNAMENTOR.com

“OLD COURSE” – NOV 19



Need Help? Call: 976-040-0350 (apnamentor.com@gmail.com)



“QUESTIONS”



Q1. Arrange in chronological order of their assessment.

- a. Risk
- b. Threat
- c. Vulnerability
- d. Impact

- A)** a, b, c, d
- B)** c, b, a, d
- C)** d, c, b, a
- D)** c, b, d, a

Q2. Complete the sentence. " _____ " is not a RISK management strategy.

- A)** Define
- B)** Eliminate
- C)** Share
- D)** Mitigate

Q3. COBIT 5 principles include all except: -

- A)** Meeting Stakeholder Needs
- B)** Covering Enterprise End to End
- C)** Separating Governance from Management
- D)** Enabling Better Controls

Q4. Creating a Governance, Risk and Compliance (GRC) framework is responsibility of: -

- A)** Management
- B)** Auditors
- C)** Board of Directors (BOD)
- D)** Auditor and BOD

Q5. Best definition to define a HUMAN being in terms of System.

- A)** Physical, Probabilistic, Manual, Close
- B)** Physical, Probabilistic, Manual, Close / Open
- C)** Physical, Probabilistic, Automatic, Close
- D)** Physical, Probabilistic, Manual, Open

Q6. Moving the Information System triangle from TOP to BOTTOM, kindly arrange in sequence which system user shall encounter first to last.

- A)** MIS, DSS, ESS
- B)** TPS, KMS, DSS, EIS
- C)** EIS, DSS, KMS, TPS
- D)** TPS, KMS, DSS, MIS

Q7. A Company ABC Ltd. is facing trouble paying incentive to its sales representatives. The incentive is paid on the basis of sales turnover achieved region-wise. Company has appointed you as a consultant asking your input as to which type of system they need to create to solve the problem. Please guide.

- A)** MIS
- B)** EIS
- C)** TPS
- D)** ESS

Q8. Sales persons at BIG BAZAR use bar code scanners at the time of billing. This system of reading bar codes for billing can be best classified as: -

- E)** TPS
- F)** OAS
- G)** MIS
- H)** ESS

Q9. Accountant changes voucher dates in TALLY without proper authority and sanction by mgt. This act by accountant shall be best classified as breach of which key principle?

- A)** Integrity
- B)** Confidentiality
- C)** Availability
- D)** Confidentiality, Availability and Integrity.

Q10. In an accounting system, an accountant has rights to create as well as modify vouchers. This feature raises the risk assessment by auditor to higher level. As an auditor, you need to define which principle has not been followed?

- A)** Confidentiality
- B)** Segregation of Duty
- C)** Availability
- D)** Integrity

Q11. TALLY accounting software gives a warning when cash balance may turn negative on updating a voucher. This is good example of: -

- A)** Compensatory Control
- B)** Detective Control
- C)** Corrective Control
- D)** Preventive Control

Q12. Security guard at an ATM is an example of: -

- A)** Compensatory Control, Logical Control
- B)** Detective Control, Physical Control
- C)** Preventive Control, Physical
- D)** Corrective Control, Logical Control

Q13. To use a GAS based fire suppression system, what needs to be done first.

- A)** Call Police
- B)** Call Medics
- C)** Evacuate Humans
- D)** Remove Computers

Q14. Business Continuity Planning by nature is a: -

- A)** Compensatory Control
- B)** Detective Control
- C)** Corrective Control
- D)** Preventive Control

Q15. A large company is in process of creating a BCP framework. The Board of Directors have appointed you as a BCP consultant. Board has asked you to justify / reason out the main objective of BCP is to prevent / minimize losses. Your report shall highlight the reason for having BCP except this reason.

- A)** Revenue Loss
- B)** Reputation Loss
- C)** Productivity Loss
- D)** New Customer Acquisition

Q16. Arrange the following BCM process chronologically.

- A. BCM Strategies**
- B. Information Collection**
- C. BCM Training**
- D. Testing and Maintenance**
- E. Development and Implementation**

- A)** A, B, C, D, E
- B)** B, A, E, D, C
- C)** B, A, E, C, D
- D)** E, D, C, B, A

Q17. BCP includes various plans to prepare. These include: -

*** Emergency * Back-up * Recovery & * Test Plan.**
BCP as a process has to start before disaster, as an entity without a BCP shall not be going concern after disaster. All plans are drafted, documented before disaster.
Of 4 plans, which are those where major part of plan is executed before a disaster occurs.

- A)** All Four
- B)** Emergency Plan, Back-up Plan
- C)** Back-up Plan, Test Plan
- D)** Test Plan, Recovery Plan

Q18. Which of the following back-up is costliest to have?

- A)** Full
- B)** Incremental
- C)** Differential
- D)** Both Incremental, Differential

Q19. DRDO (Defense Research and Development Organization, GOI) developed India's own fighter jets by name TEJAS. TEJAS, is considered to be one of the lightest and least costly fighter jet in its class. Which system development method was used by DRDO. (HINT: The fighter jets were being developed for the first time in India).

- A)** SDLC
- B)** Prototype
- C)** Incremental Model
- D)** Spiral Model

Q20. Which system development model is considered to be most complex?

- A)** Waterfall
- B)** Prototype
- C)** Incremental Model
- D)** Spiral Model

Q21. Which of the following is not an audit risk?

- A)** Inherent Risk
- B)** Country Risk
- C)** Detection Risk
- D)** Control Risk

Q22. Auditor, to check whether the control in an accounting application restricting payment in excess of 10,000/- in cash is working or not, creates a dummy voucher. This act of auditor would classify as which concurrent audit technique?

- A)** SNAPSHOT
- B)** ITF
- C)** SCARF
- D)** CIS

Q23. Sec 2(z h) of Information Technology Act, 2000 (As amended 2008) defines "Verify". The definition establishes two important aspects for electronic records, namely &: -

- A)** Non-Repudiation, Integrity
- B)** Confidentiality, Integrity
- C)** Availability, Integrity
- D)** Confidentiality, Non-Repudiation

Q24. Company has outsourced its accounts department. Statutory Auditor of the company shall be taking help from which auditing standard to complete the audit?

- A)** SA 300
- B)** SA 402
- C)** SA 500
- D)** SA 510

Q25. Which of the following is not an example of Cloud computing model?

- A)** IaaS
- B)** PaaS
- C)** AaaS
- D)** SaaS

Q26. "Enterprise Governance" can be defined as set of responsibilities & practices exercised _____ with goal of providing strategic direction to ensure that objectives are achieved and risks are managed appropriately.

- A)** Only by board
- B)** Only by executive management
- C)** By both board and executive management
- D)** By board, executive management, and end-user

Q27. In DBMS, database implementation is done at three levels- Physical, Logical and External. Which of statement is true about these levels?

- A)** Physical Level involves implementation of database on hard disk.
- B)** Physical Level defines schema divided in smaller units known as sub- schemas.
- C)** Logical Level defines schema divided into smaller units known as sub- schemas.
- D)** External Level deals with nature of data stored and scheme of data.

Q28. A hacker Mr. C, duplicates login procedure of an employee of organization ABC Ltd.; captures user's password and attempts for system's crash. Name type of attack.

- A)** Subversive Threat
- B)** Wire Tapping
- C)** Sabotage
- D)** Spoofing

Q29. Mr. Rahul is a security administrator in a bank which executes many real-time processes like ATM processing. If fast recovery is critical for its business processes, which type of backup option should he refer to management of company?

- A)** Cold Site
- B)** Hot Site
- C)** Warm Site
- D)** Reciprocal Agreement

Q30. An Analyst while conducting Technical Feasibility under System Development Life Cycle (SDLC) would not consider that?

- A)** Does proposed equipment have technical capacity to hold data required to use new system?
- B)** Can system be expanded if developed?
- C)** Is procurement of hardware & software for class of apps being considered, cost effective?
- D)** Will proposed system provide adequate response to inquiry regardless no./ location of users?

Q31. Which of following represents correct sequence of steps involved in Information System (IS) Audit?

- (1) Planning
- (2) Fieldwork
- (3) Scoping
- (4) Reporting
- (5) Analysis
- (6) Close.

- A)** (3) – (1) – (2) – (5) – (4) – (6)
- B)** (3) – (1) – (6) – (2) – (4) – (5)
- C)** (3) – (2) – (4) – (5) – (1) – (6)
- D)** (1) – (2) – (3) – (4) – (5) – (6)

Q32. Mr. A is an employee of XYZ company. He sends an email through his laptop to client and pretends to be Managing Director of company. He informs client to pay future payments in employee Mr. A's account. Under which section will A be punished?

- A)** Section 66A
- B)** Section 66B
- C)** Section 66C
- D)** Section 66D

Q33. Among emerging technologies, ones which are environmentally sustainable are ones which will survive test of time. Such technologies are being designated by a key term called.

- A)** Cloud Computing
- B)** Green Computing
- C)** Mobile Computing
- D)** Hybrid Computing

Q34. An MNC acquired an Indian company. The day acquisition was implemented the MNC replaces all systems in the Indian Company with a brand of B systems whereas Indian Company was using brand of A systems. Above scenarios shows that replacing systems can be a huge cost. In today's competitive environment it may not be possible for all companies to bear such expenses, so they allow employees to use their own systems rather than changing the same with a specific brand. This way of working is called as: -

- A)** Digital Revolution
- B)** Emerging Technology
- C)** Bring Your Own Device
- D)** Grid Computing

Q35. A large company has created a specific cloud computing environment for its employees. Access to this cloud is available to company customers and vendors, whose KYC has been validated. This type of cloud can be classified as: -

- A)** Community Cloud
- B)** Hybrid Cloud
- C)** Private Cloud
- D)** Public Cloud

Q36. A key concern in technology like BYOD, Cloud Computing, Mobile Computing is

- A)** Ownership
- B)** Availability
- C)** Cost
- D)** Security

Q37. A bank in process of implementing CBS has got following proposal from two vendors out of five shortlisted. Vendors has suggested that they shall host a basic software at the bank branches. The branches need to have systems with basic configuration, and internet connections with appropriate security. Bank need not buy a separate server as all data shall be hosted on a central system and all people in branch shall be given a user ID to access system. This shall drastically reduce the CAPEX of bank as a whole. The vendors shall be charging on a per user basis per month. All data, application shall be stored at a central location. This proposal by vendors can be best classified as: -

- A)** IaaS, PaaS
- B)** PaaS, SaaS
- C)** SaaS, IaaS
- D)** PaaS, IaaS, SaaS

Q38. Asymmetric Crypto System means a system of a secure key pair consisting of a private key for _____ digital signature and a public key to _____ digital signature.

- A)** a. Encrypting. b. Decrypt
- B)** a. Creating. b. Verify
- C)** a. Decrypting. b. Encrypt
- D)** a. Encoding b. Decode

Q39. "Any set of computer instructions that are designed - to modify, destroy, record, transmit data or program residing within a computer, computer system or computer network; or by any means to usurp the normal operation of computer, computer system or network is defined

- A)** Computer Virus
- B)** Computer Instruction
- C)** Computer Hacking
- D)** Computer Contaminant

Q40. A programmer in a software company stole the sources code of payroll program and made modifications in the source code the program. This action of programmer is an offence under chapter XI of the act. Kindly mention the sections of chapter XI under which programmer may have committed the offence.

- A)** 65, 66
- B)** 66, 67A
- C)** 67A, 67B
- D)** 65, 67B

Q41. Clients digital signatures are stolen from CA office and are misused. CA guilty u/s: -

- A)** 43
- B)** 43A
- C)** 65
- D)** 66

Q42. Auditor performing an audit needs to understand the audit risk as it exists at client end. The risk so assessed may be revised based on auditor's conclusion on audit of sample check. This makes audit process: -

- A)** Improper
- B)** Iterative
- C)** Incorrect
- D)** Inconclusive

Q43. In technology world availability of physical audit evidence is one of greatest challenges faced by auditors. How auditors can make good this challenge?

- A)** Through use of additional audit procedure.
- B)** Through use of CAATs.
- C)** Better audit timings.
- D)** By use of CAATs and better audit timings.

Q44. Capability in a software, where it can list all cash transaction in excess of 10,000/- can be classified as a _____ technique.

- A)** CAAT
- B)** Snapshot
- C)** Audit Hook
- D)** SCARF

Q45. Audit Trails document actions, user details etc. Audit trails objectives include all below except:

- A)** Detecting unauthorized access to the system
- B)** Facilitating the reconstruction of events
- C)** Documenting changes to be made in system.
- D)** Promoting personal accountability

Q46. System is broken into small components and each component is developed and delivered to client. This development method is: -

- A)** Spiral
- B)** Agile
- C)** Prototype
- D)** Incremental

Q47. Entity tried to develop system using SDLC. The development effort failed, on analysis of major reasons for failure it was found that, poor user and developer interaction, was a key issue. You have been hired as a system development consultant. Kindly suggest a new development method that you feel can help entity overcome the failure.

- A)** Spiral
- B)** Agile
- C)** Prototype
- D)** Incremental

Q48. A software development model that combines iterative and incremental methods is: -

- A)** Spiral
- B)** Agile
- C)** Prototype
- D)** RAD

Q49. During evaluation of schedule feasibility of system, company realized it needs a better alternative to SDLC as a method of development, as it takes lot of time. All methods eligible to be selected as alternate except one. Kindly identify that method.

- A)** Spiral
- B)** Agile
- C)** Prototype
- D)** RAD

Q50. During an emergency plan, what is the first act to be done?

- A)** Identify cause of incident
- B)** Identify probable loss due to incident
- C)** Notify Authorities
- D)** Start Recovery Procedures

Q51. Backing up all files modified after last full back up is: -

- A)** Full Back-up
- B)** Differential Back up
- C)** Incremental Back up
- D)** Mirror Back up

Q52. GOI wishes to create very secure access control mechanism for its nuclear installations. Authorized person can only enter after identifying himself / herself through the system. Identify which security measure shall provide the maximum protection

- A)** Bio-Metric Validation along with a PASS WORD
- B)** Entry Card with a PASS WORD
- C)** Bio-Metric validation for a person who has Entry card and PASS WORD
- D)** PASS WORD with Entry Card

Q53. Identify the odd one out.

- A)** Security Guard
- B)** CCTV
- C)** Password
- D)** DEADMAN Doors

Q54. Tata Tea Limited (TTL), acquired tea gardens in Kenya. TTL invited the local tribes residing near tea gardens in Kenya to India. The company briefed about its human resource practices to tribe's head. TTL wanted local tribes to learn about company policy towards local people. tribes man left India very happily and accepted TTL acquisition with all happiness. This process of TTL is part of COBIT 5.0 and is part of its

- A)** Principle
- B)** Enabler
- C)** Governance
- D)** Management

Q55. Information Systems help organizations in taking strategic decisions that need to be taken for both routine and non-routine problems. system that shall be used to help make decision for a non- routine problem would be

- A)** Transaction Processing System (TPS)
- B)** Management Information System (MIS)
- C)** Decision Support System (DSS)
- D)** Knowledge Management System (KMS)

Q56. In these changing times where audit evidences are more in electronic form, auditors need to use audit tools and techniques helping them do such an audit. Which section within Information Technology Act, 2000 (As amended 2008) talks audit where records are kept in electronic form.

- A)** Section 6A
- B)** Section 7A
- C)** Section 10A
- D)** Section 43A

Q57. Which of following statement is not true?

- A)** Explicit knowledge is that which can be formalized easily.
- B)** Explicit knowledge is unarticulated and represented as intuition, perspective, beliefs, and values that individuals form based on their experiences.
- C)** Explicit knowledge is articulated & represented as spoken word, written material & compiled data.
- D)** Tacit knowledge is unarticulated and represented as intuition, perspective, beliefs, and values that individuals form based on their experiences.

Q58. Database is implemented at three levels- Physical, Logical and External. Which of statement is true about these levels?

- A)** Physical Level involves implementation of database on hard disk.
- B)** Physical Level defines schema which is divided into smaller units known as sub-schemas.
- C)** Logical Level defines schema which is divided into smaller units known as sub-schemas.
- D)** External Level deals with nature of data stored and scheme of data.

Q59. Computers have influenced/affected audit in many ways.

- A)** Evidence collection
- B)** Evidence evaluation
- C)** Evidence collection and evaluation
- D)** Evidence Collection, Evaluation and Accuracy

Q60. IT Act 2000 was amended in 2008 to introduce Section 43 A which pertains to

- A)** Casting responsibility on government
- B)** Casting responsibility on body corporates
- C)** Casting responsibility to protect sensitive personal information
- D)** Casting responsibility on body corporates to protect sensitive personal information

Q61. Which of following cloud provides a highest level of security and privacy to user?

- A) Private Cloud
- B) Public Cloud
- C) Hybrid Cloud
- D) Community Cloud

Q62. Which of following is not principle of COBIT 5?

- A) Meeting stakeholders' needs
- B) Applying a single integrated framework
- C) Evaluate and Direct Risk Management
- D) Separating Governance from Management

Q63. Which of following statement is not true for Computer based Info Systems?

- A) All systems work for predetermined objectives and system is designed & developed accordingly.
- B) In general, a system has several interrelated and interdependent subsystems or components.
- C) Goal of individual subsystem is of highest priority than goal of entire system.
- D) Way a subsystem works with another subsystem is called interaction.

Q64. Tools which are used to implement Information Security Policy are

- A) Users, managers and others
- B) Standards, guidelines and procedures
- C) People
- D) Clarity of thought and determination to execute

Q65. ABC Ltd. has decided to implement Balanced Scorecard, a strategy performance mgt tool. This initiative is covered under which part of Enterprise Governance

- A) Corporate Governance
- B) Business Governance
- C) Social Governance
- D) Legal Governance

Q66. PQR Ltd. has implemented Customer Relationship Management System which is also integrated with ERP. So whenever, a new PO from customer is entered in ERP, the CRM flashes customer's history with the company and advices whether to accept the order or not. This CRM system will be classified under which category?

- A) Abstract System
- B) Physical System
- C) Deterministic System
- D) Probabilistic System

Q67. PQR Ltd. got a small program developed to extract its Customer related billing and payment information in a pre-defined format. The programmer introduced a small patch in that whereby the same information will be directly emailed to him without intimation to PQR Ltd. PQR Ltd. is facing which type of virus attack?

- A)** Logic Bomb
- B)** Worm Attack
- C)** Trojan Horse Attack
- D)** Denial of Service (DOS) Attack

Q68. ABC Ltd. has installed IBM Backup system whereby the data is backed up almost every second from the live environment to the backup drive. Which type of back-up ABC Ltd. has implemented?

- A)** Full Backup
- B)** Incremental Backup
- C)** Differential Backup
- D)** Mirror backup

Q69. ABC Ltd. is proposing to introduce the Fitness awareness among its employees by giving FITBIT gadget to all employees. The employees will be given targets for personal fitness. The Management wants to evaluate the Feasibility of this initiative. Which dimension is tested here?

- A)** Technical Feasibility
- B)** Economic Feasibility
- C)** Operational Feasibility
- D)** Behavioral Feasibility

Q70. ABC Ltd. has Intranet system wherein authorized user must sign in with credentials and all the software available on the Intranet can be used without individual sign-in process. This Single-Sign-in system ensures which control?

- A)** Output Control
- B)** Communication Control
- C)** Input Control
- D)** Boundary Control

Q71. ABC Ltd. provides Server Hosting, Website hosting, database hosting services to its customers. It enters into SLAs with the customers to provide agreed level of services. Which Security Standard ABC Ltd. should adopt from service management point of view?

- A)** ISO 27001

- B)** COBIT
- C)** IT Infrastructure Library (ITIL)
- D)** PCI DSS

Q72. ABC Ltd. is Digital Signature issuing company based out of Delhi. It has outsourced its Core Infrastructure of Digital Signature Infrastructure repository to PQR Ltd. which provides IT Infrastructure Hosting services to its customers. PQR Ltd. has provided separate space and servers within its office premises for ABC's application, database and network hosting and no other company is sharing that infrastructure. Which type of cloud service is used by ABC Ltd.?

- A)** On-Premise Private Cloud
- B)** Outsourced Private Cloud
- C)** Public Cloud
- D)** Hybrid Cloud

Q73. Governance Process under COBIT 5 involve EDM Practices. What does EDM stand for?

- A)** Evaluate, Deployment and Monitor
- B)** Evaluate, Direct and Monitor
- C)** Evaluate, Direct and Machine
- D)** Electronic, Direct and Monitor

Q74. Which of the following statement is true about MIS?

- A)** The study of MIS is about use of computers.
- B)** Any computer based information system is a MIS.
- C)** More data in generated reports refers more information to managers.
- D)** MIS is management oriented.

Q75. _____ is the act of following an authorized person through a secured door or electronically attaching to authorized telecommunication link that intercepts and alters transmissions.

- A)** Piggybacking
- B)** Denial of Service
- C)** Data Leakage
- D)** Wire Tapping

Q76. In case of development of Business Continuity Plan (BCP), which of the following is not objective of performing BCP Tests?

- A)** The recovery procedures are complete and workable.
- B)** The competence of personnel in their performance of recovery procedures can be evaluated.
- C)** To identify the critical business processes.
- D)** The success or failure of the business continuity training program is monitored.

Q77. Which of the following is true about Spiral Model?

- A)** It combines features of the prototyping model and waterfall model.
- B)** It combines features of the prototyping model only.
- C)** It combines features of the waterfall model only.
- D)** It is intended for small and simple projects.

Q78. Which of the following is not true about continuous audit techniques?

- A)** Timely, Comprehensive and Detailed Auditing
- B)** Training for new users
- C)** Surprise test capability
- D)** No need of prior knowledge and experience of working with CAAT

Q79. Section 66A of IT Act, 2000 relates to: -

- A)** Computer Related Offence
- B)** Punishment for sending offensive messages through communication service, etc.
- C)** Punishment for identity theft
- D)** Punishment for violation of privacy

Q80. Is the statement “Public cloud are highly scalable and affordable but less secure” true?

- A)** No, less scalable than private cloud
- B)** No, more secure than private cloud
- C)** Yes, but require stringent SLAs
- D)** No, there is a limit for the number of users

Q81. All talk about internal control except: -

- A)** Section 133 of Companies Act, 2013
- B)** COSO
- C)** SOX
- D)** Clause 49 of Listing Agreement

Q82. Which of the following statement is not correct?

- A)** Cost Accounting System is an example of Decision Support Systems
- B)** Explicit knowledge is articulated & represented as spoken words, written material & compiled data
- C)** Tacit knowledge is articulated, and represented as spoken words, written material & compiled data.
- D)** Middle management requires tactical information that helps in implementing decisions taken by the top management.

Q83. Which of the following is not an example of Detective Controls?

- A)** Hash Totals
- B)** Duplicate checking of calculations
- C)** Cash counts and bank reconciliation
- D)** Backup procedure

Q84. The Business Continuity Life Cycle is broken into four broad and sequential sections.

- A)** Risk Assessment, Determination of Recovery Alternatives, Recovery Plan Implementation, and Recovery Plan Validation
- B)** Security, RAD, Data Modelling, Monitoring
- C)** Risk Management, Risk Plan, Risk Mitigation and Risk Control
- D)** Security, Normalization, Optimization and Monitoring

Q85. In SDLC, _____ is normally responsible for more than one project and liaising with client or the affected functions.

- A)** Project Leader
- B)** Project Manager
- C)** Business Analyst
- D)** Database Administrator

Q86. Under Application Controls _____ are responsible for computing, sorting, classifying and summarizing the data.

- A)** Boundary Controls
- B)** Communication Controls
- C)** Output Controls
- D)** Processing Controls

“ONE STOP SOLUTION” FOR CA FINAL STUDENTS

www. APNAMENTOR.com

“MCQ Questions – RTP NOV 19”

Q 87. Some of the tasks performed in an organization XYZ Pvt. Ltd. are as follows:

I. Review the processes used by the IT organization to identify, assess, and monitor/mitigate risks within the IT environment.

II. Review how organization management and IT personnel are interacting and communicating current and future needs across the organization.

III. Assess the involvement of IT leadership in the development and on-going execution of the organization’s strategic goals.

IV. Evaluate the physical IT Assets of the company in terms of hardware and software.

You are appointed as Internal Auditor to XYZ Pvt. Ltd. Which of option highlight activities that fall under your work preview while evaluating audit activities?

A) I, II, III, IV

B) I, II

C) I and III

D) I, II, III

Q 88. Mr. B wants to invest some money for future but he has no idea where to invest and how much to invest. He is seeking help from a specialized system which is helping him to find answers of all queries such as how much money can be invested in portfolio and which securities would be better for him. Identify the specialized software system used in such situation.

A) Expert System

B) Knowledge System

C) Financial System

D) Core Banking System

Q 89. A manufacturing company ABC recently made some changes in terms of checking for periodic performance reporting with variance, flaw in cash count and bank reconciliation and monitoring expenditure against budgeted amount. Which of following control is used by company in this situation?

A) Compensatory control

B) Corrective control

C) Preventive control

D) Detective Control

Q 90. ABC is the manufacturing company having worth of 5000 million. While developing Business Continuity Plan, the company sets-up a committee for providing direction and guidance to the whole project team and responsible to make all the decisions related to recovery planning efforts. Which of the following phase of BCP the committee is working at?

- A)** Pre-planning Activities
- B)** Vulnerability Assessment and general definition of requirement
- C)** Plan development
- D)** Business Impact Analysis.

Q 91. Choose the incorrect statement out of the following?

- A)** The Incremental model is a method of software development where the model is designed, implemented and tested incrementally until the product is finished.
- B)** The steps involved in the preliminary investigation phase of SDLC are Identification of Problem, Identification of objectives, Delineation of scope, and Feasibility Study.
- C)** Decision Tree, Flowchart, Data Flow Diagrams and Structured English are some of widely-used Fact finding Tools under System Requirement Analysis sub phase of Preliminary investigation in SDLC.
- D)** Operations Manual is a technical communication document intended to give assistance to people using a particular system and is usually written by technical writers.

Q 92. XYZ is a bank that has association with two different service providers as their payment gateways. Mr. A is appointed as an auditor for XYZ bank. He is checking and auditing the details of online payments made by different users and third party customers. He is checking for material theft and unauthorized modification. Which type of risk he is working on?

- A)** Control Risk
- B)** Detection Risk
- C)** Online payment risk
- D)** Inherent risk.

Q 93. In Information Technology Act, 2000; Section 73 deals with _____.

- A)** Penalty for misinterpretation
- B)** Penalty for breach of confidentiality and privacy
- C)** Penalty for publishing electronic signature certificate false in certain particulars
- D)** Protected system

Q 94. The IT department of XYZ organization wants to make use of its infrastructure resources optimally within its boundaries by provisioning the infrastructure with application using concepts of grid and virtualization. Which of following Cloud computing environment the organization is opting for?

- A)** Private Cloud
- B)** Hybrid Cloud
- C)** Public Cloud
- D)** Community Cloud



“SOLUTIONS”



SN.	Answer	SN.	Answer	SN.	Answer	SN.	Answer
1.	B	25.	C	49.	A	73.	B
2.	A	26.	C	50.	C	74.	D
3.	D	27.	A	51.	B	75.	A
4.	C	28.	D	52.	C	76.	C
5.	B	29.	B	53.	B	77.	A
6.	C	30.	C	54.	B	78.	D
7.	A	31.	A	55.	C	79.	B
8.	B	32.	D	56.	B	80.	C
9.	A	33.	B	57.	B	81.	A
10.	B	34.	C	58.	A	82.	C
11.	D	35.	B	59.	C	83.	D
12.	C	36.	D	60.	D	84.	A
13.	C	37.	C	61.	A	85.	B
14.	C	38.	B	62.	C	86.	B
15.	D	39.	D	63.	C	87.	D
16.	B	40.	A	64.	B	88.	A
17.	C	41.	B	65.	B	89.	D
18.	A	42.	B	66.	C	90.	A
19.	B	43.	D	67.	C	91.	C
20.	D	44.	C	68.	D	92.	D
21.	B	45.	C	69.	D	93.	C
22.	B	46.	D	70.	D	94.	A
23.	A	47.	C	71.	C		
24.	B	48.	B	72.	B		

**Target 60 & above in LAW & AUDIT with
CA SANIDHYA SARAF**

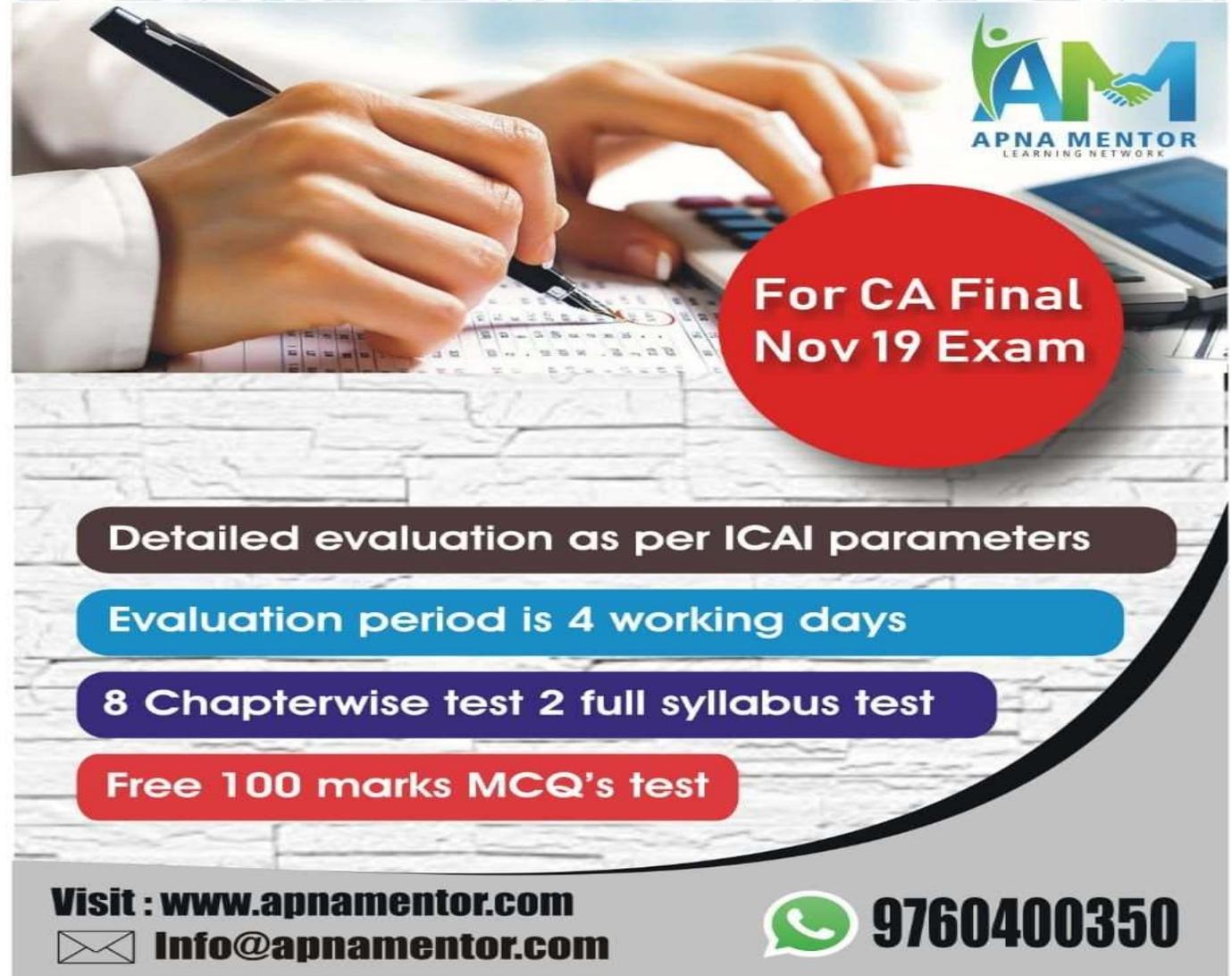
Contact us :  

06901955055

Activate V

APNA MENTOR TEST SERIES

Register Now



**For CA Final
Nov 19 Exam**

- Detailed evaluation as per ICAI parameters
- Evaluation period is 4 working days
- 8 Chapterwise test 2 full syllabus test
- Free 100 marks MCQ's test

Visit : www.apnamentor.com
✉ Info@apnamentor.com

 **9760400350**



From

“CA SANIDHYA SARAF”

&

APNAMEUTOR