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The Effects of Thoracic Spine Manipulation in Subjects With Signs of Rotator Cuff Tendinopathy

Rotator cuff tendinopathy (RCT) is one of the most common causes of shoulder pain and dysfunction^{5,26,48,73,74} and has been associated with symptoms of shoulder impingement.¹⁵ Symptoms of impingement may result



from multiple underlying pathologies, including altered scapular kinematics,^{9,44,47,52} glenohumeral posterior

shoulder tightness,^{42,72} faulty posture,^{12,40} acromial arch morphology/pathology,^{6,24,63} shoulder instability,⁵⁹ rotator

cuff weakness, and motor control deficits.^{44,51,52,66,67}

Several evidence-based approaches to treat RCT exist, including arthroscopic acromioplasty,^{49,56,62} posterior shoulder stretch,^{57,72} corticosteroid injection,^{25,33,81} strengthening and neuromuscular re-education,⁷⁶ and joint mobilization.^{1,2,78} The results of multiple randomized controlled trials have indicated that joint mobilization, in addition to therapies such as stretching, strengthening, and neuromuscular re-education, has improved outcomes for people with certain types of shoulder pain.^{1,2,78} Two studies have specifically assessed the effects of thoracic spine manipulation (TSM) on pain and dysfunction associated with RCT.^{10,69} Both Boyles et al¹⁰ and Strunce et al⁶⁹ observed that individuals with shoulder impingement reported immediate decreases in pain and improved function after receiving TSM; however, neither study included a control group for comparison.

While TSM may be beneficial in reducing shoulder pain and dysfunction, the mechanisms by which the manipulation might induce these changes are not well understood. Bialosky et al³ suggested that the introduction of a manipulative force results in biomechanical as well as neurophysiologic responses. Biomechanical re-

● **STUDY DESIGN:** Controlled laboratory study.

● **OBJECTIVES:** To assess scapular kinematics and electromyographic signal amplitude of the shoulder musculature, before and after thoracic spine manipulation (TSM) in subjects with rotator cuff tendinopathy (RCT). Changes in range of motion, pain, and function were also assessed.

● **BACKGROUND:** There are various treatment techniques for RCT. Recent studies suggest that TSM may be a useful component in the management of pain and dysfunction associated with RCT.

● **METHODS:** Thirty subjects between 18 and 45 years of age, who showed signs of RCT, participated in this study. Changes in scapular kinematics and muscle activity, as well as changes in shoulder pain and function, were assessed pre-TSM and post-TSM using paired *t* tests and repeated-measures analyses of variance.

● **RESULTS:** TSM did not lead to changes in range of motion or scapular kinematics, with the exception of a small decrease in scapular upward rotation ($P = .05$). The only change in muscle activity was a small but significant increase in middle trapezius activity ($P = .03$). After TSM, subjects

demonstrated decreased pain during performance of the Jobe empty-can (mean \pm SD change, 2.6 ± 1.1), Neer (2.6 ± 1.3), and Hawkins-Kennedy (2.8 ± 1.3) tests (all, $P < .001$). Subjects also reported decreased pain with shoulder flexion (mean \pm SD change, 2.0 ± 1.5 ; $P < .001$) and improved shoulder function (force production, 2.5 ± 1.4 kg; Penn Shoulder Score, 7.7 ± 9.4 ; sports/performing arts module of the Disabilities of the Arm, Shoulder and Hand questionnaire, 16.4 ± 13.2) (all, $P < .001$).

● **CONCLUSION:** Immediate improvements in shoulder pain and function post-TSM are not likely explained by alterations in scapular kinematics or shoulder muscle activity. For people with pain associated with RCT, TSM may be an effective component of their treatment plan to improve pain and function. However, further randomized controlled studies are necessary to better validate this treatment approach.

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● **KEY WORDS:** joint mobilization, manual therapy, scapula, shoulder impingement

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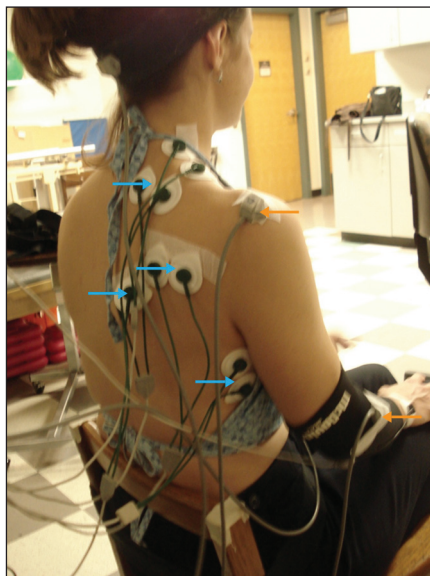


FIGURE 1. Fully instrumented participant. Blue arrows indicate electromyographic electrodes. Orange arrows indicate motion receivers (scapular and humeral receivers only).

sponses may include changes in range of motion (ROM)³⁸ or more subtle changes in joint mechanics.^{22,28,39} Neurophysiologic responses include changes in motor neuron excitability,^{11,18} altered electromyographic (EMG) signal amplitude,^{14,28,39} and changes in pain perception.^{4,23,75} Neurophysiologic responses to cervical and lumbar spine mobilization are frequently described in the literature.^{4,14,18,23,35,39,75,77} By comparison, relatively few studies have assessed the neurophysiologic effects of TSM.^{7,13} To the authors' knowledge, scapular kinematic changes induced by TSM have not yet been studied. Furthermore, there has been very little research assessing changes in shoulder muscle activity patterns following TSM in patients with shoulder pain.

The relationship between thoracic spine posture, shoulder ROM, and scapular kinematics is well described in the literature.^{12,34,40,60,65,71} Scapular kinematics frequently play a role in shoulder dysfunction,^{43,45,46,54} and individuals with RCT often demonstrate altered scapular mechanics, as well as differences in shoulder muscle activation, compared to their healthy counterparts.^{44,47,52} We hy-

pothesized that the introduction of a manipulative force would result in changes in thoracic spine posture and shoulder motion, as well as changes in scapular kinematics and shoulder muscle activation, that may help to improve the pain and dysfunction associated with RCT.

The primary purpose of this study was to explore possible biomechanical and neurophysiologic mechanisms by which TSM may induce changes in pain and function in people with signs of RCT by assessing changes in scapular kinematics and muscle activity. In addition, various clinical outcomes associated with TSM, including pain, function, and force production, were assessed.

METHODS

Overview

THIS CONTROLLED LABORATORY study employed a repeated-measures design to assess changes in scapular kinematics and shoulder muscle activity, as well as shoulder pain and function, before and after TSM.

Subjects

Thirty subjects, 16 men and 14 women (mean \pm SD age, 30.6 ± 7.9 years), with signs of RCT participated in this study. The mean duration of their symptoms was 4.2 months. Subjects were recruited from local universities, rowing clubs, as well as master's swim clubs in the Philadelphia region. Subjects were screened for signs of rotator cuff pathology and included in the study if they reported at least 3/10 on a numeric pain rating scale (NPRS) with performance of the Hawkins-Kennedy, Neer, or Jobe empty-can tests for shoulder impingement. In a recent review, the pooled sensitivity and specificity for the Neer test were 0.79 and 0.53, respectively, and 0.79 and 0.59, respectively, for the Hawkins-Kennedy test.²⁷ Inclusion criteria were purposely kept broad, as the study sample was primarily of high-level athletes who were not seeking medical treatment for shoulder pain but who engaged in repeated overhead activities, a

population highly susceptible to impingement and possible rotator cuff pathology.^{8,64} Subjects were excluded from the study if they had previous surgical intervention on their shoulder; demonstrated signs of complete rotator cuff tear, such as gross weakness with performance of the Jobe empty-can test and/or resisted external rotation or diagnostic imaging confirming rotator cuff tear; had a history of spinal trauma or surgery; had signs of neurologic impairment, including numbness or tingling in the upper quarter; or had degenerative bone disease, rheumatic disease, or allergies to adhesives. Individuals at risk for osteopenia or osteoporosis, such as postmenopausal women, were also excluded from participation. All subjects signed an informed consent form approved by the Institutional Review Boards of Temple University, Arcadia University, and the University of Medicine and Dentistry of New Jersey.

Instrumentation

Scapular motion was measured before and immediately after manipulation using the LIBERTY (Polhemus, Colchester, VT), an electromagnetic tracking device. The transmitter was leveled to horizontal using a bubble level and oriented with the cardinal planes of the body. Receivers were placed on the head, scapula, sternum, and humerus. A Velcro strap was placed around the subject's head, and the sensor was attached to the posterior aspect of the occiput with Velcro. The scapular sensor was affixed to the dorsolateral aspect of the acromion with double-sided tape and reinforced with cloth tape to prevent sensor motion during humeral elevation trials. The thoracic sensor was placed on the sternum, just below the jugular notch, with double-sided tape, and the lead wire was secured to the sternum with cloth tape to prevent rotation of the sensor due to skin movement with shoulder elevation. A neoprene sleeve was placed over the subject's arm, and the humeral sensor was attached via an elastic strap to the distal humerus (**FIGURE 1**). The neoprene sleeve prevented sensor

movement on the skin with humeral rotation. Anatomic landmarks were used to digitize the upper-quarter segments and to develop anatomic reference frames, using a protocol with previously documented validity and reliability, as consistent with the International Society of Biomechanics shoulder protocol.^{32,51,53,79}

Surface EMG data were collected at a sampling rate of 1000 Hz and converted from analog to digital using the MyoSystem 1200 (Noraxon USA Inc, Scottsdale, AZ). Raw data were passed through pre-selected low-pass (400 Hz) and high-pass (20 Hz) filters and were rectified using the root-mean-square (RMS) technique. Blue Sensor (Ambu Inc, Glen Burnie, MD) 3.81-cm silver/silver chloride wet-gel electrodes, with a 3.81-cm interelectrode distance were used to detect muscle activity. Surface EMG data were collected for the infraspinatus, upper trapezius, middle trapezius, lower trapezius, and serratus anterior. Electrodes were placed as described by Ekstrom et al¹⁹ for the upper trapezius, middle trapezius, lower trapezius, and serratus anterior muscles, and as described by Hintermeister et al²⁹ for the infraspinatus muscle. With the shoulder passively abducted to 90°, the electrodes for the upper trapezius were placed parallel to the muscle fibers, with 1 electrode superomedial and 1 inferolateral to a point 2 cm lateral to the midpoint between C7 and the lateral aspect of the acromion. Electrodes for the middle trapezius were placed parallel to the muscle fibers, one medial and the other lateral to a point located 3 cm lateral to the T2 spinous process. For placement of the lower trapezius electrodes, the shoulder was passively flexed to 90°. Electrodes were placed obliquely, one superior and the other inferior to a point 5 cm inferolateral from the root of the spine of the scapula. For the serratus anterior, the shoulder was passively abducted to 90°. Electrodes were placed vertically along the midaxillary line between ribs 6 and 8.¹⁹ Electrodes for the infraspinatus muscle were placed in parallel, medial and lateral to a point 2.5 cm below the mid-

point of the scapular spine. The ground electrode was placed on the ulnar styloid process (**FIGURE 1**).

Surface EMG data for reference contractions were collected for normalization of the EMG signals. Repeated comparisons of normalized EMG signal amplitude have demonstrated moderate-to-high reliability when electrodes were left in place.^{50,61} The reference contraction for the infraspinatus muscle was performed as described by Kelly et al.³⁶ The subject sat with the humerus aligned with the thorax and the elbow flexed to 90°. The tester asked the subject to isometrically externally rotate the humerus, while providing resistance in the direction of internal rotation. Reference contractions for the upper trapezius and serratus anterior were performed as described by Ekstrom et al.¹⁹ The reference contraction for the upper trapezius was performed with the subject in sitting, the subject's involved shoulder abducted to 90°, and the neck sidebent to the ipsilateral side. Simultaneous resistance to shoulder abduction and cervical sidebending was provided by the tester. The reference contraction for serratus anterior was also collected with the subject seated and the shoulder elevated to 125° in the scapular plane. Resistance was applied distal to the elbow and to the inferior angle of the scapula in an attempt to downwardly rotate the scapula. Reference contractions for the middle and lower trapezius were performed in the prone position, as described by Kelly et al.³⁶ Resistance was applied to the horizontally abducted and externally rotated shoulder for testing of the middle trapezius. The arm was raised in line with the muscle fibers, and downward resistance was applied to the arm for testing of the lower trapezius. Two maximum-effort trials for each muscle were performed, in which each contraction was held for 5 seconds, with a brief rest between trials. Normalization reference values were calculated by finding the maximum amplitude of the RMS of the EMG data and averaging the RMS of the 500 milliseconds on either

side of the peak value.

Pain was assessed before and immediately after manipulation using an NPRS, on which 0 represented no pain and 10 represented the worst pain ever. Pain-rating data were collected during the performance of the Jobe empty-can, Hawkins-Kennedy, and Neer tests for shoulder impingement, as well as with the performance of loaded humeral elevation in the frontal, scapular, and sagittal planes. The NPRS has been shown to be a valid and reliable tool for subjects with shoulder pain⁵⁵ and to have an estimated minimal clinically important difference of 2 points.²¹

Peak shoulder elevation force production was assessed with a "break test" before and immediately after manipulation using an ergoFET (Hoggan Health Industries, Inc, West Jordan, UT) handheld dynamometer, with the shoulder in neutral rotation and elevated to 90° in the scapular plane. This method has a previously established intrarater reliability between 0.81 and 0.94,^{53,70} and a reported minimal detectable change of 0.95 kg.⁷⁰

Shoulder pain and function were measured premanipulation and 7 to 10 days postmanipulation, using the Penn Shoulder Score (PSS) and the sports/performing arts module of the Disabilities of the Arm, Shoulder and Hand (SPAM-DASH) self-report scales. The PSS is a 100-point scale that assesses pain, function, and satisfaction, and is a valid and reliable outcome measure for people with shoulder disorders.³⁷ A score of 100 indicates that the participant has identified no functional limitations, with lower scores indicating greater functional limitations. The SPAM-DASH is a 4-question scale that captures limitations specifically related to sports and leisure activities. Scores on this scale range from 0 to 100. A score of 0 indicates no disability, with higher scores corresponding to progressively greater disability. The SPAM-DASH alone has not yet been validated; however, it was chosen because it allows subjects to self-select a sport activity that is currently impacted by their shoulder pain.

Experimental Procedures

A flow chart of the experimental procedures is presented in **FIGURE 2**. Upon completion of digitization and EMG reference contraction procedures, subjects were seated in a wooden chair directly in front of the transmitter. A Velcro strap was placed around their hips and the chair to minimize pelvic rotation during the thoracic spine flexion and extension procedures described below.

To assess muscle force production, subjects were asked to place their affected limb in 90° of humeral elevation in the scapular plane, with the humerus in neutral rotation. Subjects were told to hold this position while the tester performed a break test using the handheld dynamometer. Resistance was applied and increased until the subject could no longer maintain the position against the force applied by the tester.

Cervical rotation ROM was assessed during performance of full available motion in each direction (right and left), with the subject sitting in a comfortable posture, and repeated 3 times. Cervical spine rotation was measured in relation to the thorax. Thoracic spine flexion/extension was also assessed in the seated position. Subjects were instructed to maintain contact between their lumbar spine and the back of the wooden chair. They were then asked to sit in an exaggerated upright posture. When given the command to start, they were instructed to move from the upright posture into the end-range slumped posture, while still maintaining contact between the lumbar spine and the back of the chair. Three repetitions of this motion were performed. The thoracic sensor assessed motion relative to the global coordinate system.

Humeral elevation motion was assessed in relation to the thoracic sensor and is therefore referred to as “humerothoracic” elevation in this study. To assess pain with humeral elevation in the frontal, sagittal, and scapular planes, subjects were instructed to move through their full available elevation ROM during a 3-second count as the tester counted aloud,

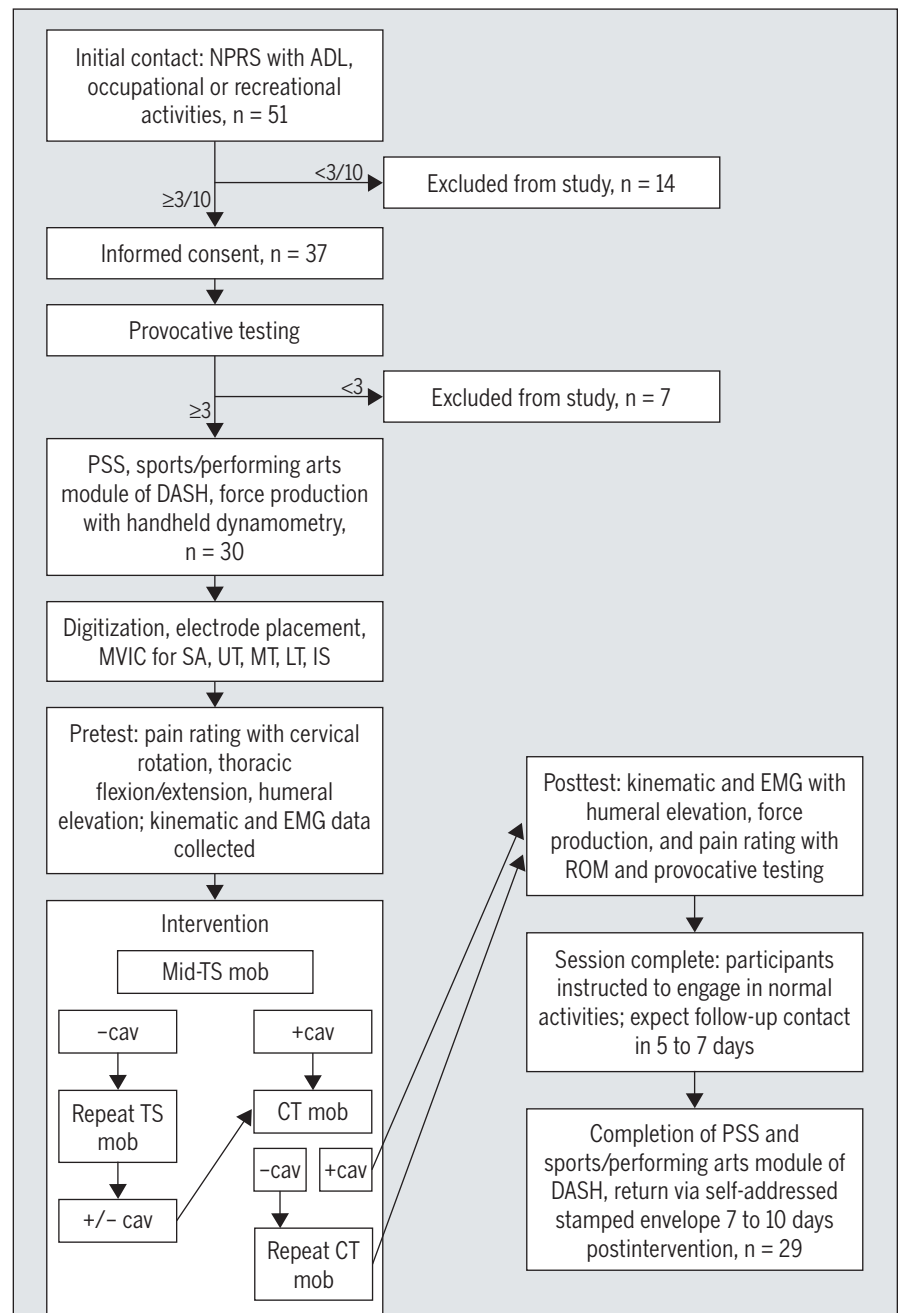


FIGURE 2. Procedural flow chart. Abbreviations: ADL, activities of daily living; cav, cavitation; CT, cervicothoracic; DASH, Disabilities of the Arm, Shoulder and Hand; IS, infraspinatus; LT, lower trapezius; mob, mobilization; MT, middle trapezius; MVIC, maximum voluntary isometric contraction; NPRS, numeric pain rating scale; PSS, Penn Shoulder Score; SA, serratus anterior; TS, thoracic spine; UT, upper trapezius.

“One thousand one, one thousand two, one thousand three.” This was repeated 3 times in each plane of motion. Humerothoracic ROM, scapular kinematic data, and EMG data were all collected during

performance of humeral elevation in the sagittal plane. The subjects performed elevation with a 2.27-kg handheld weight if they weighed less than 68 kg, or with a 4.54-kg handheld weight if they weighed



FIGURE 3. (A) Midthoracic spine manipulation. (B) Cervicothoracic junction manipulation.

more than 68 kg, as scapular dyskinesis has been shown to be more pronounced under loaded conditions.⁴⁴ Subjects were asked to report any pain felt during the elevation trials using the NPRS.

Upon completion of the baseline activities, the subjects received a thrust manipulation of the midthoracic spine and the cervicothoracic junction, similar to those used by Boyles et al.¹⁰ The thrust manipulation to the midthoracic spine targeted the apex of the thoracic kyphosis and was performed with the patient seated with his or her arms wrapped around the chest (**FIGURE 3A**). The tester stood behind the subject, pressing her sternum against the area to be mobilized. The tester then wrapped her arms around the subject to clasp her hands together. The subject was then instructed to take a deep breath. As the subject exhaled, the tester compressed the subject's upper body, while simultaneously lifting the subject slightly, pivoting on the tester's sternal region. The cervicothoracic thrust manipulation was performed with the patient seated with his or her fingers interlocked posteriorly at the base of the cervical spine. The tester stood behind the subject and threaded her arms through the subject's arms so that her hands were on top of those of the subject. The subject was then gently reclined and asked to ex-

hale, at which time the tester provided a distractive thrust directed at the cervicothoracic junction (**FIGURE 3B**). All subjects received the midthoracic manipulation first, followed by the cervicothoracic junction manipulation. If a cavitation (audible pop) was detected with performance of the midthoracic spine manipulation, the tester proceeded to the cervicothoracic junction manipulation. If no cavitation was detected, a second attempt was made before moving on to the next manipulation. No more than 2 attempts were made for each manipulation.

Care was taken during the manipulation procedures to not move any of the markers or electrodes. Upon completion of the manipulation procedures, subjects returned to the wooden chair and were retested on each of the previously described physical procedures. Subjects were also given a self-addressed stamped envelope and a blank copy of the PSS and the SPAM-DASH to take home with them. They were contacted by phone or e-mail 7 to 10 days after the procedure and reminded to complete these questionnaires and return them to the tester.

Data Reduction

Kinematic Data Raw scapular kinematic data were exported to an Excel (Microsoft Corporation, Redmond, WA) file and

processed using a custom interpolation program written in LabVIEW (National Instruments Corporation, Austin, TX). This program interpolated the 3 elevation repetitions in 5° increments and provided an average curve. Scapular and clavicular angles at 30°, 60°, 90°, and 120° of humerothoracic elevation were extracted for analysis. Elevation is described as humerothoracic because humeral elevation was measured in relationship to the thoracic sensor. This resulted in slightly lower peak elevation values than would be expected when measuring shoulder ROM with goniometry.³²

EMG Data The data were exported to an Excel file and processed in the custom interpolation program in LabVIEW to provide RMS values corresponding to minimum, 30°, 60°, 90°, and 120° of humerothoracic elevation. These values were then normalized using the previously calculated reference contraction values and expressed as a percentage of the reference contraction value.

Data Analysis

All data were assessed for skewness and kurtosis. Mean premanipulation and postmanipulation values for ROM, pain during provocation testing and ROM, and force production were compared using 2-tailed paired-samples *t* tests. A 2-factor repeated-measures analysis of variance was performed to examine the effects of condition (pre-TSM and post-TSM) and humerothoracic elevation (30°, 60°, 90°, and 120°) for each of the 5 dependent kinematic variables. These included scapular upward rotation, external rotation, and posterior tilt, as well as clavicular elevation and protraction. Humerothoracic elevation trials demonstrating signs of technical errors were excluded from the analysis. A 2-factor repeated-measures analysis of variance was also performed, with condition and humerothoracic elevation as repeated factors, for each of the 5 dependent EMG variables, which included RMS values for the infraspinatus, serratus anterior, upper trapezius, middle trapezius, and lower trapezius muscles. The differ-

TABLE 1

PRE-TSM AND POST-TSM SCAPULAR AND CLAVICULAR ANGLES*

Kinematic Variable/Humerothoracic Elevation	Pre-TSM	Post-TSM
Scapular external rotation		
30°	-25.5 ± 7.2	-28.1 ± 7.9
60°	-28.2 ± 6.6	-31.3 ± 6.9
90°	-29.2 ± 5.8	-26.2 ± 20.7
120°	-25.5 ± 9.3	-25.9 ± 7.8
Scapular posterior tilt		
30°	-10.6 ± 8.1	-8.4 ± 8.2
60°	-7.2 ± 7.6	-7.3 ± 8.9
90°	-6.9 ± 8.4	-6.4 ± 8.6
120°	-0.8 ± 13.7	0.4 ± 14.2
Scapular upward rotation		
30°	1.1 ± 8.2	-0.01 ± 11.2
60°	12.2 ± 9.7	11.2 ± 12.6
90°	24.7 ± 10.1	22.8 ± 11.7
120°	31.1 ± 9.0	29.4 ± 12.1
Clavicular elevation		
30°	6.9 ± 5.1	4.6 ± 7.5
60°	10.3 ± 5.6	8.0 ± 7.8
90°	13.5 ± 6.2	11.7 ± 8.1
120°	13.5 ± 6.5	13.3 ± 8.9
Clavicular protraction		
30°	-16.1 ± 7.3	-14.6 ± 8.9
60°	-18.6 ± 7.7	-16.8 ± 9.9
90°	-24.1 ± 9.0	-22.1 ± 10.9
120°	-28.9 ± 6.2	-26.4 ± 6.9

Abbreviation: TSM, thoracic spine manipulation.
*Values are mean ± SD deg.

ences in scores on the PSS and the SPAM-DASH, assessed prior to and 7 to 10 days after receiving the manipulations, were assessed using a 2-tailed paired-samples *t* test for each measure. One subject did not complete the SPAM-DASH; therefore, data for only 29 subjects were used for that outcome measure. An alpha level of .05 was used to determine significance for all variables analyzed.

RESULTS

TABLES 1 AND 2 PROVIDE A SUMMARY of the pre-TSM and post-TSM kinematic and EMG data, respectively. **TABLE 3** provides a summary of pre-TSM and post-TSM data for all clinical variables. Premanipulation and postmanip-

ulation data for scapular kinematics are depicted graphically in **FIGURE 4**. Analysis of variance revealed a small but significant decrease in scapular upward rotation with humerothoracic elevation following TSM ($P = .05$). A significant interaction between condition and elevation angle was observed for clavicular elevation; however, post hoc *t* tests revealed no significant difference in clavicular elevation at 30°, 60°, 90°, or 120° of humerothoracic elevation from premanipulation to postmanipulation. No differences were observed following manipulation for scapular posterior tilt, scapular external rotation, clavicular protraction, cervical rotation ROM, thoracic spine ROM, or humerothoracic elevation ROM.

Surface EMG data are graphically de-

picted in **FIGURE 5**. A small but statistically significant increase in middle trapezius activity ($P = .03$) was detected following spinal manipulation. No differences were detected for the upper or lower trapezius, infraspinatus, or serratus anterior muscles.

A statistically significant improvement in pain was detected following TSM with performance of all provocative tests for rotator cuff pathology ($P < .001$), as well as with loaded arm elevation in all 3 planes ($P < .001$). Twenty-four of 30 subjects demonstrated at least a 2-point change with all 3 provocative tests, meeting or exceeding the minimal clinically important difference for the NPRS.⁵⁶ Force production with elevation in the scapular plane also significantly improved ($P < .001$) following TSM. Twenty-three of 30 subjects demonstrated a change greater than 0.95 kg, the estimated minimal detectable change.⁷⁰

Significant improvements were also observed 7 to 10 days following TSM on both the PSS ($P < .001$) and the SPAM-DASH ($P < .001$), which improved by 7.6 points and 22.0 points, respectively. Ten of 30 subjects exceeded the minimal detectable change of 12 points for the PSS.

DISCUSSION

Scapular Kinematics

THIS STUDY DEMONSTRATED THAT TSM may induce small changes in scapular upward rotation with weighted humeral elevation; however, no other changes in scapular kinematics were detected. Findings with regard to scapular upward rotation in people with impingement are highly variable. Lin et al⁴¹ and Ludewig and Braman⁴³ found that subjects with impingement demonstrated less scapular upward rotation than those without impingement. Conversely, McClure et al⁵² and Endo et al²⁰ found that subjects with impingement demonstrated more upward rotation. Furthermore, the effects of scapular rotations on subacromial clearance are not well understood, with only a few studies having looked at that relationship.^{31,68} Karduna et al³¹ found

that increased scapular upward rotation resulted in decreased subacromial clearance, likely increasing compression forces on the subacromial structures. In the current study, scapular upward rotation decreased by only a few degrees after manipulation. It is not likely that this small difference can fully explain the findings of decreased pain with elevation observed in this study, as well as in those of Boyles et al¹⁰ and Strunce et al.⁶⁹

Electromyography

A statistically significant, albeit small, increase in middle trapezius activity was detected; however, no significant changes in EMG signal amplitude were detected in the upper and lower trapezius muscles or in the infraspinatus and serratus anterior muscles. According to Johnson et al,³⁰ the primary role of the middle trapezius is that of stabilizing and resisting internal rotation of the scapula. Although the small increase in activity found might have served to improve scapular stabilization, it is not likely that this finding may fully explain the improved shoulder motion observed by Strunce et al⁶⁹ or the increased force production observed in the current study.

Only a few prior studies have used EMG to detect changes in motor output with dynamic activity following spinal manipulation.^{38,39} Lehman and McGill³⁸ assessed changes in spine kinematics and EMG signal amplitude of the erector spinae muscles in a professional golfer following lumbar spine manipulation. They found decreased EMG signal both in quiet stance and during performance of a golf swing. In a later study, they found that in quiet stance most muscles exhibited no change in activity level after lumbar manipulation, and that muscle activation during performance of dynamic tasks postmanipulation was highly variable, precluding statistical significance.³⁹ The results of the current study are in agreement with the results of the studies by Lehman and McGill,^{38,39} in that few differences in muscle activity were detected after spinal manipulation, in this case, thoracic manipulation.

TABLE 2

PRE-TSM AND POST-TSM ROOT-MEAN-SQUARE ELECTROMYOGRAPHIC DATA*

Muscle/Humerothoracic Elevation	Pre-TSM	Post-TSM
Upper trapezius		
30°	65.3 ± 28.5	71.3 ± 46.7
60°	84.0 ± 37.1	93.2 ± 60.5
90°	72.2 ± 54.8	96.9 ± 78.9
120°	93.3 ± 49.3	83.5 ± 47.1
Middle trapezius		
30°	15.2 ± 9.5	23.6 ± 17.2
60°	20.9 ± 16.5	25.4 ± 15.3
90°	23.1 ± 7.3	28.7 ± 18.4
120°	21.4 ± 17.0	29.9 ± 28.3
Lower trapezius		
30°	28.3 ± 19.1	25.5 ± 23.8
60°	33.1 ± 15.6	47.2 ± 30.4
90°	48.2 ± 19.4	46.5 ± 33.9
120°	52.2 ± 36.4	45.7 ± 29.1
Infraspinatus		
30°	22.4 ± 27.1	25.1 ± 16.8
60°	28.6 ± 18.3	30.7 ± 27.5
90°	28.4 ± 24.6	33.2 ± 21.9
120°	34.4 ± 25.3	27.7 ± 24.7
Serratus anterior		
30°	33.4 ± 24.2	45.1 ± 36.6
60°	52.2 ± 38.4	73.0 ± 73.3
90°	64.5 ± 56.9	79.8 ± 65.3
120°	71.4 ± 53.7	75.4 ± 49.2

Abbreviation: TSM, thoracic spine manipulation.

*Values are mean ± SD expressed as a percentage of maximum voluntary isometric contraction. With the exception of middle trapezius ($P = .03$), no significant differences in muscle activity were detected.

Pain and Function

Subjects demonstrated decreased shoulder pain and increased force production immediately following spinal manipulation. Furthermore, they demonstrated improved shoulder function on the PSS and the SPAM-DASH at follow-up 7 to 10 days after manipulation. These changes in pain, force production, and function were not accompanied by substantial changes in ROM, scapular kinematics, or shoulder muscle activation. The results of this study are in agreement with the findings by Boyles et al¹⁰ and Strunce et al⁶⁹ regarding decreased pain with performance of both provocative testing as well as with active humeral elevation immediately following TSM.

Shoulder elevation force production increased immediately after TSM. This increase in force production might have simply been the result of motor learning associated with practice or changes in sensory perception. It was speculated that the increase in force might be explained by a decrease in pain, as research has suggested that pain may alter temporospatial as well as quantitative elements of force production.^{16,17,75,80} However, post hoc analysis revealed no significant association between changes in pain and changes in force (r values ranged from 0.04 to 0.09).

Improved functional status 7 to 10 days after manipulation was observed across subjects, as indicated by the PSS

and SPAM-DASH. Changes on the PSS (mean \pm SD difference, 7.6 ± 9.3) were less than those of the SPAM-DASH (7.6 ± 9.3). This is likely due to a ceiling effect with the PSS, which captures basic function. The subjects in this study were mostly high functioning, with 9 elite athletes, 3 collegiate athletes, and 4 highly competitive recreational athletes. In contrast, the SPAM-DASH assesses disability associated with a subject-selected task. Though participants were generally high functioning on the PSS with regard to self-care and activities of daily living (mean \pm SD baseline score, 79.8 ± 11.4 out of 100, higher scores corresponding to better function), they clearly felt that their shoulder pain limited their ability in tasks such as sport participation (mean \pm SD baseline score, 37.1 ± 23.1 out of 100, lower scores corresponding to better function). Although the validity and reliability of the SPAM-DASH have not been established, it appeared to be more sensitive to changes in subject self-selected sport or activities they felt were most impacted by their shoulder pain.

Range of Motion

With regard to cervical ROM, Nansel et al⁵⁸ hypothesized that the application of a TSM might induce movement of thoracic and cervical spine segments and therefore change cervical and thoracic spine ROM. Such changes⁵⁸ were not detected in this study. However, only 4 subjects reported pain with cervical rotation and none reported pain with thoracic spine flexion and extension during baseline assessments, suggesting that this cohort of subjects might not have painful restrictions in segmental thoracic or cervical spine motion. The findings of this study related to spinal ROM are similar to those of Lehman and McGill,^{38,39} who detected no changes in peak lumbar spine ROM following lumbar spine manipulation during the performance of uniplanar flexion and extension or during axial rotation in subjects with low back pain. They did, however, observe an increase in total ROM during the performance of a

	Pre-TSM*	Post-TSM*	Difference†	P Value
Pain, NPRS‡§				
Jobe	2.9 \pm 1.2	0.3 \pm 0.5	-2.6 \pm 1.2 (-3.1, -2.2)	<.001
Neer	3.2 \pm 1.2	0.6 \pm 0.9	-2.6 \pm 1.3 (-3.0, -2.1)	<.001
Hawkins-Kennedy	3.2 \pm 1.1	0.4 \pm 0.7	-2.8 \pm 1.3 (-3.3, -2.3)	<.001
Cervical rotation	0.5 \pm 1.2	0.1 \pm 0.4	-0.4 \pm 0.9 (-0.7, 0.0)	.04
Pain, HT elevation in 3 planes				
Sagittal	2.3 \pm 1.7	0.3 \pm 0.6	-2.0 \pm 0.3 (-2.6, -1.4)	<.001
Scapular	1.2 \pm 1.5	0.1 \pm 0.4	-1.1 \pm 1.4 (-1.7, -0.6)	<.001
Frontal	2.6 \pm 1.7	0.3 \pm 0.8	-2.3 \pm 1.5 (-2.8, -1.8)	<.001
Force production, kg§				
Shoulder elevation	7.4 \pm 2.5	9.9 \pm 2.9	2.5 \pm 1.4 (4.3, 6.7)	<.001
Pain and function¶				
PSS†	79.8 \pm 11.4	87.4 \pm 10.9	7.6 \pm 9.3 (4.1, 11.1)	<.001
SPAM-DASH#	37.1 \pm 23.1	20.3 \pm 23.1	-16.8 \pm 16.4 (-22.5, -10.2)	<.001
ROM, deg§				
Thoracic flexion/extension	47.4 \pm 14.8	45.7 \pm 14.6	-1.8 \pm 7.6 (-4.5, 0.9)	.20
Cervical rotation	117.9 \pm 22.2	119.4 \pm 21.5	1.4 \pm 10.0 (-2.8, 5.0)	.50
HT elevation (sagittal plane)	128.1 \pm 27.6	133.2 \pm 22.3	5.1 \pm 16.8 (-1.8, 10.2)	.30

Abbreviations: HT, humerothoracic; NPRS, numeric pain rating scale; PSS, Penn Shoulder Score; ROM, range of motion; SPAM-DASH, sports/performing arts module of the Disabilities of the Arm, Shoulder and Hand questionnaire; TSM, thoracic spine manipulation.

*Values are mean \pm SD.

†Values are mean \pm SD (95% confidence interval).

‡0-to-10 scale where higher score is more pain.

§Assessed immediately after spinal manipulation.

¶Assessed 7 to 10 days after spinal manipulation.

#100-point scale where a higher score is better.

*100-point scale where a lower score is better.

complex motor task, suggesting that assessment of simple, uniplanar tasks may not detect subtle changes in joint kinematics. Complex tasks require coupled joint motions and more complex coordination, which may better demonstrate subtle biomechanical changes associated with improved joint motion. Assessment of a more complex functional task following thoracic spinal manipulation was not performed in this study.

There were several limitations to the spinal ROM assessment performed in this study. First, thoracic rotation was not restricted during performance of cervical rotation, and cervical ROM was assessed in relation to the thorax. Therefore, if thoracic rotation ROM increased, subtle increases in cervical ROM might not have been detected. Furthermore, thoracic

motion was only assessed in relation to the global coordinate system, thus segmental thoracic motion was not assessed; therefore, subtle changes in segmental thoracic motion might have gone undetected. Finally, our method of measuring thoracic motion, although consistent with assessments of planar spine ROM in the literature, has not been validated.^{38,39}

No changes in humerothoracic elevation were observed in this study. In contrast, Strunce et al⁶⁹ found that TSM was associated with increased humeral elevation ROM. The conflicting findings of these studies might be due to their use of different measurement techniques, as well as differences in elevation conditions. Strunce et al⁶⁹ used goniometry to assess overall shoulder ROM, whereas this study employed an electromagnetic

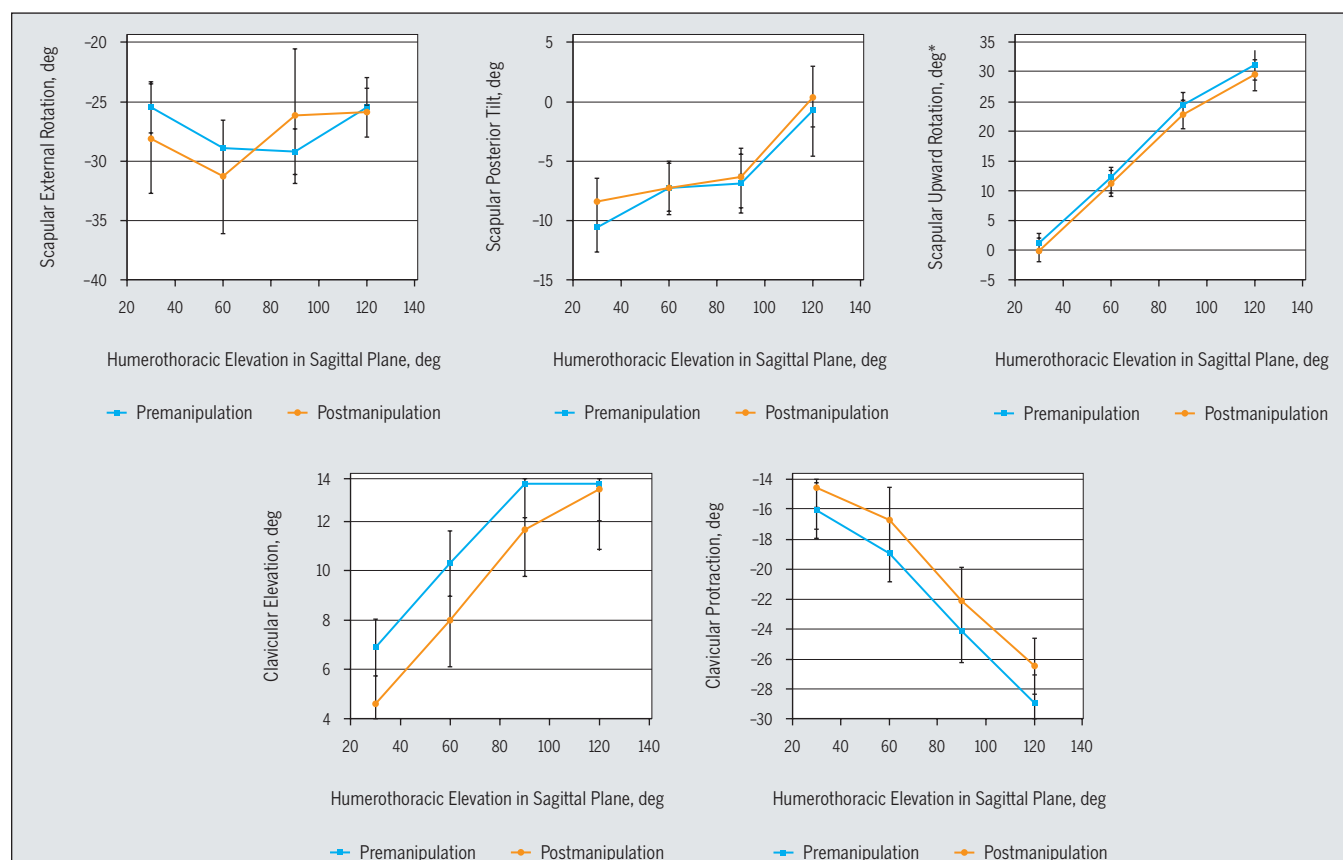


FIGURE 4. Scapular and clavicular angles at 30°, 60°, 90°, and 120° of humerothoracic elevation. *With the exception of scapular upward rotation ($P = .05$), no significant differences in scapular and clavicular kinematics were detected. Data are mean \pm SD.

tracking system to assess humerothoracic elevation. In addition, humeral elevation ROM was assessed under weighted conditions in this study, whereas humeral elevation was performed unweighted in the study by Strunce et al.⁶⁹ Finally, with regard to subject population, only 2 subjects in this study were actively seeking medical attention for their shoulder pain. Nine subjects were elite athletes who reported that their shoulder pain interfered with participation in their sport but did not wish to seek medical attention. Some of the subjects in the studies by both Boyles et al¹⁰ and Strunce et al⁶⁹ were already seeking treatment for their shoulder pain and were referred to the study by their physical therapist.

Other limitations associated with this study include the lack of blinding, randomization, and a control group. Several

steps were taken to address blinding. During force production testing, the results screen was covered and the subject was not informed of force production values. Subjects also did not know their pretest PSS or SPAM-DASH scores, so they could not compare scores when completing their posttest questionnaires. In addition, all ROM measurements were obtained using the electromagnetic tracking device, which prevented the tester from inadvertently influencing ROM values. The major limitation with regard to blinding was that the examiner also performed the manipulations. Tools such as electromagnetic tracking and EMG limit the tester's ability to influence outcomes, as the data are not collected directly by the tester but must undergo computer processing and reduction to derive values used for analysis. Likewise, the sub-

ject cannot see the data while performing the activities. One additional limitation to this study might have been the severity of the subjects' symptoms. Most subjects were not seeking medical attention for their shoulder pain and might not have exhibited abnormal scapular kinematics or altered motor control. Furthermore, assessment of segmental spine motion might have been beneficial to ascertain whether this particular cohort demonstrated restrictions in segmental spine mobility. Finally, we cannot assume a cause-and-effect relationship due to the lack of a control group. We can, however, address one aspect of the placebo effect: post hoc analysis revealed that pain decreases were not dependent on cavitation. The mean decreases in pain with provocative testing ranged from 2.4 to 3.0, regardless of cavitation.

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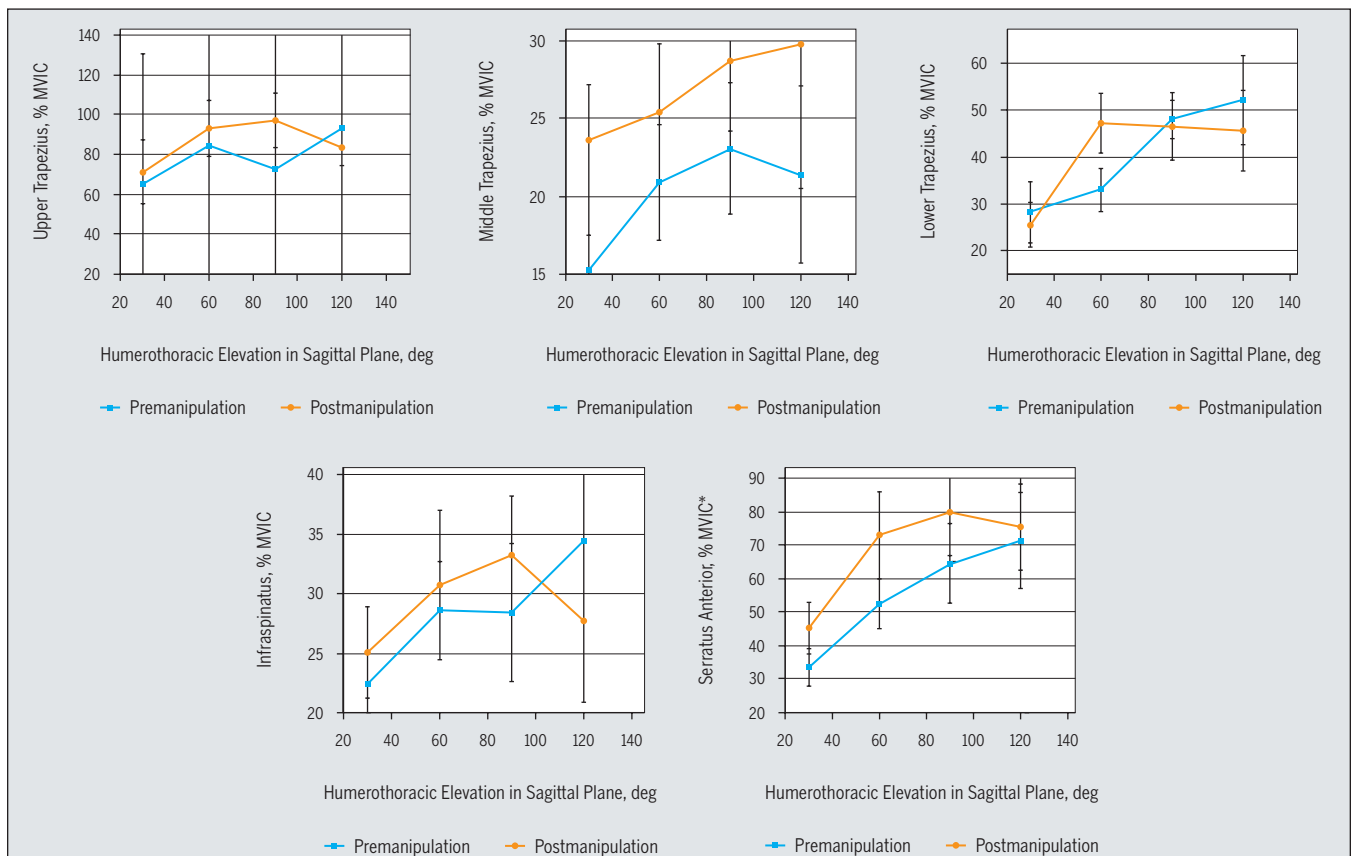


FIGURE 5. Electromyographic data expressed as a percentage of MVIC for each of the 5 muscles at 30°, 60°, 90°, and 120° during humerothoracic elevation in the sagittal plane. *With the exception of middle trapezius ($P = .03$), no significant differences in muscle activity were detected. Data are mean \pm SD. Abbreviation: MVIC, maximum voluntary isometric contraction.

CONCLUSION

THE FINDINGS OF THIS STUDY INDICATE that TSM may improve pain and function immediately and up to 7 to 10 days postmanipulation in people with signs of RCT; however, the improvements associated with TSM are not likely explained by changes in scapular kinematics or shoulder muscle activity. Other neurophysiologic processes likely contributed to the significant reductions in pain and improvements in function. Further studies assessing changes in pain perception, combined with assessments of altered neuromotor control and segmental spine kinematics, may help to clarify how TSM influences pain and function in people with signs of RCT. ●

KEY POINTS

FINDINGS: Thoracic spine manipulation was associated with decreased pain and improved shoulder function in people with signs of RCT. These improvements are not likely associated with changes in scapular kinematics or changes in EMG amplitude of the shoulder muscles assessed.

IMPLICATIONS: These findings add to the growing body of literature suggesting that TSM may be a viable tool to help decrease pain for people with signs of RCT, which may allow them to better participate in the rehabilitation process.

CAUTION: The absence of a control group and blinding and the high level of function of the participants must be considered when interpreting the results of this study.

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